## **EMERGENCY ACTION PLAN (EAP)**

FOR

## SYPHON CANYON DAM

6301 Portola Parkway Irvine, CA 92620 Orange County Latitude: 33.7092; Longitude: -117.7315



## **DAM OWNER: IRVINE RANCH WATER DISTRICT**

DSOD SOUTH REGION

DSOD DAM No. 1029.004

NATIONAL INVENTORY OF DAMS (NID) NO. CA00749 FEDERAL ENERGY REGULATORY COMMISSION (FERC) NO. N/A

> Date Prepared: February 5, 2021 Prepared By: Stetson Engineers Inc. (760)730-0701



Phone numbers and email addresses have been removed from this publicly posted copy of this Emergency Action Plan. That information is available from Irvine Ranch Water District's district secretary: Phone 949-453-5300. Email Comments@IRWD.com.

# Dam Contact Information

#### Syphon Canyon Dam

6301 Portola Parkway Irvine, CA 92620 (33.7092, -117.7315)

#### 24-Hour Emergency Contact: Wendy Chambers, Executive Director of Operations

Dam Owner: Irvine Ranch Water District

Contact: Paul Cook, P.E., General Manager Mailing Address: 3512 Michelson Drive, Irvine, CA 92612-1799

#### Dam Operator: Ken Pfister, Water Operations Manager, Irvine Ranch Water District

#### EAP Coordinator: Emilyn Zuniga, IRWD Safety Manager

Phone numbers and email addresses have been removed from this publicly posted copy of this Emergency Action Plan. That information is available from Irvine Ranch Water District's district secretary: Phone 949-453-5300. Email comments@irwd.com.

## Key Dam Information

### **Dam Description**

Height:	59 feet	DSOD #:	1029.004
Year Built:	1949	NID #:	CA00749
Dam Operator: Property Owner:	Ken Pfister, IRWD IRWD	Hazard Classification:	Extremely High



### **Potential Impacted Area**

Syphon Canyon Dam is located at the south end of Syphon Reservoir on unincorporated land in Orange County, CA. The area downstream of the dam is mostly flat, urban space, which drains southwest toward the Pacific Ocean. If Syphon Canyon Dam were to fail, parts of unincorporated Orange County land, the City of Irvine, and the City of Tustin would flood (see Part II: Inundation Maps).

### **Directions to Syphon Canyon Dam**

In order to access Syphon Canyon Dam from I-5, take the exit for Sand Canyon Avenue and head northeast for roughly 2.5 miles. The dam does not have a street address, but the south access road is located near the intersection of Sand Canyon Avenue and Portola Parkway. Immediately below the dam is the Crean Lutheran High School Athletic Complex, at 6301 Portola Parkway, Irvine, CA 92620.

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## PART I: EAP INFORMATION

# Section 1: Introduction

## 1.1 Background

Irvine Ranch Water District (IRWD) is an independent special district that serves 380,000 people in central Orange County, CA and owns and operates five jurisdictional dams, one of which is Syphon Canyon Dam. Syphon Canyon Dam is located in Orange County, CA on unincorporated land. The spillway is located on the south corner of the reservoir, and is not considered a critical appurtenant structure (CAS) by California's Division of Safety of Dams (DSOD). The reservoir collects natural runoff from a drainage area of 0.3 square miles and stores recycled water from IRWD's Michelson Water Recycling Plant (MWRP).

Syphon Canyon Dam is an earthen dam originally constructed in 1949 by The Irvine Company. The California State Dam Number is 1029.004 and the National Dam Number is CA00749. The dam has a concrete spillway that discharges into an approximately 12-foot by 80-foot concreteand gunite-lined channel and has an ogee crest weir. In addition to this spillway, Syphon Canyon Dam has an outlet pipe which conveys water to a chlorination station and then into IRWD's recycled water distribution system. The outlet pipe can also be used to drain the reservoir by conveying water through a reinforced concrete pipe and then into a drainage ditch.

The dam is located in the San Diego Creek watershed in coastal hills about eleven miles inland from the Pacific Ocean. Topography upstream of the dam is hilly, with elevations ranging from about 350 feet to 720 feet. The area downstream of the dam is mostly flat and urban, draining gradually southwest to the ocean. Flooding from a dam failure at Syphon Canyon Dam has the potential to inundate portions of the following communities:

- County of Orange, unincorporated areas
- City of Irvine
- City of Tustin

Figure 1-1shows the location of Syphon Canyon Dam and the above listed communities. Syphon Canyon Dam impounds a reservoir along an unnamed creek, which is tributary to Peters Canyon Wash.<sup>1</sup> The drainage area upstream of the Syphon Canyon Dam is 0.3 square miles. Peters Canyon Wash flows through the City of Irvine and a small portion of the City of Tustin to join San Diego Creek. San Diego Creek in that area is highly channelized. It flows southwest through the City of Irvine and the City of Newport Beach, where it flows into upper Newport Bay. The total drainage area of Newport Bay is about 150 square miles. San Diego Creek, at its point of discharge to Newport Bay, drains about 120 square miles. Newport Bay is a large estuary and harbor which is influenced by ocean tides.

<sup>&</sup>lt;sup>1</sup> Peters Canyon Wash is sometimes also referred to as Peters Canyon Channel

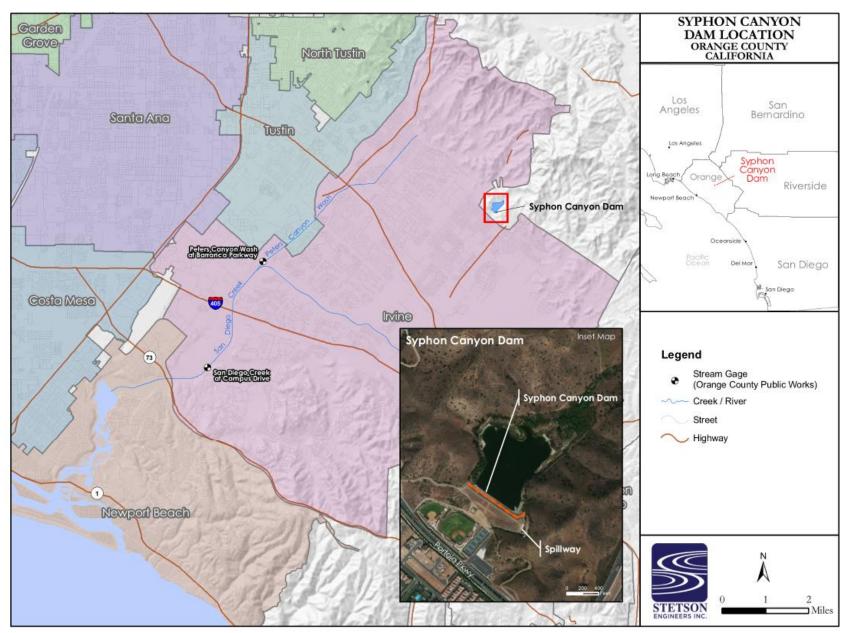


Figure 1-1 Syphon Canyon Dam Area Overview

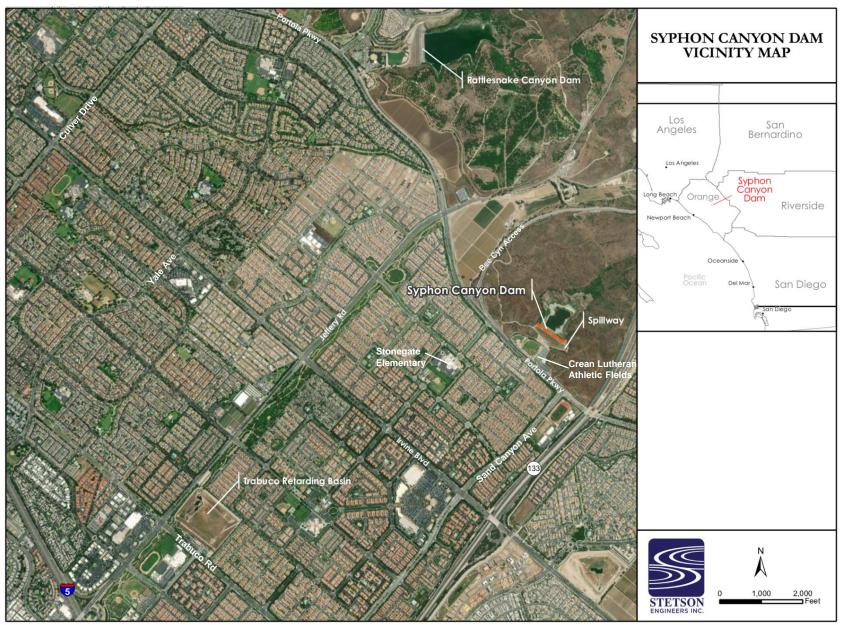


Figure 1-2 Syphon Canyon Dam Vicinity Map

### **1.2 Purpose**

A dam safety incident is an impending or actual sudden uncontrolled release or excessive controlled release of water from an impounding structure. The release may be caused by damage to or failure of the structure, flood conditions unrelated to failure, or any condition that may affect the safe operation of the dam. The release of water may or may not endanger human life, downstream property, or the operation of the structure. When people live in an area that could be affected by the operation or failure of a dam, there is the potential for an emergency related to a dam safety incident. The National Incident Management System (NIMS) defines an emergency as "any incident, whether natural or manmade, that requires responsive action to protect life or property."

The purpose of this Emergency Action Plan (EAP) is to detect actual or potential emergency situations associated with Syphon Canyon Dam, facilitate notification of affected parties, assign roles and responsibilities to involved agencies, and take mitigating actions in time to minimize loss of human life or injury and property damage. These situations include, but are not limited to dam instability, sizable earthquakes, extreme storm events, major spillway releases, overtopping of the dam, outlet system failure, abnormal instrument readings, vandalism or sabotage, spillway or gate failures, and failure of the dam.

Emergency management authorities will use the information in this EAP to facilitate the implementation of their responsibilities. Local, county, and state authorities have coordinating plans in place to address local emergency operations and/or warnings and evacuations. Those plans are not reprinted in the EAP but are maintained by the responsible agencies.

DSOD has rated the Syphon Canyon Dam as "Extremely High" based on the downstream hazard classification. Because of its hazard classification, IRWD developed this EAP in accordance with the requirements listed in California Water Code Sections 6160 and 6161 and Government Code Section 8589.5, following the Federal Emergency Management Agency (FEMA) Federal Guidelines for Dam Safety: Emergency Action Planning for Dams (FEMA-64/July 2013).

### **1.3 Planning Team**

The EAP was sent to the following affected agencies below for comment during a local agency review period.

- Irvine Ranch Water District
- Irvine Police Department
- Orange County Fire Authority<sup>2</sup>
- Tustin Police Department
- Orange County Sheriff's Department, Emergency Management Division
- Orange County Public Works
- California Highway Patrol (CHP), Santa Ana Office

<sup>&</sup>lt;sup>2</sup> OCFA provides fire service to both the City of Irvine and the City of Tustin

- California Department of Transportation (Caltrans) District 12 Office
- Crean Lutheran High School
- Irvine Unified School District

The following agencies provided comments on the draft EAP or participated in consultations:

- Irvine Ranch Water District
- City of Irvine Police Department
- Orange County Fire Authority
- City of Tustin Police Department
- Orange County Sheriff's Department, Emergency Management Division
- Orange County Public Works
- Caltrans District 12 Office
- Crean Lutheran High School
- Irvine Unified School District

Outreach was completed for all jurisdictions potentially affected by a dam failure at Syphon Canyon Dam. Though Syphon Canyon Dam is located on unincorporated Orange County land, the area primarily affected by an emergency would be the City of Irvine: staff from the City of Irvine Police Department and the Orange County Sheriff's Department Emergency Management Division (OCSD EMD) agreed that the City of Irvine should be designated as the primary Public Safety Answering Point (PSAP) for this EAP.

Emergency planning for the City of Irvine is coordinated through the Irvine Police Department<sup>3</sup>. The Emergency Management Administrator from the Irvine Police Department reviewed the EAP, approved the notification flowcharts presented in Section 3, and provided feedback on jurisdictional responsibilities. Orange County Fire Authority (OCFA) serves the City of Irvine as part of the OCFA Division 2 service area. The OCFA Division 2 chief reviewed the EAP and provided updated contact information for OCFA staff.

Emergency planning for the City of Tustin is coordinated through the Tustin Police Department<sup>3</sup>. A representative from the City of Tustin Police Department reviewed the EAP and provided updated phone numbers. OCFA also provides fire service to the City of Tustin as part of the OCFA Division 4 service area. The OCFA Division 4 chief was provided a copy of the EAP and was added as a plan holder, per discussion with the Division 2 chief.

The Senior Emergency Management Program Coordinator from OCSD EMD reviewed the EAP and provided comments on plan content, phone numbers, and points of contact. Outreach was completed to DSOD to clarify responsibilities listed in this EAP. Additional coordination was conducted with the National Weather Service (NWS), CHP, Caltrans, the Department of Water Resources (DWR) Flood Operations Center, and two schools located downstream of the dam. The schools provided additional contact information to be used for notifications.

<sup>&</sup>lt;sup>3</sup> The City of Irvine and City of Tustin do not have offices of emergency services that are separate from their police departments.

For more information about the outreach process, please contact the EAP Coordinator:

Emilyn Zuniga IRWD Safety Manager

# Section 2: Summary of EAP Responsibilities

### 2.1 Irvine Ranch Water District Responsibilities (Dam Owner)

IRWD, as the dam owner, is responsible for detecting and evaluating dam safety incidents, classifying the incident, notifying emergency management authorities, taking appropriate response actions, terminating the EAP, and follow-up tasks related to the dam incident.

General EAP responsibilities for IRWD are to:

- Detect, verify and assess emergency conditions
- Respond to emergencies at the dam site
- Activate and implement the Syphon Canyon Dam EAP, including determining the appropriate emergency level.
- Notify other participating emergency management agencies of emergency conditions, emergency level, EAP activation, and other critical information
- Take corrective action at the dam/reservoir
- Terminate the EAP
- Facilitate an after-action evaluation and report
- Update EAP on at least an annual basis
- Communicate with the public and the media

More detailed responsibilities, including duties by staff member, are given in Section 6.1 and *Table 6-1 Dam Owner Responsibilities by Role*.

### 2.2 Impacted Jurisdictions'/Public Safety Agencies' Responsibilities

A dam safety incident at Syphon Canyon Dam has the potential to impact unincorporated areas of Orange County, the City of Irvine, and the City of Tustin. The involvement of potential impacted jurisdictions is crucial to the successful implementation of the EAP. Copies of the EAP were sent to impacted jurisdictions and public safety agencies as part of a local agency coordination effort to gather feedback and input to the emergency response process laid out in this EAP (see discussion in Section 1.3). Where applicable, comments from these agencies informed the responsibilities detailed below.

#### 2.2.1 Field Level Incident Management

A dam safety incident is reported through a 911 or direct phone call to the Irvine Police Department (see Section 3). The emergency response through the public safety agencies can be assisted by the OCSD "Control One," which is the central point of contact for interoperable communications between all law enforcement, fire, and public works agencies responding to a dam safety emergency at the Syphon Canyon Dam. Once the incident is reported, an incident command post (ICP) may be established by the City of Irvine. The incident commander (IC) is a field level position that falls to the Irvine Police Department and/or the OCFA supervisor. For Potential Failure or Imminent Failure dam safety incidents, the City of Irvine Police Department and OCFA may establish a Unified Command to jointly perform the IC duties for a dam safety incident at the Syphon Canyon Dam. The Unified Command, which would include IRWD, OCFA, City of Irvine, and possibly City of Tustin, may be required in order to share incident management responsibilities. Unified Command responsibilities consist of establishing the ICP, protecting life and property, controlling personnel and equipment resources, maintaining accountability for responder and public safety, and establishing and maintaining an effective liaison with outside agencies and organizations. The Unified Command/IC is responsible for all incident activities, including the development of strategies and tactics and the ordering and the release of resources. The Unified Command/IC has overall authority and responsibility for conducting incident operations, while IRWD is responsible for monitoring and remedial actions at the dam site (see Section 5). IRWD remedial actions will be controlled at the IRWD operations center. IRWD actions will coordinate with external emergency response agencies through the ICP, the City of Irvine EOC, and the County and OA EOC, if activated.

Unified Command/IC duties may include the following:

- Establishing command
- Ensuring responder safety
- Assessing incident priorities
- Determining operational objectives
- Developing an appropriate organizational structure
- Maintaining a manageable span of control
- Coordinating overall emergency activities
- Coordinating the activities of outside agencies
- Authorizing the release of information to the media.
- Terminating the emergency response<sup>4</sup>
- Participating in an annual review and update of the EAP

#### 2.2.2 City of Irvine (Irvine Police Department and OCFA)

Emergency planning for the City of Irvine is coordinated through the Irvine Police Department. In the event of a dam emergency at the Syphon Canyon Dam, the City of Irvine Police Department will be the primary agency for executing and coordinating emergency response activities. As described in Section 2.2.1, depending on the nature of the incident and the designated emergency level, an ICP may be established. Additionally, depending on the severity of the emergency, a Local Emergency may be proclaimed, the City of Irvine EOC may be activated, and Orange County OA will be advised.

<sup>&</sup>lt;sup>4</sup> The IC has the authority to terminate the emergency response. IRWD, as the dam owner, will terminate the EAP.

The Irvine Police Department will direct the response for City of Irvine with support from OCFA. As discussed in Section 2.1.1, a joint command may be established depending on the emergency. City of Irvine emergency response will be carried out by the Irvine Police Department and OCFA. Responsibilities include:

- Advise threatened populations of the emergency, and apprising them of safety measures to be implemented
- Advise the Orange County OA of the emergency
- Identify the need for mutual aid and requesting such through the Orange County OA.
- Proclaim a Local Emergency by local authorities
- Implement public warning and notification
- Evacuation and rescue operations.
- Establish evacuation routes and road closures
- Medical care operations
- Care and shelter operations, including establishing shelters
- Facilitate return of evacuated individuals
- Access and perimeter control
- Public health operations
- Restoration of vital services and utilities
- Participate in an after-action evaluation

#### 2.2.3 City of Tustin (Tustin Police Department and OCFA)

The City of Tustin maintains responsibility for emergency preparedness and response within the city limits. Emergency planning for the City of Tustin is coordinated through the Tustin Police Department. The inundation area of a dam failure at Syphon Canyon Dam includes areas of the City of Tustin (Map Panel 7 of the Main Dam Failure Maps in Part II of this EAP). Any emergency preparedness and response will be coordinated with the Unified Command/IC and the OA, as required.

The City of Tustin's emergency response will be carried out by the Tustin Police Department and OCFA, which provides fire service to the City of Tustin. Field level response will be coordinated with the Unified Command/IC. Tustin Police Department and OCFA responsibilities include:

- Advise threatened populations of the emergency, and apprising them of safety measures to be implemented
- Implement public warning and notification
- Evacuation and rescue operations
- Establish evacuation routes and road closures
- Medical care operations
- Care and shelter operations, including establishing shelters
- Facilitate of return of evacuated individuals
- Access and perimeter control
- Public health operations
- Restoration of vital services and utilities

• Participate in an after-action evaluation

#### 2.2.4 Orange County Sheriff's Department, Emergency Management Division

Thirty-four incorporated cities in the county are responsible for emergency planning within their jurisdictions. The County of Orange (County) is responsible for the emergency planning of 205 square miles of unincorporated area and all county-owned facilities and properties.

The County provides support to OA jurisdictions or local governments by identifying and coordinating resources and communicating with regional and state authorities. During disasters, OA jurisdictions are required to coordinate emergency operations with the OA and, in some instances, other local governments.

The County of Orange and Operational Area Emergency Operations Plan (County and OA EOP) provides guidance and procedures for the County to prepare for and respond to significant or catastrophic natural, technological or conflict-related incidents that produce situations requiring a coordinated response. It further provides guidance regarding management concepts, identifies organizational structures and relationships, and describes responsibilities and functions of the emergency organization to protect life and property. The OCSD EMD is responsible for developing, maintaining and distributing the County and OA EOP.

There are two organizations within the OA discussed in this EAP: County and OA EOC Manager and the Operational Area Coordinator (OAC).

<u>County and OA EOC Manager</u>. The OCSD EMD Director serves as the County and OA EOC Manager. The County and OA EOC Manager is the 24-hour point of contact for the County, Operational Area, State, Federal entities and agencies, and Mutual Aid Coordinators.

Responsibilities of the County and OA EOC Manager may include:

- Establish and maintain contact with the affected dam and reservoir owner or operators.
- Request current situational status of the affected dam and reservoir.
- Ensure the OAC, Board of Supervisors and Policy Group are notified and kept apprised of emergency conditions occurring due to a dam and reservoir failure event.
- Coordinate with the OAC to establish activation level of the County and OA EOC.
- Direct EMD staff to notify appropriate key personnel to report to the County and OA EOC, based on the activation level established.
- Establish and maintain communication with all impacted jurisdictions to ensure coordination of response activities and situational information.
- Ensure situational information is provided to OA jurisdictions, County departments and California Governor's Office of Emergency Services (Cal OES), and updated on a regular basis.
- Assist with the coordination of the County's reentry and recovery efforts.

<u>Operational Area Coordinator</u>. When an emergency impacts an OA jurisdiction, the Orange County Operational Area Agreement designates the OAC as being responsible for direction,

coordination and communication of policy decisions, and coordinating resource needs and priorities between OA jurisdictions and the State throughout the emergency. In cases of dam and reservoir failure, the County and OA Emergency Operational Plan, Dam and Reservoir Failure Annex designates Orange County Public Works (OCPW) as the OAC.

Responsibilities of the OAC may include:

- Serve as a key decision maker in the County and OA EOC, providing direction and coordination necessary to accomplish the purposes of the Operational Area Agreement and responsibilities of the Operational Area Lead as specified in Title 19 California Code of Regulations Section 2409 (e).
- Coordinate with OA jurisdictions during emergency response.
- Maintain contact with the dam and reservoir owner/operator to receive regular updates on water releases and situation status.
- Represent the Operational Area in all dealings with the public or private agencies on matters pertaining to emergencies.
- Appoint a Public Information Manager (PIM) to coordinate dissemination of all emergency information.
- In coordination with the PIM, prepare and approve dam and reservoir failure information statement and instructions for the public to be released via: media, Emergency Alert Systems, NWS, and AlertOC.
- Activate the County and OA EOC to the appropriate level of organization and staffing to support operations.
- Participate in conference calls.
- Initiate discussion with the Policy Group on the necessity to proclaim a Local Emergency and/or Operational Area Proclamation of Emergency.

A dam and reservoir failure may require multi-jurisdiction, multi-agency and multi-discipline coordination at all levels, including first responders. The Dam and Reservoir Failure Annex delineates the specific organization and assignment of responsibilities within the County and OA EOC. The appropriate Standardized Emergency Management System (SEMS) and NIMS functions will be activated, based on the failure threat or situation.

Based on the inundation mapping conducted in support of this EAP, activation of the County and OA EOC is not anticipated. The City of Irvine is almost exclusively affected by a dam emergency at the Syphon Canyon Dam. However, since no emergency response situation is completely predictable, there may be situations where the County and OA EOC may be activated and staffed based on the situation. Activation of the County and OA EOC is required by SEMS, Title 19 California Code of Regulations Section 2409 (f), under the following conditions:

• On Request - A local government within the OA has activated its EOC and requested activation of the County and OA EOC to support its emergency operations. Jurisdiction(s) determine that additional response resources beyond that which would normally be covered by mutual aid are required and assistance from the OA may be necessary.

- Two City Local Emergency Two or more cities within the OA have proclaimed a Local Emergency.
- County and City Local Emergency The County and one or more cities have proclaimed a Local Emergency.
- Request for Governor's Proclamation A city, city and County, or County has requested a Governor's proclamation of a State of Emergency, as defined in Government Code 8558(b).
- State of Emergency A State of Emergency is proclaimed by the Governor of the State for the County or two or more cities within the OA.
- Request for Outside Resources The OA is requesting resources from outside its boundaries, except those resources used in normal day-to-day operations which are obtained through existing agreements providing for the exchange or furnishing of certain types of facilities and services on a reimbursable, exchange, or other basis as provided for under the Master Mutual Aid Agreement.
- Request for OA Resources The OA has received resource requests from outside its boundaries, except those resources used in normal day-to-day operations which are obtained through existing agreements providing for the exchange or furnishing of certain types of facilities and services on a reimbursable, exchange, or other basis as provided for under the Master Mutual Aid Agreement.

#### 2.2.5 California Governor's Office of Emergency Services and Cal OES Warning Center

Cal OES plays dual roles in managing an emergency; one at the regional level and the other at the state level. The regions include Inland Region, Coastal Region, and Southern Region, while the state level constitutes the executives and brokers resources between the regions. The state level also interfaces with the National Response Framework, and informs the governor, legislature, and state emergency management stakeholders. Cal OES also implements state-level media policy and provides the primary coordination with SEMS and NIMS at the federal level. Cal OES Southern Region will participate in the reviews of and updates to the EAP.

The Dam Safety Planning Division is responsible for reviewing and approving dam owners' EAP. This process includes division outreach and technical assistance to dam owners and local emergency management personnel. The Cal OES Dam Safety Planning Division may also provide guidance to local public safety agencies with regard to incorporating EAPs into their existing all-hazards key response and mitigation plans. The division will also participate in the annual review and update of the EAP.

The Cal OES Warning Center is the link for notifications between state and federal agencies for this EAP. At the request of the OA manager or a state agency, the Warning Center can obtain rapid responses from the personnel who coordinate resources for emergency response. The Warning Center is operated 24 hours a day, 7 days a week.

#### 2.2.6 California Department of Water Resources – Division of Safety of Dams

The mission of DSOD is to protect people against the loss of life and property due to dam failure. The California Water Code entrusts this regulatory power to DWR, which delegates the

responsibility to DSOD. Section 6110 of the Water Code directs the Department to immediately employ any remedial means necessary to protect life and property if either: (a) the condition of the dam is so dangerous to the safety of life or property as to not permit time for the issuance and enforcement of an order relative to maintenance or operation, or (b) passing or imminent floods threaten the safety of any dam or reservoir. Section 6111 of the Water Code states that in applying the remedial means "the department may, in emergency, do any of the following: (a) lower the reservoir; (b) completely empty the reservoir; (c) take such other steps as may be essential to safeguard life and property." In the event of an emergency at the dam, DSOD actions could include, but are not limited to:

- Advising the dam owner's/operator's representative of remedial actions to take
- Ordering the dam owner's/operator's representative of remedial actions to take
- Assuming control of the dam if necessary to safeguard life and property
- Advising the dam owner's/operator's representative of the emergency level determination
- Inspecting the dam during and after the emergency
- Design review and approval of emergency repairs
- Acting as a dam technical specialist in the State Operations Center, or other emergency operations center

Additionally, per Water Code Sections 6160 and 6161, DSOD is responsible for the review and approval of inundation maps. The California Code of Regulations, Title 23, Division 2, Chapter 1, Article 6 defines the specific requirements of the inundation maps.

IRWD communicated with DSOD staff to confirm DSOD responsibilities as described in this EAP. These DSOD responsibilities were provided to IRWD by Richard Draeger, the regional engineer, via email on December 12, 2019.

#### 2.2.7 National Weather Service Weather Forecast Office

The NWS has a congressional mandate to issue official public warnings for all weather-related events, including dam breaches and flooding. The NWS communicates all flash flood watches and warnings based on the inundation maps provided in this EAP. The San Diego Weather Forecast Office has a copy of the enclosed inundation map and will issue official public warnings upon notification, as appropriate. The NWS WFO will issue a 'Flash Flood Watch' for a potential dam failure and a 'Flash Flood Warning' following the confirmation of a dam failure for downstream areas.

#### 2.2.8 DWR Flood Operations Center

The mission of the DWR Division of Flood Management is to prevent loss of life and reduce property damage caused by floods and to assist in recovery efforts following any natural disaster. The State-Federal Flood Operations Center, located in Sacramento, California, is operated by the Division of Flood Management. The Flood Operations Center provides a facility from which DWR can centrally coordinate emergency response state-wide. Upon activation of this EAP, the DWR Flood Operations Center will be notified by the dam owner. During a potential or imminent failure scenario, the DWR Flood Operations Center would be responsible for assisting with coordination among state and local agencies. The DWR Flood Operations Center can also provide technical assistance during an incident.

#### 2.2.9 Orange County Public Works

A copy of the EAP was sent to Orange County Public Works (OCPW), as channel facilities and infrastructure managed by OCPW may be affected by an incident at Syphon Canyon Dam. OCPW is a plan holder of this EAP and may assist with response related to county-managed facilities. OCPW operates the Trabuco Retarding Basin, which is downstream of Syphon Canyon Dam and could be affected by a breach at Syphon Canyon Dam. In the event of an incident at Syphon Canyon Dam, IRWD staff will notify OCPW staff so that they can take appropriate action at Trabuco Retarding Basin in response to the upstream emergency. OCPW provided contact information for staff to be notified as part of this EAP (see notification charts and tables in Section 3).

#### 2.2.10 California Highway Patrol, Santa Ana Office

A copy of the EAP was sent to California Highway Patrol (CHP). Portions of Interstate 5 (I-5) between Culver Drive and Jeffrey road could be impacted by an incident at Syphon Canyon Dam. CHP dispatch is included in the notification charts in this EAP. In the event of an emergency at Santiago Creek Dam, CHP would be responsible for evacuating impacted state highways and controlling traffic on these roads. CHP response would be coordinated by the Unified Command/IC.

#### 2.2.11 Caltrans

A copy of the EAP was sent to Caltrans staff at the District 12 (Orange County) office so that they could review the EAP with regard to state highway facilities. Emergency response at state highways would be coordinated by CHP through the Unified Command, but Caltrans staff may assist with response related to state-managed road facilities. Caltrans is included in the notification charts in this EAP.

#### 2.2.12 Crean Lutheran High School

The Crean Lutheran High School athletic complex is located directly downstream of Syphon Canyon Dam. Administrators from the school reviewed the EAP and provided 24-hour phone numbers for inclusion on the notification charts. The school is not assigned responsibilities in this EAP, but has been included in the notification charts to facilitate timely notifications.

#### 2.2.13 Irvine Unified School District

Stonegate Elementary, part of the Irvine Unified School District, is located in close proximity to Syphon Canyon Dam. An administrator from the school district reviewed the EAP and provided 24-hour phone numbers for inclusion on the notification charts. The school is not assigned responsibilities in this EAP, but has been included in the notification charts to facilitate timely notifications.

## Section 3: Notification Flowcharts

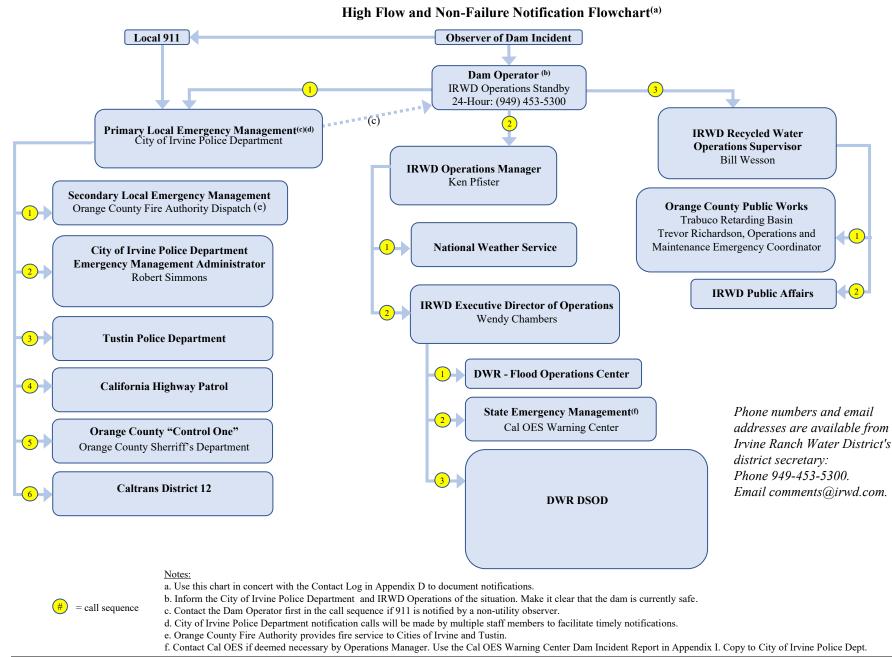
### **3.1 Notification Flowcharts**

This section contains notification flowcharts and accompanying messages for each emergency level that could be activated at the Syphon Canyon Dam: high flow, non-failure, potential failure, and imminent failure. The high flow and non-failure scenarios share a notification flow chart, as the same parties would be notified during each event, but have different notification messages. Similarly, the potential failure and imminent failure share a notification flow chart, but have different notification messages. The notification messages for all emergency levels can also be found in Appendix E of this EAP.

In the event of an emergency situation, IRWD and public safety agencies should reference these flowcharts to know who to contact and in what order. Individuals or organizations at the beginning of flowchart branches are responsible for making all calls within that branch, in the order indicated. If a party is not answering the number indicated on the flowchart, the notifying party should reference the contact table given in Section 3.2 for alternate methods of contact. In order to facilitate clear and efficient communication of emergency conditions, suggested scripts for notification are included after each flowchart.

The Syphon Canyon Dam has a very small drainage area, and is filled and drained independently of the local stream system. It is therefore highly unlikely that it would be affected by a high flow situation as described in the FEMA guidelines. However, a notification flowchart and emergency message have been included for the high flow scenario to ensure complete preparedness.

The potential failure and imminent failure notification flowcharts require that the PSAP, City of Irvine Police Department, make additional calls as part of the notification process. IRWD has coordinated with the City of Irvine Police Department to ensure that they have a copy of the EAP on hand and will utilize the notification flowcharts in Section 3.1. The City of Irvine Police Department has agreed to perform the responsibilities in the notification flowcharts and in this EAP. These instructions will be updated annually when the plan is reviewed and contacts are updated (see Section 8.1).



#### Syphon Canyon Dam, DSOD No. 1029.004, Orange County, California

#### **High Flow Emergency Level Notification Script**

This is \_\_\_\_\_ [your name and position].

We have an emergency condition at Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills.

We have activated the Emergency Action Plan for this dam and are determining this to be a <u>**High Flow**</u> condition. The Syphon Canyon Dam is not in danger of failing. Again, this is a <u>**High Flow**</u> condition and the Syphon Canyon Dam is not in danger of failing.

At \_\_\_\_\_ on \_\_\_\_\_, IRWD observed or verified that flows into the reservoir

are unusually high.

Current flow into the reservoir is \_\_\_\_\_ cfs.

Current flow from the reservoir to Michelson Water Recycling Plant is \_\_\_\_\_ cfs.

Current water surface elevation in the reservoir is \_\_\_\_\_ft.

The dam is not predicted to fail as a result of this condition. We will provide updates detailing any changes in flow or dam condition, and will notify you when the high flow situation is resolved.

I can be contacted at the following number: \_\_\_\_\_\_.

If you cannot reach me, please call the following alternative number: \_\_\_\_\_\_.

#### **Non-Failure Emergency Level Notification Script**

This is \_\_\_\_\_ [your name and position].

We have an emergency condition at Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills.

We have activated the Emergency Action Plan for this dam and are determining this to be a **Non-Failure** condition. Again, this is a **Non-Failure** condition.

At \_\_\_\_\_\_ on \_\_\_\_\_, IRWD observed or verified that:

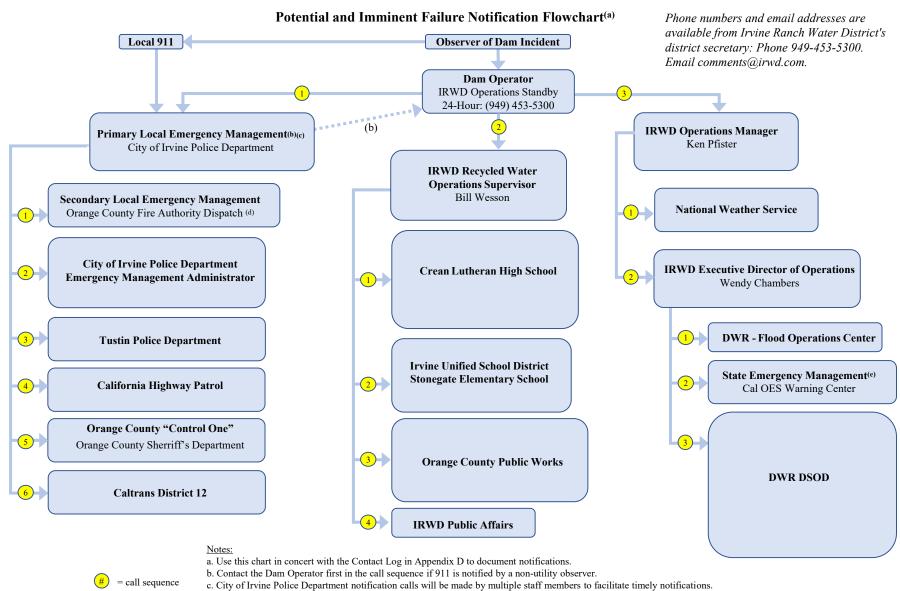
We are implementing predetermined actions to investigate and respond to this condition.

The dam is not predicted to fail as a result of this condition.

We will advise you when the situation is resolved or if the situation gets worse.

I can be contacted at the following number: \_\_\_\_\_\_.

If you cannot reach me, please call the following alternative number: \_\_\_\_\_\_.



d. Orange County Fire Authority provides fire service to Cities of Irvine and Tustin.

e. Use the Cal OES Warning Center Dam Incident Report in Appendix I. Copy to City of Irvine Police Department.

#### **Potential Failure Emergency Level**

This is \_\_\_\_\_ [your name and position].

We have an emergency condition at Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills.

We have activated the Emergency Action Plan for this dam and are determining this to be a **Potential Failure** condition.

At \_\_\_\_\_\_ on \_\_\_\_\_, IRWD observed or verified that:

We are implementing predetermined actions to respond to a rapidly developing situation that could result in dam failure.

Please prepare to evacuate the low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail. In the event of a failure, large areas are expected to be inundated from Portola Road between Jeffrey and Sand Canyon to Trabuco Road west of Jeffrey.

The dam could potentially fail as early as\_\_\_\_\_.

Reference the inundation map in your copy of the Emergency Action Plan.

We will advise you when the situation is resolved or if the situation gets worse.

I can be contacted at the following number: \_\_\_\_\_\_.

If you cannot reach me, please call the following alternative number: \_\_\_\_\_\_.

#### **Imminent Failure Emergency Level**

This is an emergency. This is \_\_\_\_\_ [your name and position].

Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills, is failing.

The downstream area must be evacuated immediately.

Repeat, Syphon Canyon Dam, Dam No. 1029.004, is failing; evacuate the low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue should be closed due to potential inundation.

We have activated the Emergency Action Plan for this dam and are determining this to be an **Imminent Failure** condition.

At \_\_\_\_\_ on \_\_\_\_\_, IRWD observed or verified that:

We are implementing predetermined actions to investigate and respond to this condition.

Reference the inundation map in your copy of the Emergency Action Plan.

I can be contacted at the following number \_\_\_\_\_\_.

If you cannot reach me, please call the following alternative number: \_\_\_\_\_\_.

The next status report will be provided in approximately 30 minutes.

#### **Public Message**

The following pre-scripted message may be **used for emergency management authorities to communicate the** <u>Imminent Failure</u> of the dam with the public:

Attention: This is an emergency message from \_\_\_\_\_ [emergency management agency]. Listen carefully. Your life may depend on immediate action.

Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills is failing. Repeat. Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills is failing.

If you are in or near this area, proceed immediately to high ground. The low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail may be flooded. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue may be closed due to flooding.

If you are in or near this area, proceed immediately to high ground away from low lying areas.

Repeat message.

### **3.2 Contact Information Table**

The contact table below lists all parties included in the notification flowcharts, along with other key stakeholders. If unable to contact a party using the method shown on the flowcharts, refer to this table to attempt to contact through a different pathway. All contacts included in the flow charts and contact tables are confirmed to be up-to-date as part of the annual EAP review process.

Organization	Name	<b>Primary</b>	Secondary	Email Address
Cal OES	(Title) California State Warning Center	Phone #	Phone #	
CHP	24-Hour Dispatch/Immediate			
Caltrans (District	24 hour Notification Number			
12)				
Caltrans (District	Bala Nanjappa,			of this
12)	(D-12 Maintenance Engineering)			osted copy of s
Crean Lutheran High School <sup>(a)</sup>	Main Line Number			removed from this publicly Water District's removed from trine Reach removed from trine Com. removed from trine Com. nail comments @irwd.com.
Crean Lutheran	Dan Moyer			om tine Rai
High School <sup>(a)</sup>	(Principal)			wed from Invit com
Crean Lutheran	Christine Cosenza			remote fronts@1
High School <sup>(a)</sup>	(Vice Principal)		e been	weildemmen
Crean Lutheran	Adam Shepphard		es haven is	hail co
High School <sup>(a)</sup>	(Dean of Students)		idressermana. El	v
Crean Lutheran	Angelo Marquez		wail chat injo 3.520	
High School <sup>(a)</sup>	(Director of Health, Safety, and	and	em 11, 949-45	
	Supervision)	bers nor	Pre phone	
DWR DSOD	Andrew Mangney	ae nume Acti		
	(Chief, Field Engineering Branch)	phone genos secrete		
DWR DSOD	Richard Draeger	Emetrici		
	(Southern Regional Engineer)	av		
DWR DSOD	5			
	(Area 9 Engineer)			
Irvine Police	Robert Simmons			

Organization	Name (Title)	Primary Phone #	Secondary Phone #	Email Address
Department	(Emergency Management			
	Administrator)			
Irvine Police	Non-Emergency Dispatch			
Department				
IRWD	Paul Cook, P.E.			
	(General Manager)			
IRWD	Ken Pfister			N <sup>O</sup>
	(Water Operations Manager)			red cove
IRWD	Wendy Chambers			by post Water
	(Executive Director of Operations)			blicts anch om.
IRWD	Bill Wesson			this prine trivid. Ce
	(Recycled Water Operations			from tim try ts@h
	Supervisor)			oved ble frommer
IRWD	Beth Beeman			n removed from this publicly posted copy of publicly posted copy of posted from this public on the provided of the from the provided of the from the provided of the provide
	(Public Affairs)		e bee	n is a Em
IRWD	Operations Standby		s have mat	2.53
Irvine Unified	Stephen Bayne		aresser informan	P <sup>2</sup>
School District	(Director)		il adu That ne 94	
NWS	National Weather Service		dema Plan. Pho	
OCFA	Shane Sherwood	ars 0	ne ction retary	
	(Division 2 Chief)	number no	sct sect	
OCFA	Baryic Hunter	shone mergedis	AN CO	
	(Division 4 Chief)	Piwis Errict's		
OCFA	Non-Emergency Dispatch	<sup>t</sup> D <sup>ist</sup>		
Orange County	Trevor Richardson, Operations and			
Public Works	Maintenance Emergency Coordinator			
Orange County	Theodore Luckham, Manager, General			
Public Works	Maintenance Division			
Orange County	Emergency Operations Center			

Organization	Name (Title)	Primary Phone #	Secondary Phone #	Email Address
Sheriff's Department	"Control One"			
Orange County	Non-Emergency Dispatch			of this
Sheriff's Department				nosted copy of the states
Stonegate	Sam Joo			this publicity per District
Elementary School <sup>(a)</sup>	(Principal)			noved from this Ranch W
Tustin Police	Stu Greenberg		Lave bee	in remover the from from the com.
Department	(Chief of Police)		addresses nave is	avalue avants (@1. *
Tustin Police	Sarah Fetterling	and en	That information Err	latt ca
Department	(Sergeant, Community Resources	phone numbers to Pla	n. 11. ne 949-453-55	
_	Unit)	Emergency Act Ph	0110	
Tustin Police	Non-Emergency Dispatch	district sector		n removed from this publicly posted copy of this available from Irvine Ranch Water District's available from Irvine Com. ail comments@irwd.com.
Department		-		

Notes: (a) Crean Lutheran High School and Stonegate Elementary School are located immediately downstream of the dam, and have been added to the notification flowcharts and contact tables in order to facilitate timely notifications.

## Section 4: Project Description

Syphon Canyon Dam was constructed in 1949. DSOD has given the dam a hazard classification of "Extremely High". The dam impounds Syphon Reservoir, which stores recycled water for use in the IRWD service area. The dam is a compacted earth fill embankment with a crest length of 843 feet. It has a barrier height of 59 feet, as measured from the maximum water surface at the spillway crest elevation of 380.4 feet<sup>5</sup> to the estimated downstream toe at an elevation of 321.4 feet. The upstream and downstream faces of the dam are sloped at a 2.5:1 ratio.

DSOD has not identified any CAS for Syphon Canyon Dam. Figure 4-1 is a schematic of the reservoir, showing the dam, spillway, and outlet works. A location map, including downstream jurisdictions, was previously provided in Figure 1-1.



Figure 4-1 Schematic of Syphon Canyon Dam

<sup>&</sup>lt;sup>5</sup> All elevations discussed in this plan are referenced to the in the North American Vertical Datum of 1988 (NAVD88).

The capacity of the reservoir behind the dam, based on as-built conditions, is 578 acre-feet at the spillway crest elevation of 380.4 feet. The dam has an upstream toe elevation of 332.4 feet. The modeling and inundation areas associated with failure at the Syphon Canyon Dam were completed using the full 578 acre-feet. Although some of the capacity may be taken up by accumulated sediment, DWR regulations require that any accumulated sediment be modeled as water. Figure 4-2 is the reservoir storage-capacity curve.

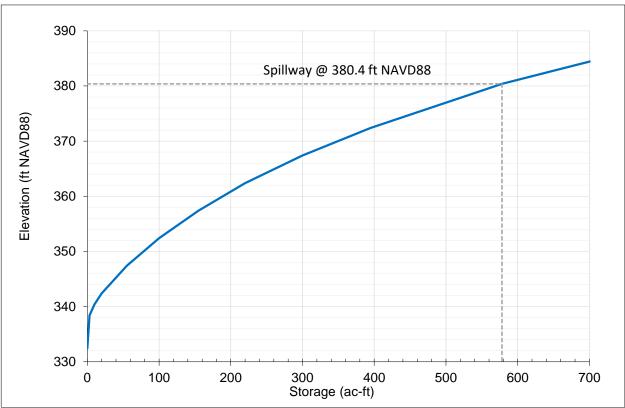


Figure 4-2 Reservoir Storage Capacity Curve

The drainage area upstream of Syphon Canyon Dam is 0.3 square miles. The reservoir collects some natural runoff during the rainy season from this area. It primarily stores recycled water from IRWD's MWRP, which is located approximately seven miles west-southwest from Syphon Canyon Dam. Recycled water is conveyed from the MWRP to Rattlesnake Reservoir, and then delivered to Syphon Reservoir through the Highline Canal, which discharges directly into the reservoir. The reservoir has a 15-inch outlet pipe, which has a 12-inch butterfly valve on the upstream side and two 16-inch butterfly valves on the downstream side. The outlet pipe conveys water to a chlorination station and then into IRWD's recycled water distribution system. The outlet pipe can also be used to drain the reservoir by conveying water through a 48-inch reinforced concrete pipe and then into a drainage ditch. The ditch capacity is not known.

Syphon Canyon Dam has a concrete spillway which discharges into a concrete- and gunite-lined channel. The spillway is an ogee crest weir, with a crest elevation of 380.4 feet. The spillway channel is about 12 feet wide and 80 feet long. A spillway capacity curve is not available for Syphon Canyon Dam, and creation of one was outside the scope of this plan.

Downstream of Syphon Canyon Dam, water from a dam failure would flow through streets, overland flow areas, and storm drain structures. The floodplain immediately downstream of Syphon Canyon Dam is an urban area with no natural streams or channels. A dam failure flood wave at this location is expected to greatly exceed the capacity of the nearby streets and storm drains. The first major channel to be inundated would be Peters Canyon Wash. OCPW has historically measured flows on Peters Canyon Wash.<sup>6</sup> In the channel, flows are typically less than 10 cfs; however, daily discharge after storm events can be as high as 1,000 to 3,000 cfs. A dam failure flood wave from Syphon Canyon Dam would attenuate significantly upon arrival at Peters Canyon Wash, and is expected to be contained within the channel (see Panels 6, 7, and 8 in Part II).

No extremely high flow, emergency flow, or dam emergency incidents are known to have occurred at Syphon Canyon Dam.

The water level in the reservoir is controlled through input valves, and if a potential or developing dam safety incident requires the lowering of the reservoir level, this must be done in accordance with the standard operating procedures described in IRWD's emergency plan. All actions associated with controlling flow into or out of the reservoir must be coordinated with IRWD's water operations staff or a representative designated by IRWD.

Discharge curves for the outlet pipe have not been prepared; however, the typical discharge flowrate through the 15-inch outlet pipe is 5 cfs. Though the reservoir has not previously been drained, the estimated time to drain it at typical discharge rates is 50 to 60 days. Water would be sent to the MWRP.

No extreme high flow or emergency events have ever occurred at the Syphon Canyon Dam which affected the surrounding community or downstream areas. If the dam were to fail, the Crean Lutheran High School Athletic Complex, the low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including Stonegate Elementary School and Jeffrey Open Space Trail could be inundated. Portions of I-5 between Culver Drive and Jeffrey Road could be impacted by an incident at Syphon Canyon Dam. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue would potentially be closed due to potential inundation.

Rattlesnake Canyon Reservoir, located about 1.2 miles north-northwest of Syphon Canyon Dam is a jurisdictional dam also owned by IRWD. The two dams are located on separate stream tributaries, and a breach at Rattlesnake Canyon Dam would not impact Syphon Canyon Dam. Syphon Canyon Dam is not within the inundation area of Rattlesnake Canyon Dam.

The Trabuco Retarding Basin is a jurisdictional dam owned by OCPW and is located downstream of Syphon Canyon Dam within the City of Irvine. Trabuco Retarding Basin

<sup>&</sup>lt;sup>6</sup> Daily discharge data from Orange County Public Works for Station PCW, Peters Canyon Wash at Barranca Parkway.

impounds water after storm events. A breach at Syphon Canyon Dam has the potential to impact the Trabuco Retarding Basin. The maps in Part II do not include an additional, sequential failure at Trabuco Retarding Basin. The maps in Part II reflect a breach at Syphon Canyon Dam when Trabuco Retarding Basin is empty, i.e. during non-storm conditions. If a breach were to occur at Syphon Canyon Dam during storm conditions when the Trabuco Retarding Basin is full, a sequential failure could occur in which Trabuco Retarding Basin also experiences a breach. Sequential failure analysis using the Syphon Canyon Dam hydraulic model showed that, with an additional breach at Trabuco Retarding Basin, the following additional impacts could be expected:

- Part II Maps, Panel 3: additional inundation in the area bounded by I-5, Jeffrey Road, and Trabuco Road.
- Part II Maps, Panel 5: additional inundation in the area bounded by the railroad tracks, Oliver Drive, Walnut Ave, and Yale Ave
- Part II Maps, Panels 6 and 7: Additional inundation between Walnut Ave and Deerfield Ave, east of Harvard Rd.
- In the areas described above that would experience additional inundation, the maximum inundation depth is typically less than 3 ft.

## Section 5: EAP Response Process

There are four steps that should be followed when an unusual or emergency incident is detected at the dam. These steps constitute the EAP Response Process. The steps are:

- Step 1: Incident detection, evaluation and emergency level determination
- Step 2: Notification and communication
- Step 3: Emergency actions
- Step 4: Termination and follow up

Early detection and evaluation of the condition(s) or triggering event(s) that initiate or require an emergency response action are crucial. Timely determination of an emergency level ensures that the appropriate response actions are taken based on the urgency of the situation. Procedures for early notification are provided in Section 3 that allow all entities involved with plan implementation to respond appropriately. Preventive or mitigating actions must be taken to attempt to address conditions at the dam. Eventually, a determination will need to be made concerning termination of the incident. After the incident is over, follow-up activities may be required. All of these steps make up the general EAP response process and are discussed in the following sections.

# 5.1 Step 1: Incident Detection, Evaluation, and Emergency Level Determination

Step 1 involves emergency detection, evaluation, and incident classification. Regular surveillance at the site is the normal method of detecting potential emergency situations. For conditions beyond the normal range of operations, contact DSOD for assistance with evaluation.

#### 5.1.1 Monitoring, Detection, and Early Warning

This EAP establishes the procedures to be employed by IRWD personnel to ensure the safety of life and property at and downstream of Syphon Canyon Dam. The dam does not have an on-site dam keeper, but the water level in the reservoir is remotely monitored and IRWD water operations staff conduct daily visual inspections of the dam and appurtenances. IRWD has a dam safety program which regularly monitors and inspects features of the dam to detect problems. This program includes:

- Monitoring of reservoir data in a SCADA system with alarms/alerts
- Visual inspection of the dam on a daily basis
- Measurement of seepage at a toe drain on a weekly basis. Seepage data are compared to historical data to assess trends and detect anomalies.
- Measurement of water levels at 12 piezometers. Measurements are compared to historical data to assess trends and detect anomalies.
- Semi-annual inspections by IRWD staff
- Annual inspections by DSOD

Section 7: Preparedness contains more details about how monitoring and detection instrumentation are used for incident preparedness.

Though access to Syphon Canyon Dam is restricted, the general public may observe Syphon Canyon Dam from the Crean Lutheran High School Athletic Complex and from Portola Parkway immediately downstream of the dam. This is the main area where the general public is able to observe the dam and detect potential anomalies. Outside of this area, public access and view of the dam is generally restricted, and monitoring and detection would be limited to IRWD staff.

## 5.1.2 Emergency Level Determination

After identification of a dam threatening condition, the dam operator or a qualified engineer will determine if there is sufficient time for additional investigation before declaring an emergency situation. Prior to activating the EAP, the IRWD Operations Manager will determine the emergency level.

There are four dam safety emergency level categories for the Syphon Canyon Dam. The sections below describe how each emergency level applies to the dam, and *Table 5-1 Emergency Level Determination* is provided for different incidents that pose dam safety hazards.

## High Flow – High Flows in System, No Threat to Dam

The High Flow emergency level indicates that flooding is occurring on the river system, but there is no apparent threat to the integrity of the dam. The High Flow emergency level is used by the dam owner to convey to outside agencies that downstream areas may be affected by the dam's release. Although the amount of flooding may be beyond the control of the dam owner, information on the timing and amount of release from the dam may be helpful to authorities in making decisions regarding warnings and evacuations.

The Syphon Canyon Dam has a small drainage area and is filled and drained independently of the local stream system. It is therefore highly unlikely that it would be affected by a high flow situation as described in the FEMA guidelines. However, the high flow scenario has been included in this EAP to ensure complete preparedness.

### Non-Failure – Unusual, Slowly Developing Event

The Non-Failure emergency level is appropriate for an event at a dam that will not, by itself, lead to a failure, but requires investigation and notification of internal and/or external personnel. This classification indicates a situation is developing; however, the dam is not in danger of failing. In many cases, these unusual events are remedied with no further action required. In some cases, flow over spillways could cause unexpected flooding downstream, but the dam is not endangered. In cases of spillway releases, downstream residents may need to be notified if flooding threatens life or property, but it should be made clear that the dam is safe. Examples of Non-Failure events are (1) new seepage or leakage on the downstream side of the dam, (2) presence of unauthorized personnel at the dam, and (3) malfunction of an inlet valve in the open

position creating the potential for high flow downstream of the dam or excessive erosion in the vicinity of the outlet works.

#### Potential Failure – Potential Dam Failure, Rapidly Developing

This classification indicates that a situation is rapidly developing that could cause the dam to fail. A reasonable amount of time is available for analysis before deciding whether to evacuate residents. Emergency responders in affected areas will be alerted that an unsafe situation is developing. The Potential Failure emergency level indicates that conditions are developing at the dam that could lead to a dam failure. Examples of Potential Failure events are (1) rising reservoir levels that are approaching the top of the non-overflow section of the dam, (2) transverse cracking of an embankment, and (3) a verified bomb threat. Declaration of a Potential Failure should convey that time is available for analyses, decisions, and actions before the dam could fail. A failure may occur, but predetermined response actions may moderate or alleviate failure.

#### Imminent Failure – Dam Failure Appears Imminent or In-Progress

The Imminent Failure emergency level indicates that time has run out, and the dam has failed, is failing, or is about to fail. Imminent Failure typically involves a continuing and progressive loss of material from the dam. It is not usually possible to determine how long a complete breach of a dam will take. Therefore, once a decision is made that there is no time to prevent failure, the Imminent Failure warning must be issued. For purposes of evacuation, emergency management authorities may assume the worst-case condition that failure has already occurred.

*Table 5-1 Emergency Level Determination* is provided to assist with decision criteria to determine emergency level.

Table 5-1 Emergency Level Determination			
Event	Example Situation	Emergency Level	
Erosion of	Spillway flowing with active erosion gullies	Potential Failure	
Spillway	Spillway flowing with significant erosion and head cutting advancing rapidly toward reservoir	Imminent Failure	
Embankment Overtopping	Reservoir level reaches higher than 380.4 feet NAVD88 and is increasing	Potential failure	
	Water from the reservoir is flowing over the top of the dam	Imminent Failure	
	New seepage areas in or near dam	Non-Failure	
Seepage	New seepage areas with cloudy discharge or increasing flow rate	Potential Failure	
	Seepage with increasing and significant flow rate	Imminent Failure	
Sinkholes	Observation of new sinkhole in reservoir area or on embankment	Potential failure	
	Rapidly enlarging sinkhole	Imminent failure	
Embankment Cracking or	New cracks in embankment greater than 1/4-inch-wide without seepage	Non-Failure	
Settlement	Cracks in the embankment with seepage	Potential Failure	
	Visual shallow slippage	Non-Failure	
Embankment Movement	Visual deep-seated movement/slippage of embankment	Potential Failure	
wiovement	Sudden or rapidly proceeding slides of embankment slope	Imminent Failure	
	Measurable earthquake reported within 50 miles of the dam	Non-Failure	
	Earthquake resulting in visible damage to dam or appurtenances	Potential Failure	
Earthquakes	Earthquake resulting in uncontrolled release of water over dam or rapidly developing flow through cracks or rapidly developing erosion through increased seepage	Imminent Failure	
Fire	Significant fire in the area that affects access to the dam	Non-Failure	
Instruments	Instrumentation readings beyond predetermined values	Non-Failure	
	Releases causing erosion around outlet works	Non-Failure	
Outlet System Failure	Uncontrolled releases through the outlet but the dam's structural integrity is still maintained	Potential Failure	
ranure	Uncontrolled releases through the outlet with dam failure imminent	Imminent Failure	
Security	Verified bomb threat that, if carried out, could result in damage to the dam	Potential failure	
Threat	Detonated bomb that has resulted in damage to the dam or appurtenances	Imminent failure	
Sabatanal	Damage that could adversely impact the functioning of the dam	Non-failure	
Sabotage/ Vandalism	Damage that has resulted in seepage flow	Potential failure	
, un aun sin	Damage that has resulted in uncontrolled water release	Imminent failure	

Table 5-1	Emergency	Level	Determination
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# 5.2 Step 2: Notification and Communication

After the emergency level at the dam has been determined, notifications are made in accordance with the appropriate notification flowcharts in Section 3. Each chart identifies who is responsible for notifying representatives and/or emergency management officials; the prioritized order in which individuals are to be notified; and who is to be notified. A contact list for the flowchart contacts, as well as other affected parties is found in Section 3.2.

During a dam safety incident, the observer of the dam incident will call 911 and/or the dam operator. If local 911 (primary local emergency management) is called first, they will then notify the dam operator. If the dam operator is notified first, they will ensure that primary local emergency management is also aware of the situation. Once the appropriate emergency level has been determined, the flowchart corresponding to that level will be used to inform affected parties of the situation as it progresses. Parties at the start of each branch are responsible for making all calls within that branch, in the order indicated. Positive contact is required. If it is not possible to contact a particular party based on the information given in the flowchart, the notifying party should refer to the contact table provided in Section 3.2.

The notification flowcharts (Section 3.1) require that the primary local emergency management agency, City of Irvine Police Department, make additional calls as part of the notification process. To ensure that notifications are made in a timely manner, multiple staff members will be available to make notification calls for the City of Irvine Police Department. City of Irvine Police Department has agreed to perform the responsibilities in the notification flowcharts and in this EAP. These instructions will be updated annually when the plan is reviewed and contacts are updated (see Section 8.1).

When performing notification and communication activities, it is important that people speak in clear, non-technical terms to ensure that those being notified understand what is happening at the dam, what the current emergency level is, and which actions to take. To assist in this step, prescripted messages are available in Appendix E. Additionally, fill out the Cal OES Warning Center Dam Incident Report (Appendix I) and use it for initial notifications. Use the Contact Log (Appendix D) to track required notifications that are attempted or made. The contact information on each notification flowcharts must be updated annually by the dam owner's/operator's representative.

In the event of an emergency, IRWD will coordinate closely with emergency management authorities. All parties must understand that the formal declaration of public emergency by emergency management authorities can be a very difficult decision. During this step, IRWD will provide any information that will assist in that decision. An early decision and declaration are critical to maximizing available response time.

## 5.3 Step 3: Emergency Actions

After the initial notifications have been made, IRWD will act to save the dam and minimize impacts to life, property, and the environment. Depending on the nature of the incident, a Unified Command may be established by the Irvine Police Department and OCFA, and an ICP may be

established to coordinate emergency response and/or evacuations. During this step, there is a continuous process of taking actions, assessing the status of the situation, and keeping others informed through communication channels established during the initial notifications. Additional resources may be requested through the ICP, City of Irvine EOC, or County and OA EOC if requirements exceed the IRWD internal maintenance, construction, and contracting capabilities.

*Table 5-2 Possible Remedial Actions* provides the dam owner/operator with a set of actions to take for different events. The actions listed are not all inclusive of those that may need to be taken during an emergency. Use the Emergency Incident Log (Appendix F) to document the emergency event.

Table 5-2 Possible	e Remedial Actions
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Condition	<b>Description of Condition</b>	Action to be Taken
Release/High	Reservoir level reaches elevation 380.4 ft (spillway begins to discharge).	1. Cease filling operations unless overfilling and spillway discharge is planned. Close inlet valves.
		2. If inlet valves have malfunctioned and cannot be closed, contact maintenance crews for immediate repair. Determine if inlet flowrate exceeds the spillway discharge capacity. If not, monitor spillway for signs of excessive erosion, and determine whether a high flow condition may exist downstream. Make notifications as appropriate.
Seepage Localized new seepage or boils observed along downstream face / toe of earthen embankment with muddy discharge and increasing but controllable discharge of water	1. Measure and record feature dimensions, approximate flow rate, and relative location to existing surface features. Take photos if camera is available. Document location on a site plan and in inspection report.	
	observed along downstream face / toe of earthen embankment with muddy discharge and increasing but	2. Place a ring of sand bags with a weir at the top towards the natural drainage path to monitor flow rate. If boil becomes too large to sand bag, place a blanket filter over the area using non-woven filter fabric and pea gravel. Attempt to contain flow in such a manner (without performing any excavations) that flow rates can be measured. Stockpile gravel and sand fill for later use, if necessary.
		3. Inspect the dam and collect piezometer, water level and seepage flow data daily unless otherwise instructed by engineer. Record any changes of conditions. Carefully observe dam for signs of depressions, seepage, sinkholes, cracking or movement.
		4. Contact geotechnical engineer and provide all data collected.
		5. Maintain continuous monitoring of feature. Record measured flow rate and any changes of condition, including presence or absence of muddy discharge.

Condition	Description of Condition	Action to be Taken
Seepage (cont.)	Localized new seepage or boils (cont.)	6. Review information collected by field inspection and provide additional instructions / actions as required. Recommend remedial seepage and stability measures.
		7. Make notifications if condition worsens such that failure is imminent.
		1. Contact law enforcement authorities and restrict all access (except emergency responders) to dam. Restrict traffic on dam crest to essential emergency operations only.
Sabotage and Miscellaneous Other Issuesdamage structure are req facility	Criminal action with significant damage to embankment or structures where significant repairs are required and the integrity of the facility is compromised – condition appears stable with time.	2. Assess extent of damage and visually inspect entire dam for additional less obvious damage. Based on inspection results, confirm if extent of damage to various components of the dam warrants revised emergency level and additional notifications.
		3. Perform additional tasks as directed by the Engineering Supervisor or designee.
		4. Make notifications if conditions worsen.
Earthquakes	Report of an earthquake epicenter within 50 miles	Inspect dam and evaluate the damage sustained and the potential danger of failure. Check for seepage, cracks, displacements, and settlement. Inspect outlet works and spillways. Evaluate instrumentation.
Erosion of Spillway	Erosion or undermining of concrete spillway	Provide temporary protection at the point of erosion by placing sandbags, riprap materials, or plastic sheets weighted with sandbags. Consider pumps and siphons to help reduce the water level in the reservoir. When inflow subsides, lower the water level in the reservoir to a safe level; continue operating at a lower water level to minimize spillway flow.
Fire		Implement fire procedures (if applicable).

Condition	Description of Condition	Action to be Taken
Abnormal Instrumentation Reading	Piezometers and seepage measurements are outside of established dam safety parameters.	Conduct daily inspections of the dam. Check and record reservoir elevation, rate at which reservoir is rising, weather conditions (past, current, forecasted), and new or changed conditions associated with this event. Evaluate accuracy of instrumentation. Draw down reservoir level, as required.
Outlet System Failure	Failure of the outlet system piping at a point inside the dam foundation.	Implement temporary measures to protect the damaged structure, such as closing the inlet. Lower the water level in the reservoir to a safe elevation, possibly by using pumps or siphons. Consider the severity of flow through outlet, risk to the dam foundation/liner and increased flows in determining emergency level.
Cracks: New longitudinal (along the embankment) or transverse (across	1. Measure and record feature dimensions, approximate flow rate, and relative location to existing surface features. Take photos if camera is available. Document location on a site plan and in inspection report.	
	Embankment New longitudinal (along the embankment) or transverse (across the embankment) cracks more than 6 inches deep or more than 3 inches	2. Restrict traffic on dam crest to essential emergency operations only.
		3. Contact geotechnical engineer and provide all data collected.
		4. Place buttress fill (min 3 ft. high, 15 ft. wide) against base of slope immediately below surface feature and extending 20 ft. beyond visible feature limits (parallel to the embankment). Stock pile additional fill.
Embankment Deformation		5. Place sand bags as necessary around crack area to divert any storm water runoff from flowing into crack(s).
embankme		6. Inspect the dam; collect piezometer and water level data twice daily unless otherwise instructed by engineer; and record any changes of condition. Carefully observe dam for signs of depressions, seepage, sinkholes, cracking or movement.
		7. Review information collected by field inspectors and provide additional instructions / actions as required. Consider survey monitoring.
		8. Make notifications if conditions worsen such that failure is imminent.

Condition	<b>Description of Condition</b>	Action to be Taken
		1. Measure and record feature dimensions, approximate flow rate, and relative location to existing surface features. Take photos if camera is available. Document location on a site plan and in inspection report.
		2. Restrict traffic on dam crest to essential emergency operations only.
		3. Contact geotechnical engineer and provide all data collected.
Embankment Deformation (cont.)	Slides / Erosion: Deep slide / erosion (greater than 2 feet deep) on the embankment that may also extend beyond the embankment toe but does not encroach onto the embankment crest and appears stable with time.	4. Re-establish embankment fill slope. Place 5 ft. high buttress fill against base of slope at the slide location that extends at least 15 ft. beyond the furthest downstream limits (perpendicular to the embankment) and extending 20 ft. beyond visible feature limits at either end (parallel to the embankment).
		5. Place sand bags as necessary around slide area to divert any storm water runoff from flowing into slide(s).
		6. Inspect the dam; collect piezometer and water level data daily unless otherwise instructed by engineer; and record any changes of condition. Carefully observe dam for signs of depressions, seepage, sinkholes, cracking or movement.
		7. Review information collected by field inspectors and provide additional instructions / actions as required. Consider survey monitoring.
		8. Make notifications if conditions worsen such that failure is imminent.
	<b>Sinkholes:</b> Small depression observed on the embankment or within 50 feet of the embankment toe that is less than 5 feet deep and 30 feet wide or which is increasing with time.	1. Lower reservoir elevation.
		2. Measure and record feature dimensions, approximate flow rate, and relative location to existing surface features. Take photos if camera is available. Document location on a site plan and in inspection report.
		3. Restrict traffic on dam crest to essential emergency operations only.
		4. Contact geotechnical engineer and provide all data collected.

Condition	<b>Description of Condition</b>	Action to be Taken
Embankment Deformation (cont.)		5. Backfill the depression with relatively clean earth fill (free of organic materials) generally even with surrounding grade and slightly mounded (6 to 12 inches higher) in the center in order to shed storm water away from the depression. Stock pile additional fill.
	Sinkholes (cont.):	6. Inspect the dam; collect piezometer and water level data daily unless otherwise instructed by engineer; and record any changes of condition. Carefully observe dam for signs of depressions, seepage, sinkholes, cracking or movement.
		7. Review information collected by field inspectors and provide additional instructions / actions as required. Consider remedial construction such as grouting.
		8. Make notifications if conditions worsen such that failure is imminent.

## 5.4 Step 4: Termination and Follow-up

Once conditions indicate that there is no longer an emergency at the dam site, EAP operations are terminated and follow-up actions are completed. Generally, IRWD or a designated safety expert will be responsible for notifying the IC that the condition of the dam has been stabilized.

The IRWD General Manager, in consultation with the IRWD operations and engineering staff members, dam safety experts, and response personnel, is responsible for determining when the dam safety situation has stabilized. The General Manager will terminate the EAP, which signifies that the dam incident has been resolved at the dam site.

The IRWD Operations Manager will follow the notification flowchart to alert all contacts of the EAP's termination. The Operations Manager will complete the Termination Log (Appendix G).

The Unified Command/IC is responsible for terminating the field level emergency response and relaying this decision to appropriate individuals and agencies. Prior to the termination of an Imminent Failure event that has not caused actual dam failure, DSOD will inspect the dam to determine whether any damage has occurred that could potentially result in loss of life, injury, or property damage.

Post incident, the EAP Coordinator will set up and facilitate a meeting to review the incident and EAP implementation activities. The dam personnel involved with the plan implementation, as well as the responding agencies should be present at the meeting. The following topics will be discussed and evaluated in an after-action review:

- Events or conditions leading up to, during, and following the incident
- Significant actions taken by each participant and improvements for future emergencies
- All strengths and deficiencies found in the incident management process, materials, equipment, staffing levels, and leadership
- Corrective actions identified and a planned course of action to implement recommendations

IRWD will prepare an after-action report (Appendix H), which analyzes what happened, why it happened, and how it can be prevented in the future from a dam safety and/or EAP perspective. The City of Irvine Police Department, OCFA, City of Tustin Police Department, and the County and OA EOC Manager may prepare a separate after-action reports focused on localized emergency response and evacuation. Outside agencies will be invited to contribute to the after-action report, and findings of the report will be used to improve the EAP.

# Section 6: General Responsibilities

## 6.1 Irvine Ranch Water District Responsibilities (Dam Owner)

Overall IRWD dam owner responsibilities include:

- Detect, verify and assess emergency conditions
- Respond to emergencies at the dam site
- Activate and implement the Syphon Canyon Dam EAP, including determining the appropriate emergency level.
- Notify other participating emergency management agencies of emergency conditions, emergency level, EAP activation, and other critical information
- Take corrective action at the dam/reservoir
- Terminate the EAP
- Facilitate an after-action evaluation and report
- Update EAP on at least an annual basis
- Communicate with the public and the media

The above responsibilities are to be executed in coordination with emergency management authorities. Dam owner responsibilities by role are outlined in *Table 6-1 Summary of Dam Owner's Responsibilities*. Responsibilities are listed for key personnel including the Operations Manager, Dam Operator, Executive Director of Operations, General Manager, Public Affairs, and EAP Coordinator.

IRWD, as the dam owner and operator, is responsible for developing and maintaining the EAP. This includes updating the EAP on at least an annual basis, including updating contact information and notification charts in Section 3. The dam owner is responsible for regular monitoring and inspections of the dam and for responding to emergencies at the dam.

As the dam owner, IRWD will carry out notifications as outlined in Section 6.2, including to the primary local emergency management, state emergency management, and the NWS. Notification charts and procedures are given in Section 3. IRWD's Public Affairs office will communicate with the public and the media. If needed, IRWD will procure outside equipment and materials to aid with a dam incident or emergency.

Role	Responsibilities
IRWD Operations Manager	<ol> <li>Detect incident from alarms / SCADA / visual inspections, or other monitoring data.</li> <li>As soon as an emergency event is observed or reported, immediately determine the emergency level as detailed in Section 5.</li> <li>Utilize the emergency notification flowcharts in Section 3 to notify the appropriate response personnel and record notifications in the contact log in Appendix D.</li> <li>If no one is onsite, determine emergency level and dispatch operator to the site</li> <li>Coordinate directly with the Unified Command/IC or first responders at the dam site.</li> <li>Coordinate directly with the ICP, City of Irvine EOC, or County and OA EOC, if established.</li> <li>Coordinate with Dam Operator on gate, valve operations and emergency procedures</li> <li>Dispatch construction and maintenance crews as necessary</li> <li>Procure outside equipment and materials as necessary</li> <li>Provide regular status reports to senior management</li> <li>Upon termination of EAP by General Manager, fill out a Dam Emergency Termination Log (Appendix G)</li> <li>Participate in the creation of an After-Action Report (Appendix H) to be used in the EAP review process.</li> </ol>
Dam Operator/ On-site Monitor	<ol> <li>Detect/confirm incident at dam</li> <li>Implement gate and valve operations and other emergency procedures</li> <li>Assess need for construction and maintenance crews and/or outside equipment and materials</li> <li>Coordinate dam site security during incident</li> </ol>
Executive Director of Operations	<ol> <li>Make calls on notification charts</li> <li>Initiate periodic status report conference calls with dam operator, Operations Manager, and Public Affairs.</li> <li>Provide regular status reports to ICP, City of Irvine EOC, or County and OA EOC, if established.</li> <li>Coordinate with Public Affairs office</li> </ol>
General Manager	<ol> <li>Initiate periodic status report conference calls with dam operator, Operations Manager, and Public Affairs.</li> <li>Provide regular status reports to ICP, City of Irvine EOC, or County and OA EOC, if established.</li> <li>Notify government authorities when the dam condition has been stabilized.</li> <li>Terminate the EAP.</li> <li>Coordinate with Public Affairs office</li> </ol>
Public Affairs	<ol> <li>Mobilize to Irvine EOC, or County and OA EOC, if established.</li> <li>Participate in periodic status report conference calls with dam operator, Operations Manager, and management</li> <li>Provide input to staff on emergency communications</li> <li>Represent IRWD to media</li> </ol>
EAP Coordinator	<ol> <li>Update EAP at least annually</li> <li>Distribute EAP copies/updates to other plan holders</li> <li>Facilitate the creation of an After-Action Report (Appendix H) (see Sections 6.5 for additional information)</li> </ol>

# Table 6-1 Dam Owner Responsibilities by Role

# 6.2 Notification and Communication Responsibilities

IRWD, as the dam owner/operator will determine the appropriate emergency level in accordance with Section 5, and then notify the appropriate emergency management authorities in accordance with Section 3. The dam operator or IRWD operations center will maintain the contact log (Appendix D) to document notifications for the appropriate emergency level.

IRWD's Operations Manager will notify the NWS of an emergency at Rattlesnake Canyon Dam. Flood warnings and watches will be issued by the San Diego Weather Forecast Office of the NWS (see notification charts in section 3.1).

IRWD's Executive Director will notify the State Emergency Operations Center and DSOD (see notification charts in section 3.1). IRWD's Public Affairs office will be responsible for communication with the media.

If time allows, onsite personnel may be able to seek internal advice and assistance. However, under an Imminent Failure condition, the responsibility and authority for notification is delegated to the dam operator or local official. Notification protocols are determined by the classification level of the incident and are pre-determined in the notification flowcharts found in Section 3.

Syphon Canyon Dam is located in unincorporated Orange County. However, because the effects of a dam emergency at Syphon Canyon Dam would almost exclusively affect the City of Irvine, Irvine is designated as the PSAP. A Unified Command may be established in order to coordinate between multiple jurisdictions and/or agencies, as required. IRWD is designated as the lead agency for notification and coordination with both the City of Irvine and the County to initiate required response actions including the appropriate notifications to impacted community members. Once notified of an incident at the dam, the City of Irvine EOC or County and OA EOC may be activated to serve as the center for response, warning, and evacuation activities.

Emergency management authorities with statutory obligations are responsible for warning and evacuation within the affected areas (see Part II Inundation Maps). Emergency incident logs should be used to document incident related events and should be maintained at command centers and at the dam site or dam operations center. Appendix F contains an example emergency incident log.

## 6.3 Evacuation Responsibilities

Inundation maps developed by IRWD and approved by DSOD are included in Part II of this EAP and have been distributed to the emergency management authorities listed in the notification flowcharts in Section 3. The EAP distribution list may be found in Appendix C. These maps inform the development and refinement of warning and evacuation plans, and are based on the worst-case scenario of a complete and sudden failure of the dam when it is filled to the spillway crest elevation during a "sunny day" failure, without additional storm flows in Peters Canyon Wash or San Diego Creek. Water levels in the Syphon Reservoir fluctuate considerably throughout the year. Inundation maps are based on conservative breach parameters and a situation where the reservoir is storing the maximum capacity of water. Therefore, the inundation maps included in Part II of this EAP should be considered a worst-case scenario. Emergency planners and response personnel should consider the specifics of each situation when making response decisions during a dam emergency.

The Unified Command/IC will facilitate coordination among agencies and disciplines for evacuations within the affected area.

The City of Irvine Police Department would lead evacuations in the City of Irvine. Similarly, the City of Tustin Police Department would lead evacuations in the City of Tustin. Both police departments may request assistance with evacuations from OCFA, which provides fire services to both cities.

Public safety agencies will implement emergency response plans as required and at the direction of the Unified Command/IC.

OCSD, which is not part of the Unified Command, may be called upon by the Unified Command to assist with evacuations, if necessary.

## 6.4 Monitoring, Security, Termination, and Follow-up Responsibilities

The dam operator or an appointed representative will be designated as the onsite monitor from the beginning of a dam safety incident until the emergency has been terminated. This person will provide status updates to the IRWD Operations Manager, who will provide regular status reports to senior management and local authorities.

During a dam safety incident, the IRWD onsite monitor will oversee security at the dam site. Access to the dam site will be strictly controlled by IRWD. Only those required to respond to the emergency or execute remedial actions will be granted access to the site.

Termination of a dam safety emergency is twofold. The IRWD General Manager, in consultation with IRWD operations and engineering staff members, dam safety experts, and response personnel, is responsible for determining when the dam safety situation has stabilized. The IRWD General Manager will officially terminate the EAP. The Unified Command/IC is responsible for termination of the emergency response activities, including termination of an evacuation.

The dam owner and emergency response authorities should coordinate closely while making decisions to terminate both the dam safety event and the response efforts. Upon termination of the EAP, IRWD's Operations Manager will notify all flowchart entities which were activated at the start of the emergency incident, and complete an Emergency Termination Log (Appendix G) for submission to DSOD and the Cal OES Warning Center (if notified).

Recovery activities will continue on different levels for all involved in the dam safety incident after the emergency has been terminated. IRWD will coordinate a follow-up evaluation after any emergency and prepare an after-action report. All participants in the dam safety incident should

be involved in the evaluation and should keep logs during the incident. An example emergency incident log is provided in Appendix F, although emergency response agencies may maintain alternate documentation methods according to their established internal procedures. IRWD's EAP Coordinator will prepare an after-action report (Appendix H), which analyzes what happened, why it happened, and how it can be prevented in the future from a dam safety and/or EAP perspective. OCFA, the City of Irvine EOC Manager and the County and OA EOC Manager may prepare a separate after-action report focused on the emergency response and evacuation.

## 6.5 EAP Coordinator Responsibilities

IRWD has designated the IRWD Safety Manager as the EAP Coordinator. The EAP coordinator is responsible for overall EAP related activities, including the following:

- Provide leadership to ensure the EAP is reviewed and updated annually.
- Coordinate annual EAP exercises (see Section 7.2.2 for exercise schedule).
- Summarize the annual EAP exercise for posting to the IRWD website.
- Prepare revisions to the EAP after annual exercise and review.
- Verify and update agency contact information.
- Distribute copies of the revised EAP to all parties who received copies of the original EAP.
- Establish training seminars for IRWD personnel and primary emergency management authorities.
- Coordinate emergency outreach programs with residents and businesses in close proximity to the reservoir.
- After a dam safety incident, hold a meeting to review the incident and EAP implementation activities.
- Facilitate the creation of an After Action Report (Appendix H) after a dam incident by gathering incident information from authorities.
- Utilize any After Action Reports during EAP review process.

The EAP Coordinator is the main point of contact for any questions or comments regarding this EAP. The current EAP Coordinator for IRWD is Emilyn Zuniga, who can be reached at:

Emilyn Zuniga, IRWD Safety Manager Irvine Ranch Water District 3512 Michelson Drive Irvine, CA 92612-1799

# Section 7: Preparedness

## 7.1 Surveillance and Monitoring

The Syphon Canyon Dam EAP establishes the procedures to be employed by IRWD personnel to ensure the safety of life and property at and downstream of Syphon Canyon Dam. The Water Operations Manager is responsible for the day to day operation of the reservoir and the dam surveillance and monitoring program. Operations are supported by IRWD maintenance activities. The reservoir is filled at the beginning of the high demand season, operated through the season, then drawn down for the low demand months. IRWD maintains a surveillance and inspection program for the Syphon Canyon Dam. Monitoring and surveillance data is reviewed by an independent consultant and annual reports are prepared and maintained on file with IRWD. Schematic drawings of the dam which show the surveillance and monitoring instrumentation are included as Figures 7-1 and 7-2.

## 7.1.1 SCADA

IRWD has a supervisory control and data acquisition (SCADA) system that allows staff to remotely monitor water levels and alarms at Syphon Canyon Dam. There is no dam keeper on site, but operations staff remotely monitor conditions at the reservoir.

### 7.1.2 Seepage Point

The flow from one seepage point (toe drain) is measured by IRWD personnel on a monthly basis. The flows are observed for clarity to check for the presence of any suspended solids that might indicate a potential piping condition. Blockages in the drain piping may cause seepage areas to appear upstream of the vault. Increased flows or anomalies based on historical data are investigated.

### 7.1.3 Visual Surveillance and Monitoring

Visual inspections are conducted daily by the dam operator that consist of monitoring the water surface elevation, inspecting visible appurtenances, inspecting the access roadway and spillway for cracking, inspecting the downstream toe for seepage, and inspecting the slopes and crest parapet wall for any visible displacement. Any visible cracking, seepage, or signs of settlement or instability are reported and trigger further investigation of the piezometers and monuments or engineering analysis. All of the outlet gates and blow off valves are exercised at least annually to confirm operability. DSOD requires the outlet valves and blow-off valves be exercised once every three years in the presence of a DSOD representative.

Maintenance is conducted as required to remove excessive vegetation at or near the spillway or on the dam face and to control rodent activity on the dam face.

### 7.1.4 IRWD and DSOD Inspections

IRWD conducts semi-annual inspections. DSOD inspections are conducted annually. Visual inspections of the dam, spillway, outlet, and seepage are conducted, along with a review of monitoring and surveillance data. Annual inspections are documented and maintained on file at both IRWD and with DSOD.

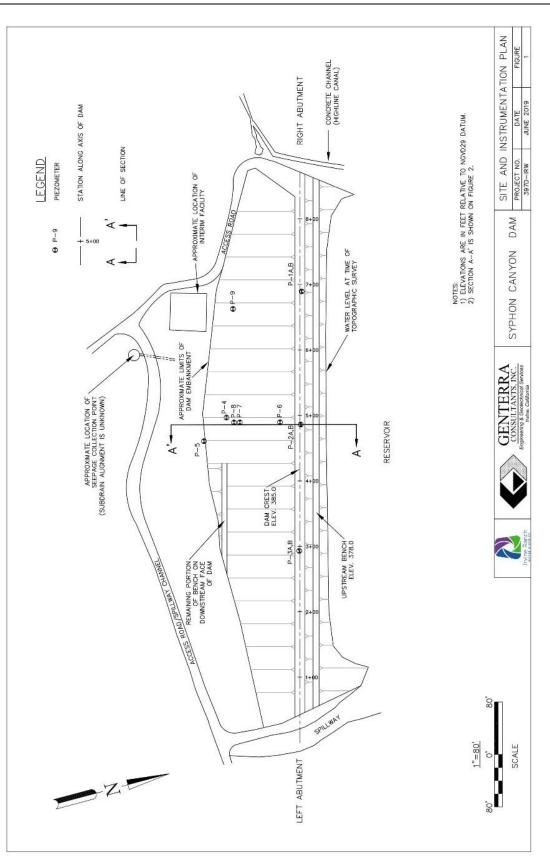


Figure 7-1 Dam Surveillance and Monitoring Locations

Syphon Canyon Dam, DSOD No. 1029.004, Orange County, California



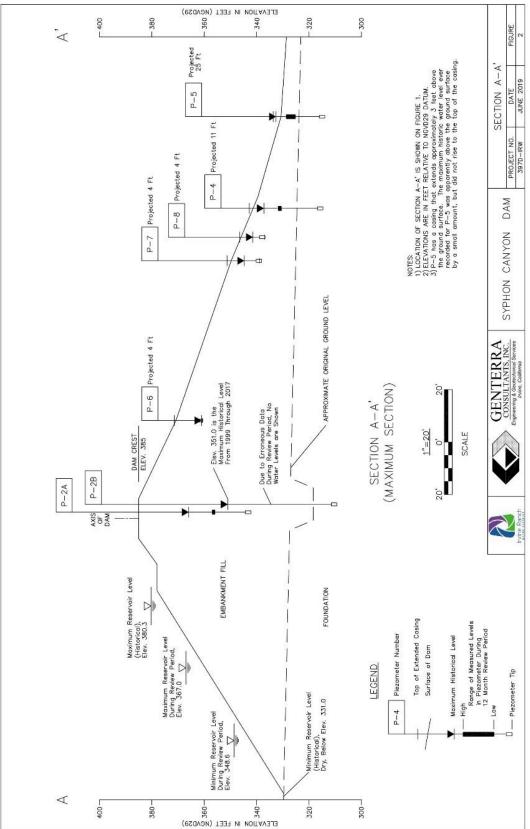


Figure 7-2 Dam Surveillance and Monitoring Profile

## 7.2 Evaluation of Detection and Response Timing

Timely implementation of the EAP and coordination and communication with emergency management authorities are crucial elements in the effectiveness of the emergency response effort. Total EAP implementation time from the initiation of an actual incident to determination of an emergency situation and notification of appropriate entities involved with implementation is evaluated through annual exercises and training. The time from the initial detection of an incident through the determination of the emergency level and execution of the notifications to the appropriate entities should take no more than 20 minutes. The initial detection and notification time will be evaluated during IRWD's annual review and exercises (see Section 7.2.2), and may be updated in subsequent EAP revisions.

## 7.2.1 Training

All personnel involved in the EAP should be familiar with the elements of the plan, their responsibilities and duties outlined in the plan and, if applicable, the types and availability of equipment during an emergency. Personnel should be familiar with problem detection and evaluation, and appropriate remediation actions, as detailed in this EAP.

### 7.2.2 Annual Review and Exercises

IRWD will review and, if needed, update the EAP at least once annually leading up to the emergency action plan notification exercise described below. This review includes contacting all parties listed in this EAP to verify that contact names, phone numbers, addresses and other information is current. One of the most important tasks is to verify and update the contacts listed in the Emergency Notification Flowcharts in Section 3. Making updates to locally available resources along with the other information in the EAP is also important so that accurate information is readily available during an emergency.

In accordance with California Government Code Section 8589.5(c), at least once annually, IRWD will conduct an emergency action plan notification exercise with local public safety agencies, to the extent that a local public safety agency wishes to participate. This annual exercise is to ensure that emergency communications plans and processes are current and implemented effectively.

Exercises will follow the types of exercises defined in the Homeland Security Exercise and Evaluation Program (HSEEP), beginning with simple exercises and advancing to more complex exercises. Sufficient time should be provided between each exercise to learn and improve from the experiences of the previous exercise. IRWD, as the dam owner/operator, will coordinate with the City of Irvine, OCFA and the OCSD EMD in order to exercise the EAP. Exercises promote prevention, preparedness, and response to incidents and emergencies. Exercises may also be extended to include recovery operations. Periodic exercises result in an improved EAP as lessons learned are incorporated into the updated EAP document. The frequency and level of exercise will be determined in coordination with the City of Irvine, OCFA, the OCSD EMD and other local emergency response organizations.

The following are recommended frequencies for the exercise types described in the HSEEP:

- Seminars with primary emergency management authorities as part of the annual emergency action plan notification exercise annually.
- Drills to test the notification flowcharts in Section 3 and emergency equipment/procedures (emergency action plan notification exercise) annually.
- Tabletop exercise every 3 to 4 years or before functional exercises.
- Functional exercise every 5 years.
- Full scale exercise as required to evaluate actual field movement and deployment. At least one functional exercise should be conducted before conducting a full-scale exercise.

Functional and full-scale exercises should be coordinated with other scheduled exercises, whenever possible, to share emergency management resources and reduce costs.

## 7.3 Access to the Site

Access to the Syphon Canyon Dam can be coordinated with the dam operator at the numbers provided in the notification flowcharts and contact tables in Section 3. Depending on the dam safety incident, IRWD may establish an operations center to coordinate dam safety response activities and provide information to other emergency response personnel.

The dam is located near the intersection of Portola Parkway and Sand Canyon Avenue in Irvine, CA 92620. The main access road is located at 6301 Portola Parkway, Irvine CA. All vehicle access points to Syphon Canyon Dam (from Access Rd and Portola Parkway) are gated and locked. The property is fenced to limit pedestrian access. Figures 1-2 and 4-1 provide additional site information.

Portola Parkway near the dam could be inundated during a dam failure. In the event of a dam failure, the site may be accessed by vehicle via Bee Canyon Access Road, which is located outside of the inundation area. Access this entrance from the north via Portola Parkway: from the intersection of Jeffrey Rd and Portola Parkway, drive southeast on Portola Parkway about a quarter of the mile; then turn northeast on Bee Canyon Access Road and drive one mile to the access gate on the east side of Bee Canyon Road (Latitude 33.717537, Longitude -117.727749). Proceed through the gate and south along the dirt road approximately one mile, following the north shore of the reservoir southwest until reaching the dam.

Two additional access points are available, but with mostly foot access:

- From Bee Canyon Access Rd, where the Highline Canal crosses (Latitude 33.715156, Longitude -117.735159), there is initial access with a vehicle, but some portions of this access route may be on foot only. This access route is outside the inundation area.
- From State Route 133 east of the reservoir, the dam may be accessed only on foot. This access route is outside the inundation area.

## 7.4 Response During Periods of Darkness

IRWD maintains a 24-hour emergency response staff to respond to various utility outages and emergency maintenance requirements. Because Syphon Canyon Dam does not have a dam

keeper on site, the daytime response time of 20 minutes could be lengthened by 30 to 40 minutes, for response time of up to 60 minutes during periods of darkness. Phone numbers in the notification charts are 24-hour contact numbers, so notification procedures during periods of darkness are the same as on weekdays.

Any dam safety incident that requires response actions during periods of darkness may require additional lighting such as portable floodlights. IRWD maintenance and construction personnel can have rental lighting moved to the site in order to respond during times of darkness. Rental lighting equipment is located within 25 miles of the dam and could be moved to the dam site within 2 hours. Additional lighting may also be required by the dam operator in order to perform visual surveillance of a potential or developing situation. Additional lighting options are also available through the IRWD purchasing and contracting department from locally available sources.

## 7.5 Response During Weekends and Holidays

IRWD staff are available for recall during emergencies. For slowly developing situations, staff may be recalled and a 24-hour operations center may be established in order to have resources readily available should the situation deteriorate. A rapidly developing situation occurring after hours or during weekends and holidays may require the recall of engineering, maintenance, or other response personnel, and response may be delayed during the recall and mobilization of the IRWD staff. During weekends and holidays, IRWD staff could be onsite to assess a rapidly-developing emergency within 60 minutes. This means that the daytime response time of 20 minutes could be extended by 60 minutes, for a weekend/holiday response time of about 90 minutes.

## 7.6 Response During Adverse Weather

Periods of adverse weather that have the ability to impact dam safety may require additional staff to be on-call or prepared to execute response actions. The Director of Water Operations, in collaboration with the dam operator will make staffing recommendations to IRWD leadership during times of predicted adverse weather. Response time to an emergency situation may be lengthened by 30 minutes during periods of adverse weather. If the primary access to the site at Portola Rd is affected by a dam failure, there are three remaining access points: vehicle access from Bee Canyon Access Rd; access on foot from Bee Canyon Access Rd, and access on foot from California State Route 133. See Section 7.3 for access points and directions.

If both the Portola Rd and Bee Canyon vehicle access points are unavailable due to adverse weather, the remaining access would be on foot, which could lengthen the time it takes to access the site and could limit the ability to bring in vehicles and equipment.

## 7.7 Alternative Sources of Power

At Syphon Canyon Dam, the reservoir's strainers, compressor, and aeration system require power. However, reservoir control valves and structures can be operated manually, and backup generators are not required to maintain normal operations at the dam. IRWD maintains emergency backup generators for use in the district. Generators are located at the MWRP at 3512 Michelson Drive, Irvine, California, 92612, located about seven miles west-southwest from Syphon Canyon Dam. Generators may be brought to the site to power additional lighting if needed to evaluate the dam in periods of darkness. Generators may be brought to the dam site within 45 minutes.

## 7.8 Emergency Supplies and Information

IRWD maintains emergency supplies and response equipment at the MWRP at 3512 Michelson Drive, Irvine, California, 92612, located about seven miles west-southwest from Syphon Canyon Dam. See Section 7.9 for a list of stockpiled supplies. In the event that the IRWD internal response capabilities are exceeded *Table 7-1 Locally Available Resources* is provided to aid in securing additional response materials and equipment. The suppliers listed in Table 7-1 are typically open from 7am-5pm Monday through Friday; outside these hours, a dispatcher is typically available to handle after-hours requests. Secondary phone numbers have been listed where available.

Heavy Equipment Service and Rental	Sand and Gravel Supply	Ready-Mix Concrete Supply
	Primary	
Herc Rentals-Jennifer	PTI Sand and Gravel- Mike	National Ready Mix
Mendez	Ellena	Concrete- Joe Palladino
3040 E Miraloma Ave	14925 River Rd	16282 Construction Dr Ctr
Anaheim, CA 92806	Corona, CA 92676	Irvine, CA 92606
Herc Rentals—Josh Anfang	Saddleback Materials	
3040 E Miraloma Ave	6090 Etiwanda Ave	
Anaheim, CA 92806	Mira Loma, CA 91752	

Table 7-1 Locally	Available Resources
-------------------	---------------------

## 7.9 Stockpiling Materials and Equipment

No equipment is stockpiled at Syphon Canyon Dam. Because IRWD owns several dams, as well as other water facilities, IRWD centralizes its emergency supplies stockpile at the MWRP at 3512 Michelson Drive, Irvine, California, 92612, located about seven miles west-southwest from Syphon Canyon Dam. Supplies and equipment stockpiled centrally at MWRP are ready for deployment for use anywhere within the District's boundary. Equipment and supplies stored at MWRP include generators; diesel fuel; construction equipment such as backhoes and excavators; vacuum trucks; compressors; tools; traffic control equipment; and excavation and backfill materials including sand, crushed rock and road base material. Equipment at MWRP can generally be moved to the dam site within 45 minutes. Equipment, materials, and supplies required that exceed the IRWD capabilities are locally accessible at the locations in *Table 7-1 Locally Available Resources*. Equipment obtained from third parties listed in Table 7-1 could be obtained within about 2 hours during regular business hours.

# 7.10 Coordination of Information

In the event of an emergency at Syphon Canyon Dam, IRWD will notify the NWS so that they will issue appropriate flood watches and warnings. Contact numbers and notification procedures for NWS are outlined in Sections 3.1 and 3.2. No extremely high flow, overflow, or emergency flow incidents are known to have occurred at Syphon Canyon Dam.

The Syphon Reservoir stores recycled water and natural flows collected from the surrounding watershed. The water level in the reservoir is controlled through input valves, and the water level is reduced in advance of the wet season. If a potential or developing dam safety incident requires the lowering of the reservoir level, this must be done in accordance with the standard operating procedures maintained by the MWRP. All actions associated with controlling flow into or out of the reservoir must be coordinated with the dam operator or a representative designated by IRWD.

There are no jurisdictional dams upstream of Syphon Canyon Dam which would affect or contribute to an incident at Syphon Canyon Dam.

Trabuco Retarding Basin, a jurisdictional dam owned by OCPW, is downstream of Syphon Canyon Dam and could be impacted by a breach at Syphon Canyon Dam. If the EAP is activated, IRWD will notify OCPW per the notification charts in section 3.1 (call to OCPW Operations and Maintenance Emergency Coordinator). IRWD will explain the current status of the dam and any expected high flows, to allow for OCPW staff to adjust operations at Trabuco Retarding Basin, if necessary. IRWD will provide updates to OCPW staff if flows or conditions at the Syphon Canyon Dam change or worsen.

IRWD will work with emergency personnel to keep them up to date on any situation involving the Syphon Canyon Dam. The Water Operations Manager may designate staff members to act as liaisons at the ICP, a Unified Command, or at various EOCs, as required.

## 7.11 Training and Exercise

IRWD operations and maintenance staff receive training to ensure that they are thoroughly familiar with the elements of the EAP and potential response actions. The operations, engineering staff, and appropriate MWRP personnel are trained in the incident management process, including detection, evaluation, notification, and appropriate response actions during all emergency level determinations. IRWD duty staff are trained in notification requirements for dam safety incidents to ensure that the appropriate recall actions are initiated after working hours.

In accordance with California Government Code Section 8589.5(c), at least once annually, IRWD will conduct an emergency action plan notification exercise with local public safety agencies, to the extent that a local public safety agency wishes to participate. This annual exercise is to ensure that emergency communications plans and processes are current and implemented effectively. All contact information in the notification charts will be updated and verified; next, a notification exercise will be conducted to simulate the phone calls required in the notification charts. The timing and procedures in the notification exercise will be noted, and the EAP will be updated based on feedback from the participants.

Because Syphon Canyon Dam is categorized as an extremely high-risk dam, local emergency management authorities may develop evacuation and shelter-in-place training materials for people who would be affected by a dam failure in their jurisdiction. These requirements and materials will be determined and developed through the review and exercise process described in Section 7.2.

## 7.12 Alternative Systems of Communication

In the event of a dam safety emergency, the Unified Command/IC and emergency response personnel have access to various forms of alternative communication including social media, radio broadcasts, wireless emergency alerts, and opt-in email and cellphone lists. IRWD maintains an operations communication architecture for internal communications.

Syphon Canyon Dam does not have a dam keeper on site. However, when IRWD staff are at the dam site, they have access to cellular phones and can access the internet.

## 7.13 Public Awareness and Communication

IRWD will utilize already established communication protocols and channels to publish and promote established inter-agency emergency procedures within the affected area. In addition, information on the location of reservoir as well as related emergency procedures will be available on the IRWD website (<u>https://www.irwd.com/</u>).

In order to further prepare the public for a dam safety incident IRWD will implement the following measures:

- Educate customers about established IRWD emergency notification systems, which include the ability to text, call or email customers in the event of an emergency such as a dam safety incident.
- Promote the emergency preparedness section on the IRWD website and through various communications channels including the monthly customers billing insert and social media channels.

- Coordinate emergency outreach programs with residents and businesses in close proximity to the reservoir through cities, fire and police departments and the County of Orange.
- Post a map of the inundation area on the IRWD website so that members of the public may see if they live within possible impacted areas.
- Post a summary of the annual EAP exercise on the IRWD website each year.
- Update existing information on dam safety and emergency-preparedness on the IRWD website within one month of the approval of the EAP. After each annual review, updates will be made to the website as necessary.
- Complete outreach to customers through existing outreach channels within 4 months of completion of the EAP.

The timing and frequency of additional outreach measures will be evaluated and updated as part of the annual EAP review.

# Section 8: Plan Maintenance

## 8.1 Plan Review

The EAP Coordinator, Emilyn Zuniga, will review and update the EAP at least once annually leading up to the emergency action plan notification exercise described below. This review includes calling all contact information listed to verify that contact names, phone numbers, addresses and other information is current. One of the most important tasks is to update the contacts listed in the Emergency Notification Flowcharts in Section 3. Making updates to locally available resources along with the other information in the EAP is also important so that accurate information is readily available during an emergency.

In accordance with California Government Code Section 8589.5(c), at least once annually, IRWD will conduct an emergency action plan notification exercise with local public safety agencies, to the extent that a local public safety agency wishes to participate. This annual exercise is to ensure that emergency communications plans and processes are current and implemented effectively.

In accordance with California Water Code section 6161(e), IRWD will update the EAP, including the inundation maps, no less frequently than every 10 years, and sooner under conditions that include: (1) a significant modification to the dam or a critical appurtenant structure and (2) a significant change to downstream development that involves people and property. The inundation maps for this EAP are dated October 9, 2018, and are set to expire October 9, 2028.

## 8.2 Distribution

A status report will be prepared annually that documents the plan review and any exercises that occurred. The EAP will be revised, as required, to incorporate updated information or lessons learned during exercises/event after action reports. Changes will be documented in the revision log in Appendix B, Record of EAP Revisions.

Electronic copies of the EAP Status Report (Appendix A) and revised EAP will be distributed to the EAP Plan Holders annually via email (Appendix C). The EAP Plan Holders include all parties on the notification flowcharts.

To request a copy of the Emergency Action Plan for Syphon Canyon Dam, please contact the EAP Coordinator:

Emilyn Zuniga, IRWD Safety Manager Irvine Ranch Water District 3512 Michelson Drive Irvine, CA 92612-1799

# PART II: Inundation Maps

#### **DEPARTMENT OF WATER RESOURCES**

1416 NINTH STREET, P.O. BOX 942836 SACRAMENTO, CA 94236-0001 (916) 653-5791



DEC 1 9 2018 Mr. Paul Cook, General Manager Irvine Ranch Water District Post Office Box 57000 Irvine, California 92619-7000

Syphon Canyon Dam, No. 1029-4 Orange County

Dear Mr. Cook:

We have reviewed the inundation map submitted for Syphon Canyon Dam. We have determined that the dam has no critical appurtenant structures, and the map listed below is in substantial compliance with the requirements of Title 23, Division 2, Chapter 1, Article 6 of the California Code of Regulations. Therefore, the following inundation map is approved:

1. Main Dam (sunny day failure scenario) map dated October 9, 2018

The approved map will be made publicly available as required by section 6161(c) of the California Water Code. An emergency action plan (EAP) based on the approved inundation map must now be submitted to the California Governor's Office of Emergency Services (Cal OES) for their review and approval. Upon Cal OES approval, please provide us with an electronic and hard copy of the approved EAP per section 6161(b)(3) of the CA Water Code.

Based on our evaluation of the downstream hazard, we have revised the hazard classification of Syphon Canyon Dam from "High" to "Extremely High". If the downstream hazard classification is updated in the future, we will notify you.

Pursuant to section 6161(e) of the CA Water Code, the EAP and inundation maps must be updated no less frequently than every 10 years, and sooner under conditions that include, but are not limited to, the following: (1) a significant modification to the dam or a critical appurtenant structure as determined by the department, or (2) a significant change to downstream development that involves people and property. Based on the requirement, the approved map will expire on October 9, 2028. Please submit the updated map at least six months prior to the expiration date for our review and approval.

If you have any questions or need additional information, you may contact Design Engineer Richard Keizer at ------ or Re-evaluation Engineering Branch Chief Ariya Balakrishnan at ------.

Sincerely,

Shan K. Jepia

Sharon K. Tapia, Chief Division of Safety of Dams

cc: (See Attached List)

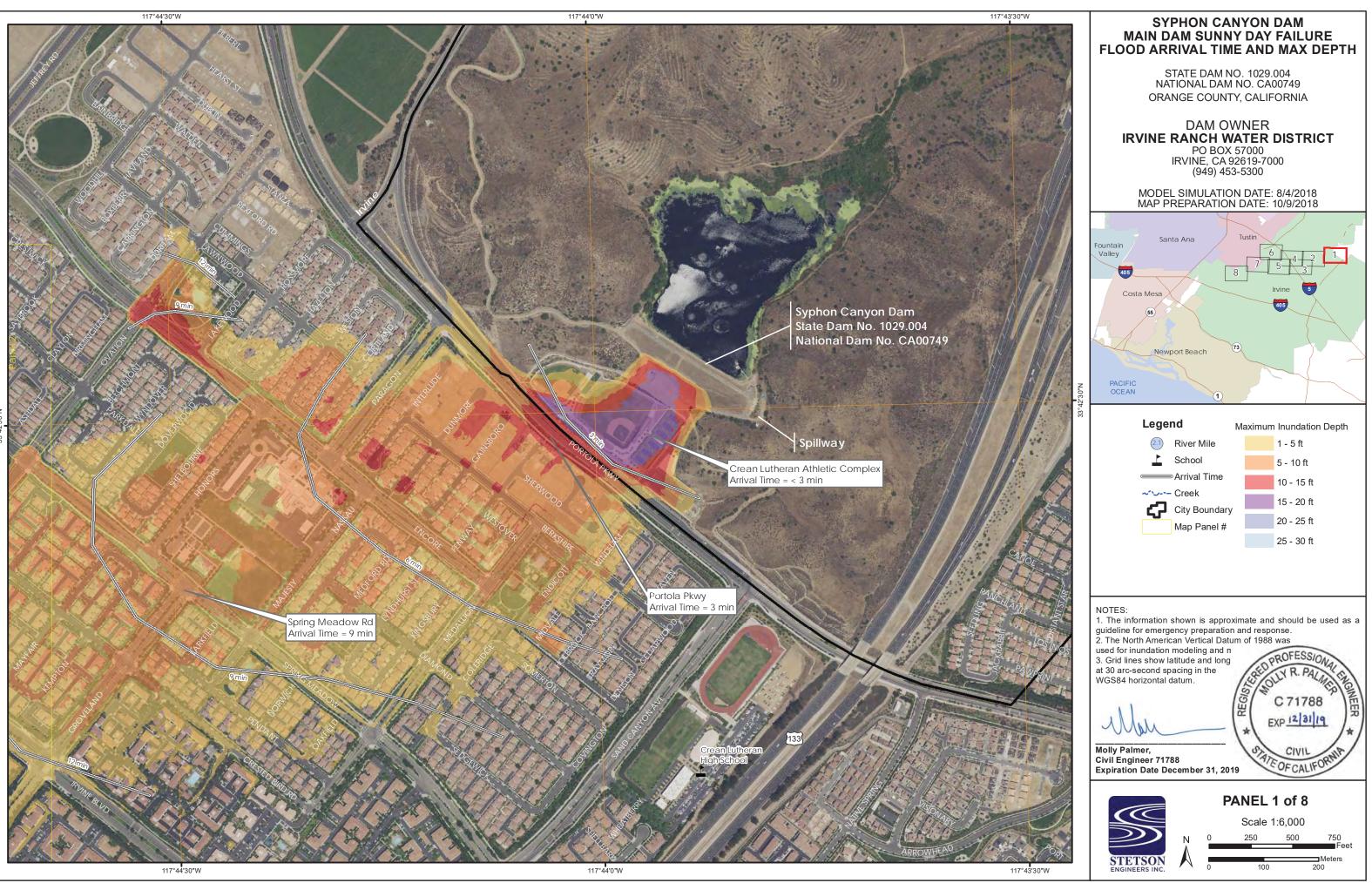
Mr. Bardin

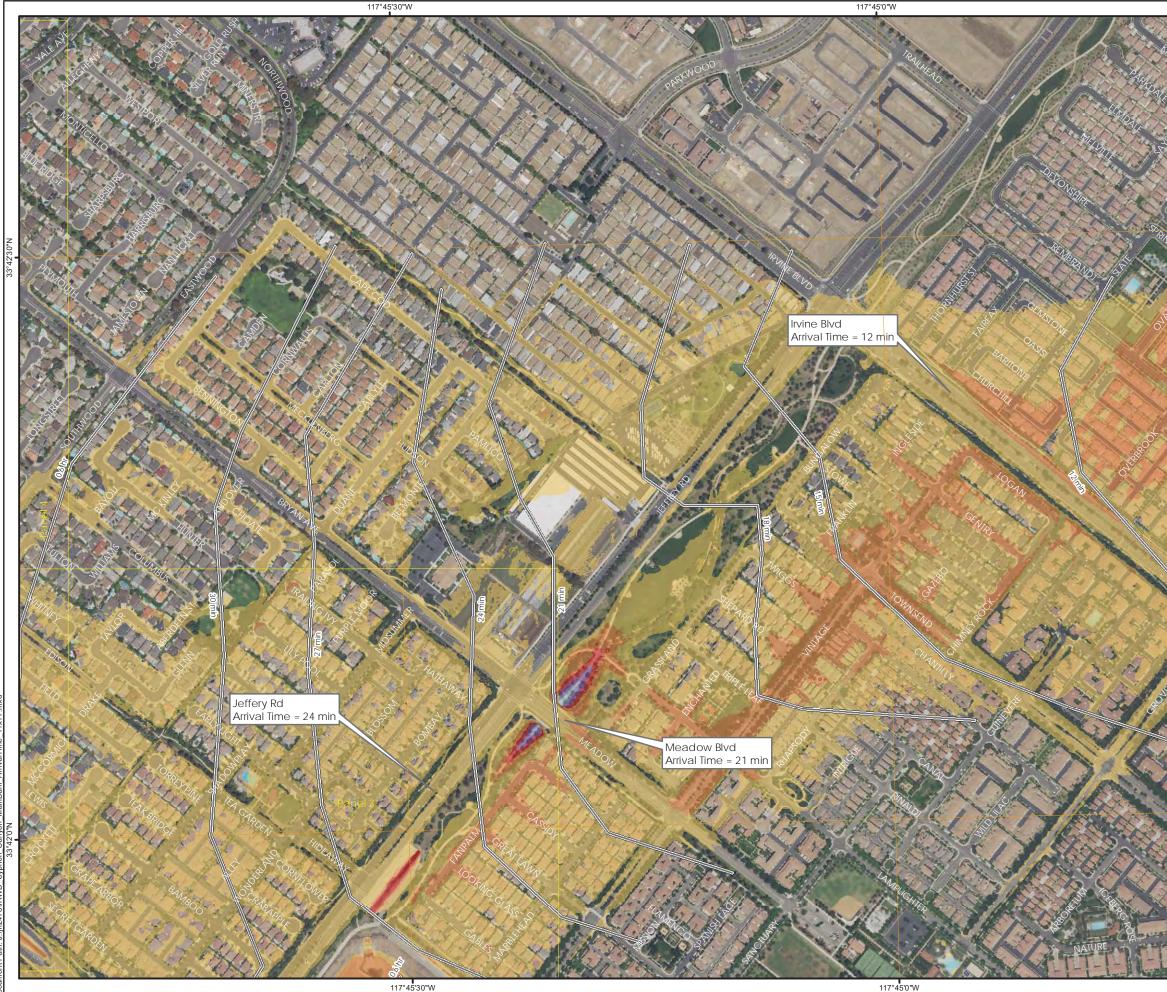
DEC 1 9 2018 Page 2 2018

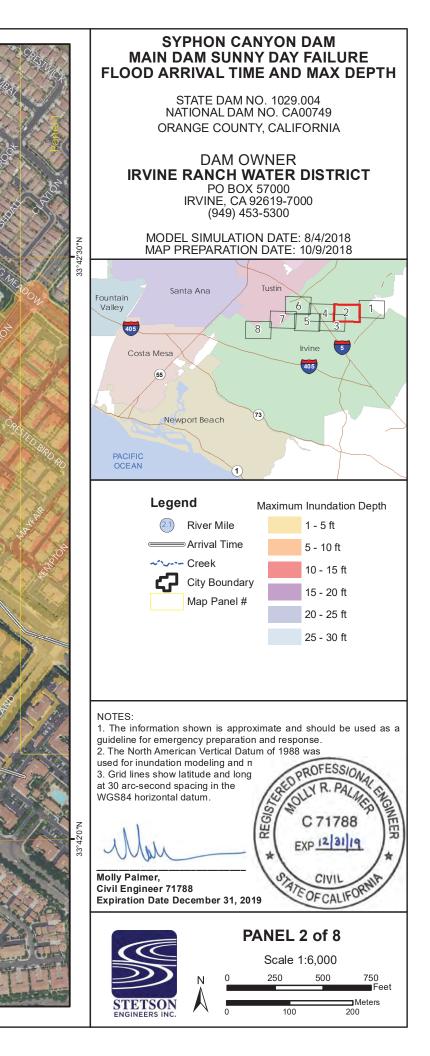
Mr. José Lara, Chief Dam Safety Planning Division California Governor's Office of Emergency Services 3650 Schriever Avenue Mather, California 95655 CC:

# Main Dam Failure – Maximum Depth and Arrival Time



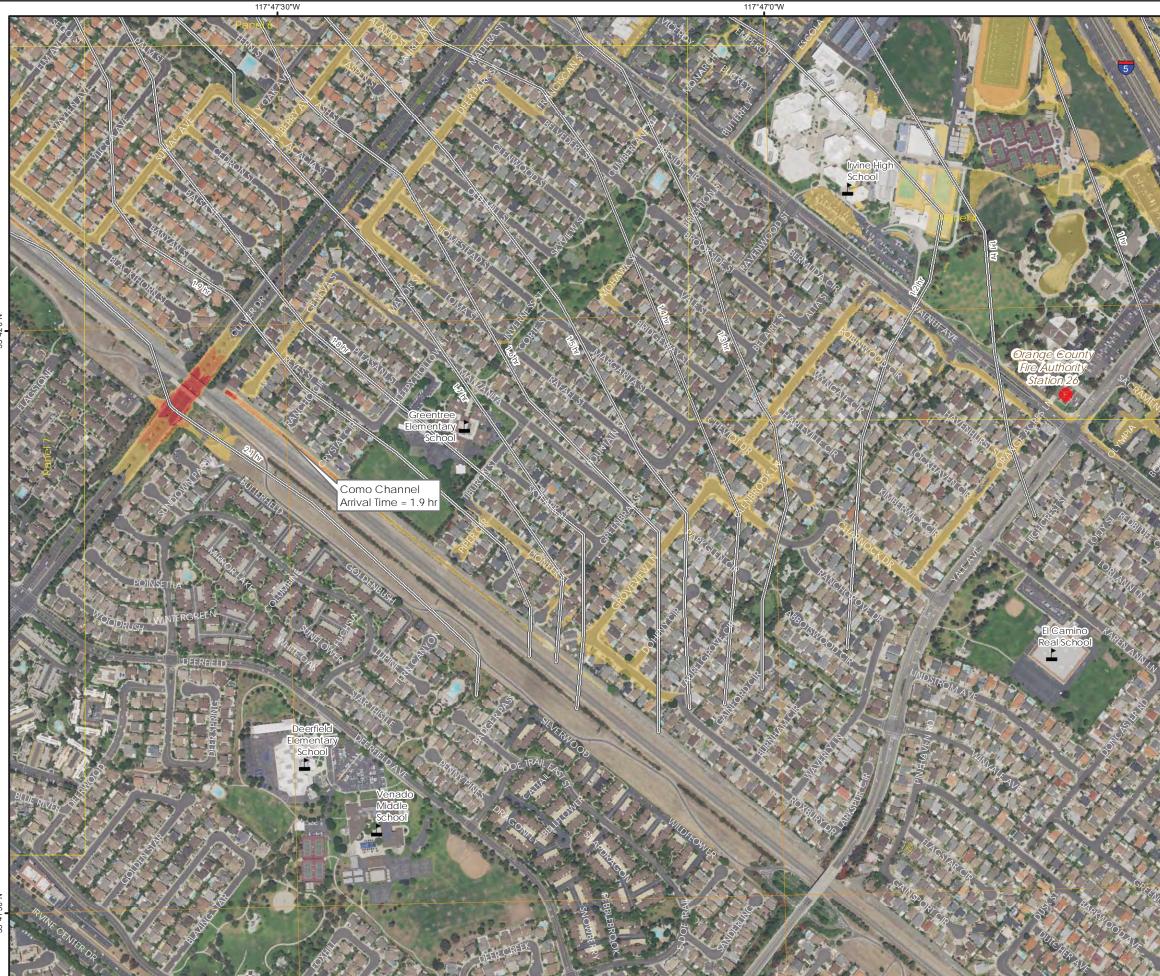






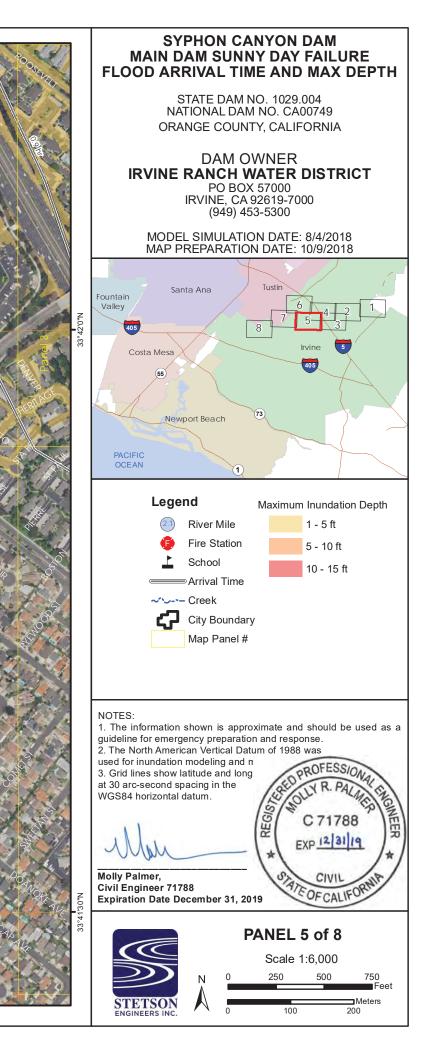


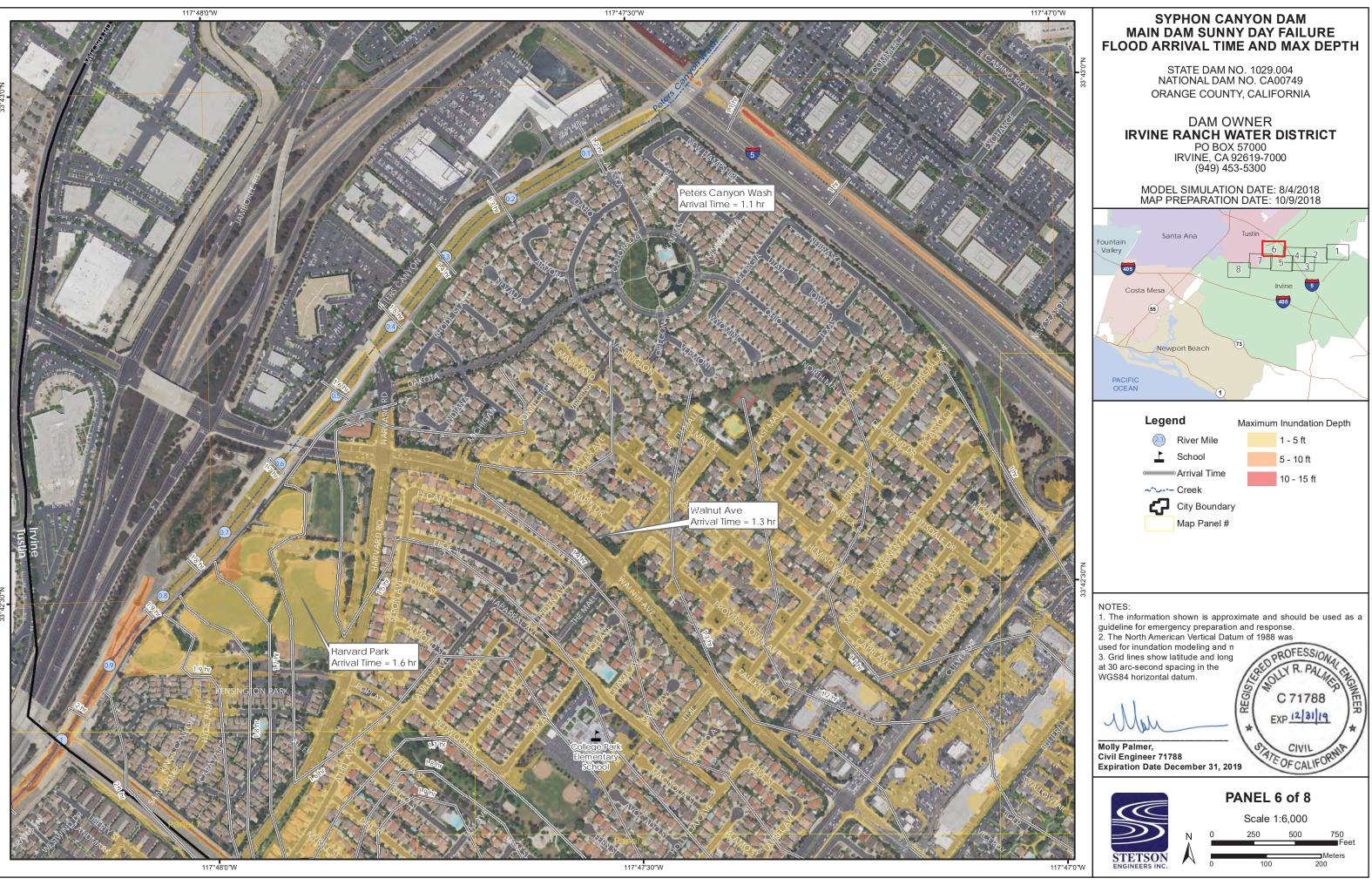


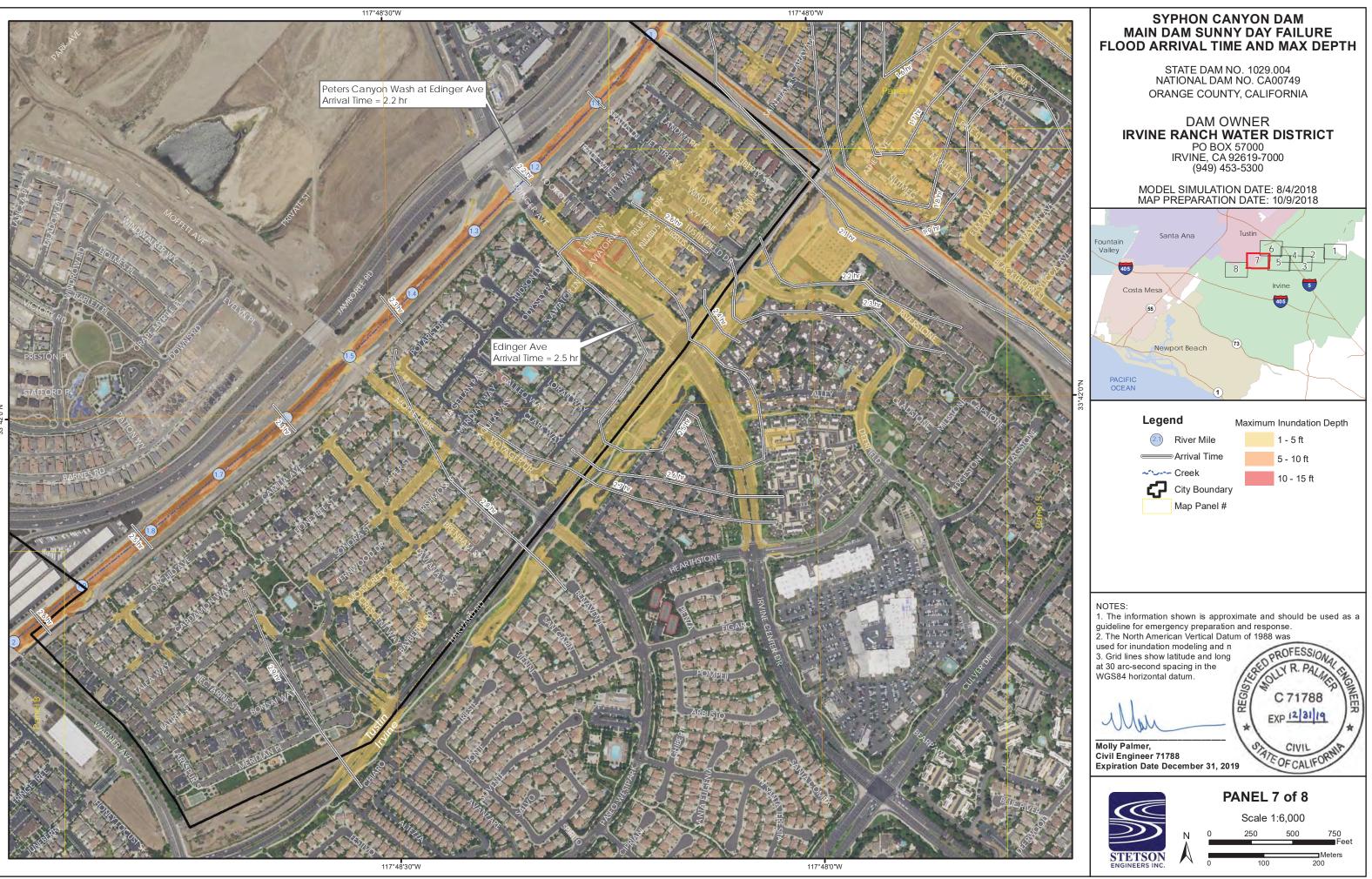


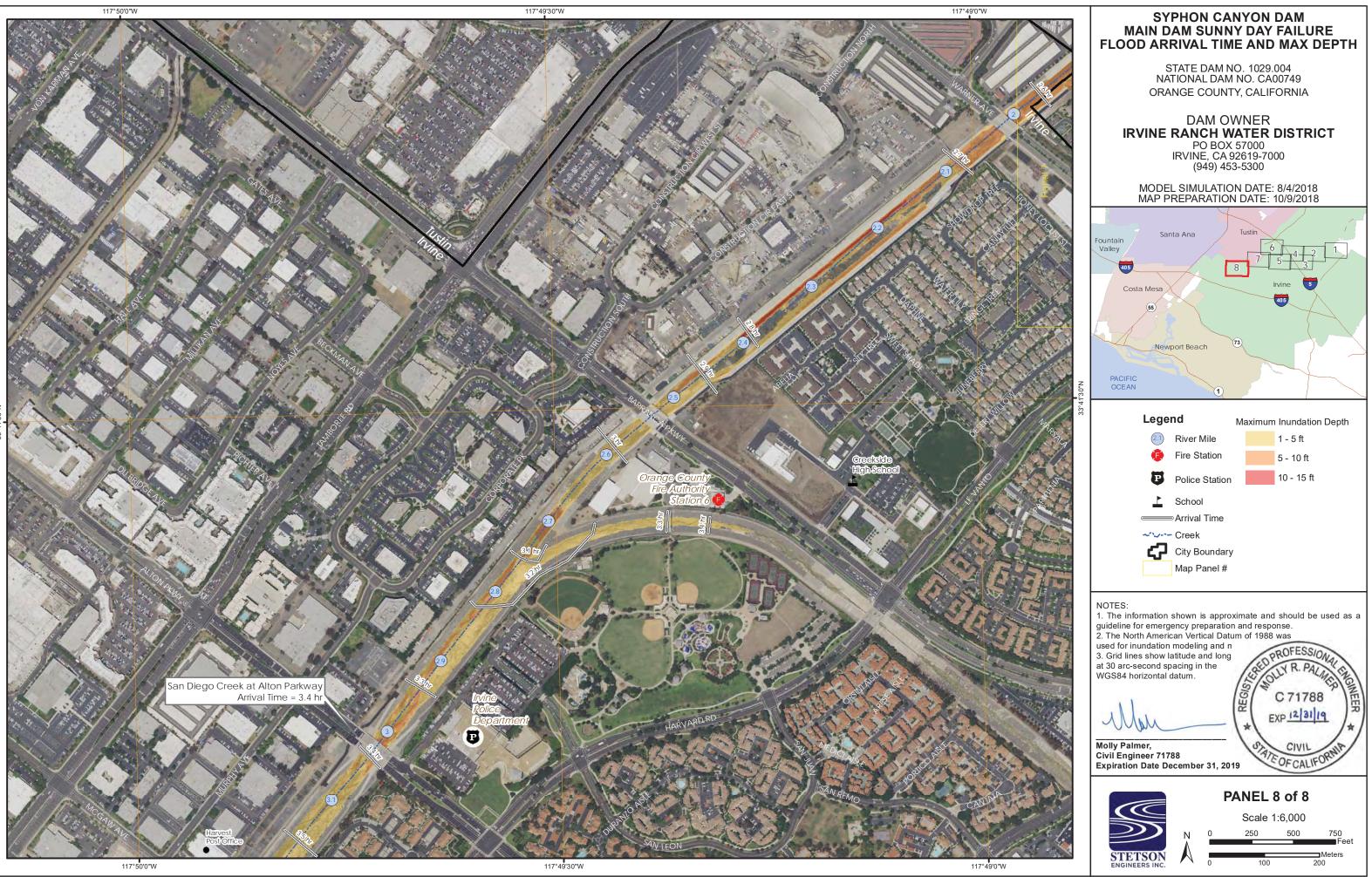
117°47'30"W

117°47'0"W



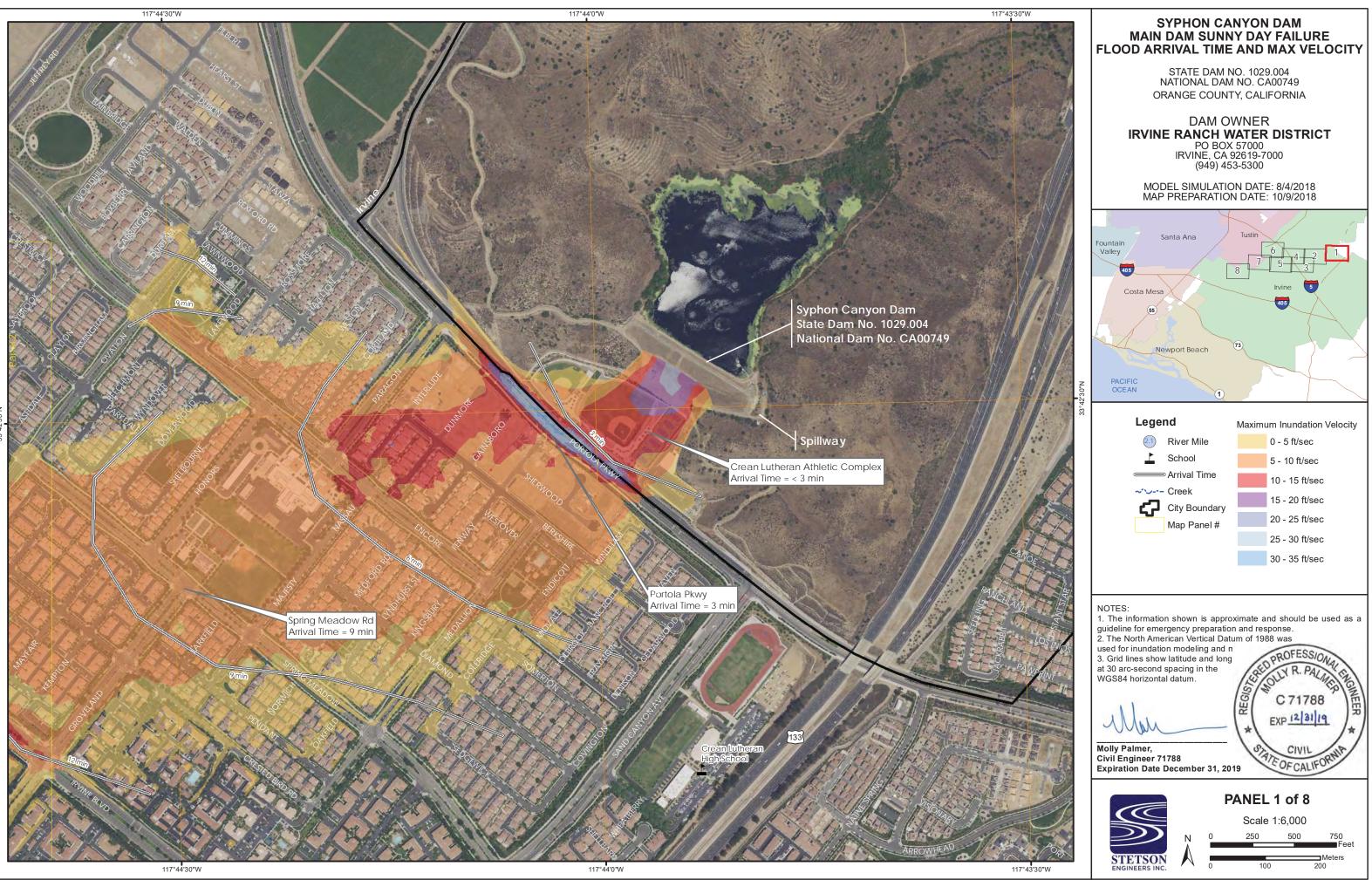


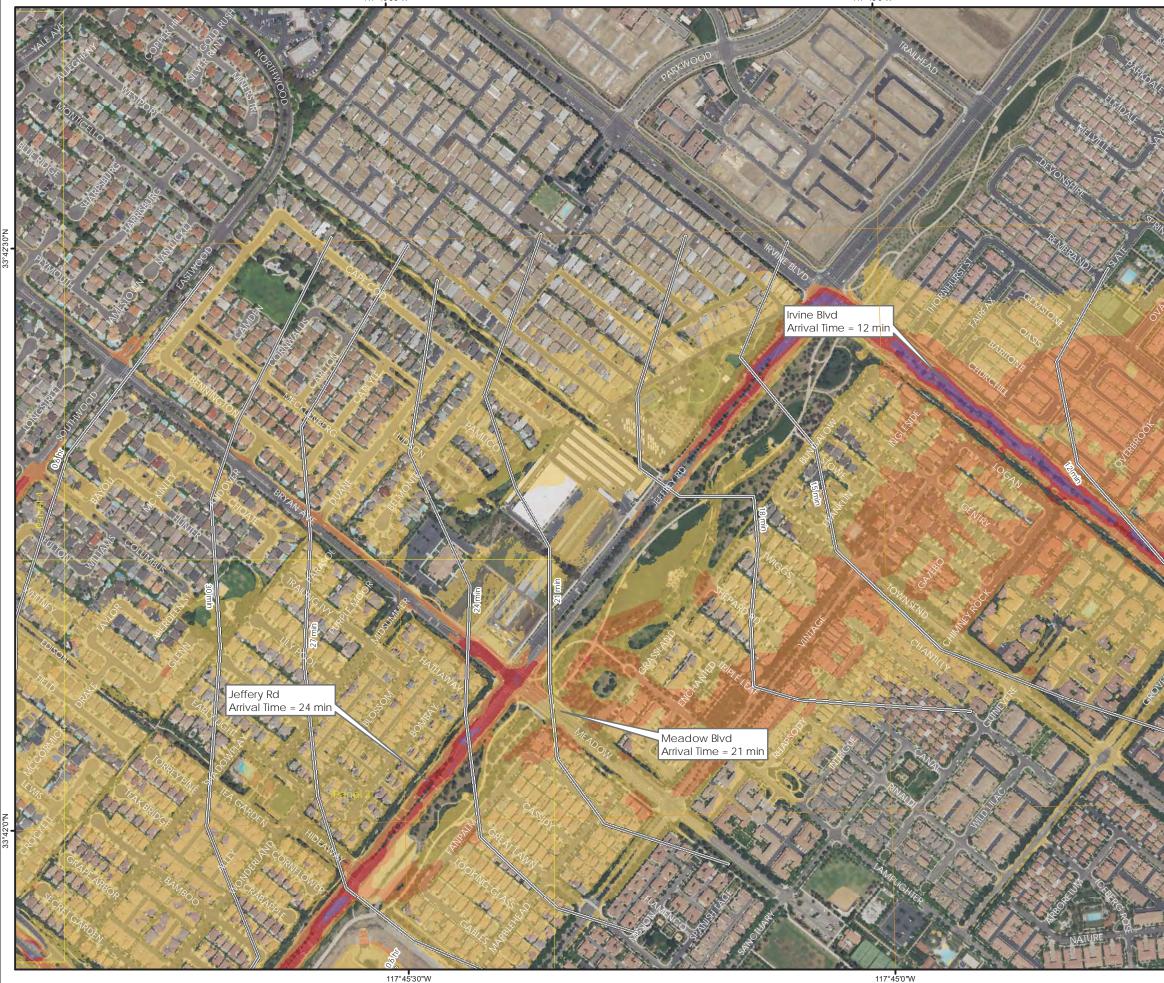


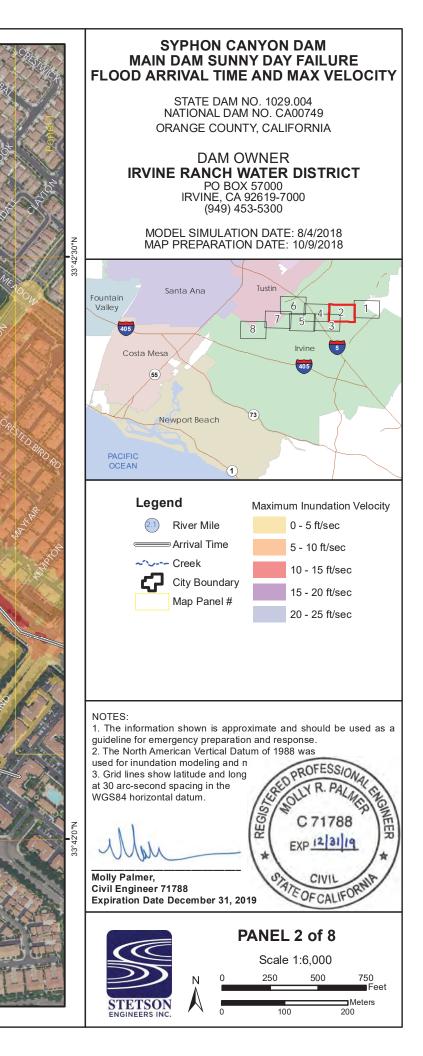


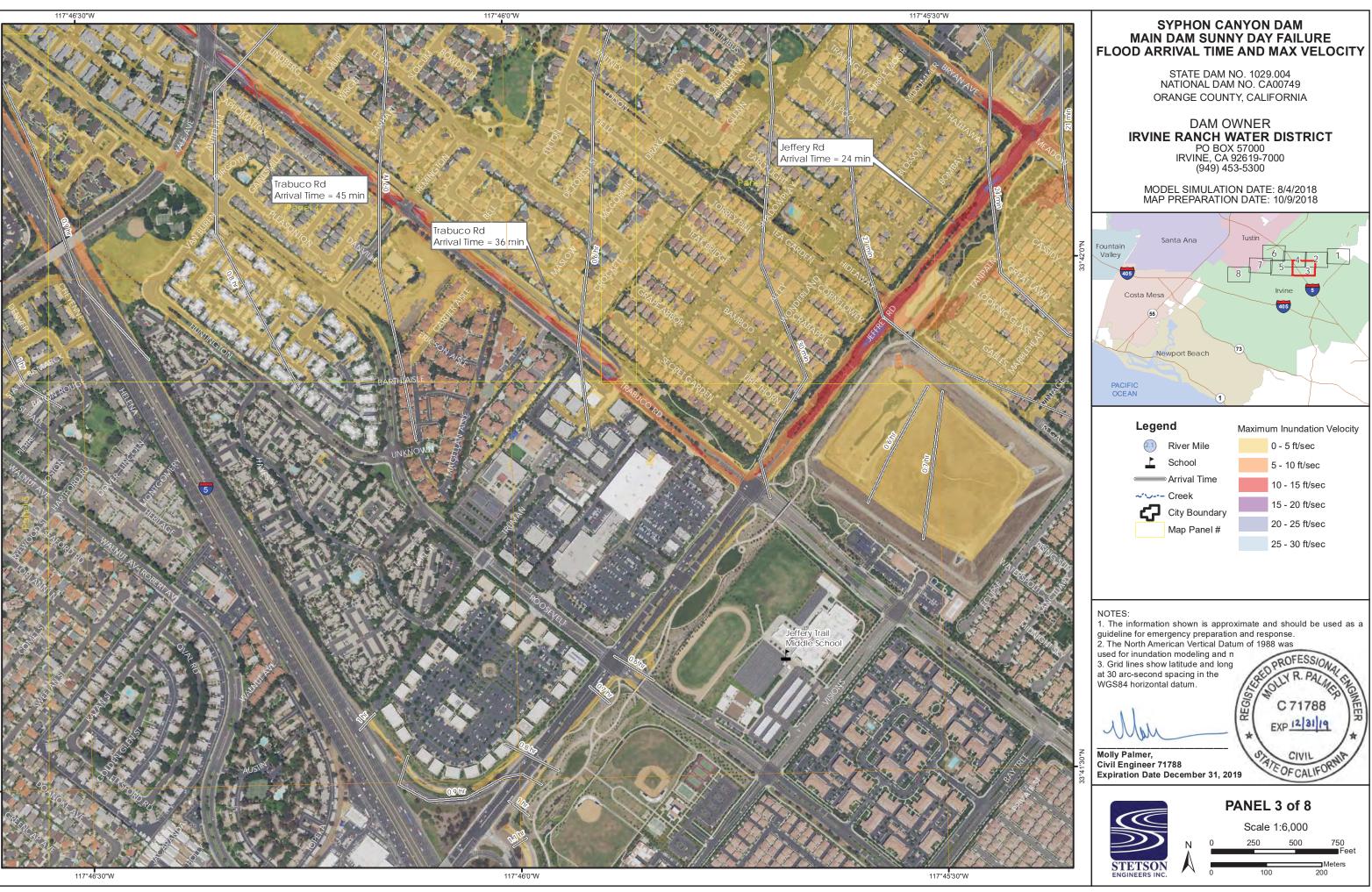
### Main Dam Failure – Maximum Velocity and Arrival Time



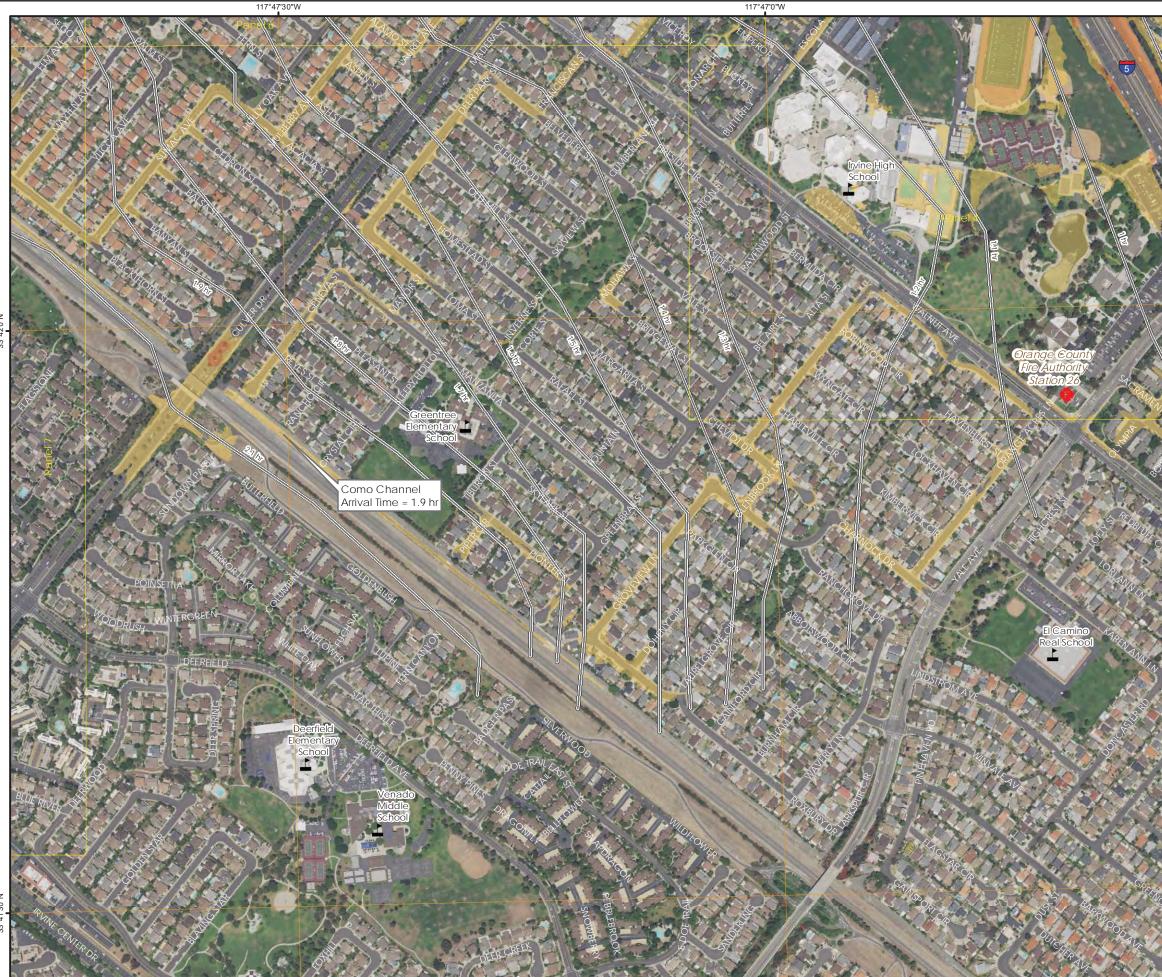


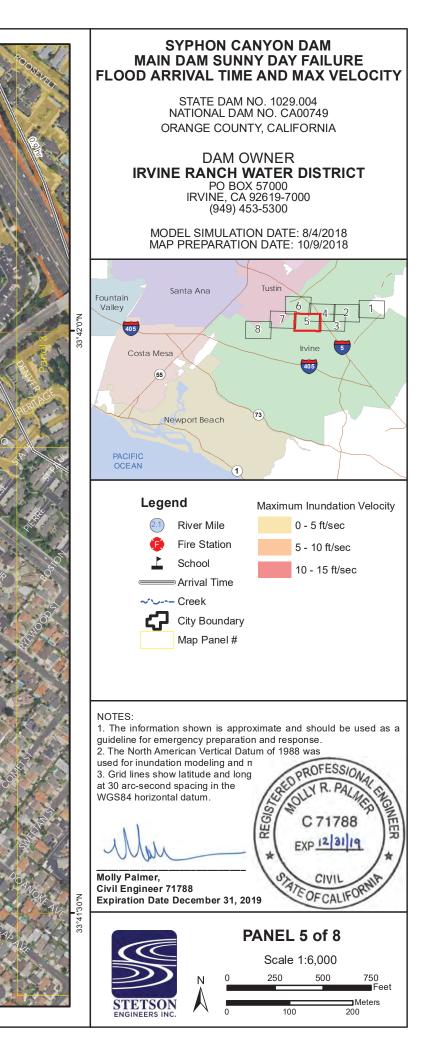




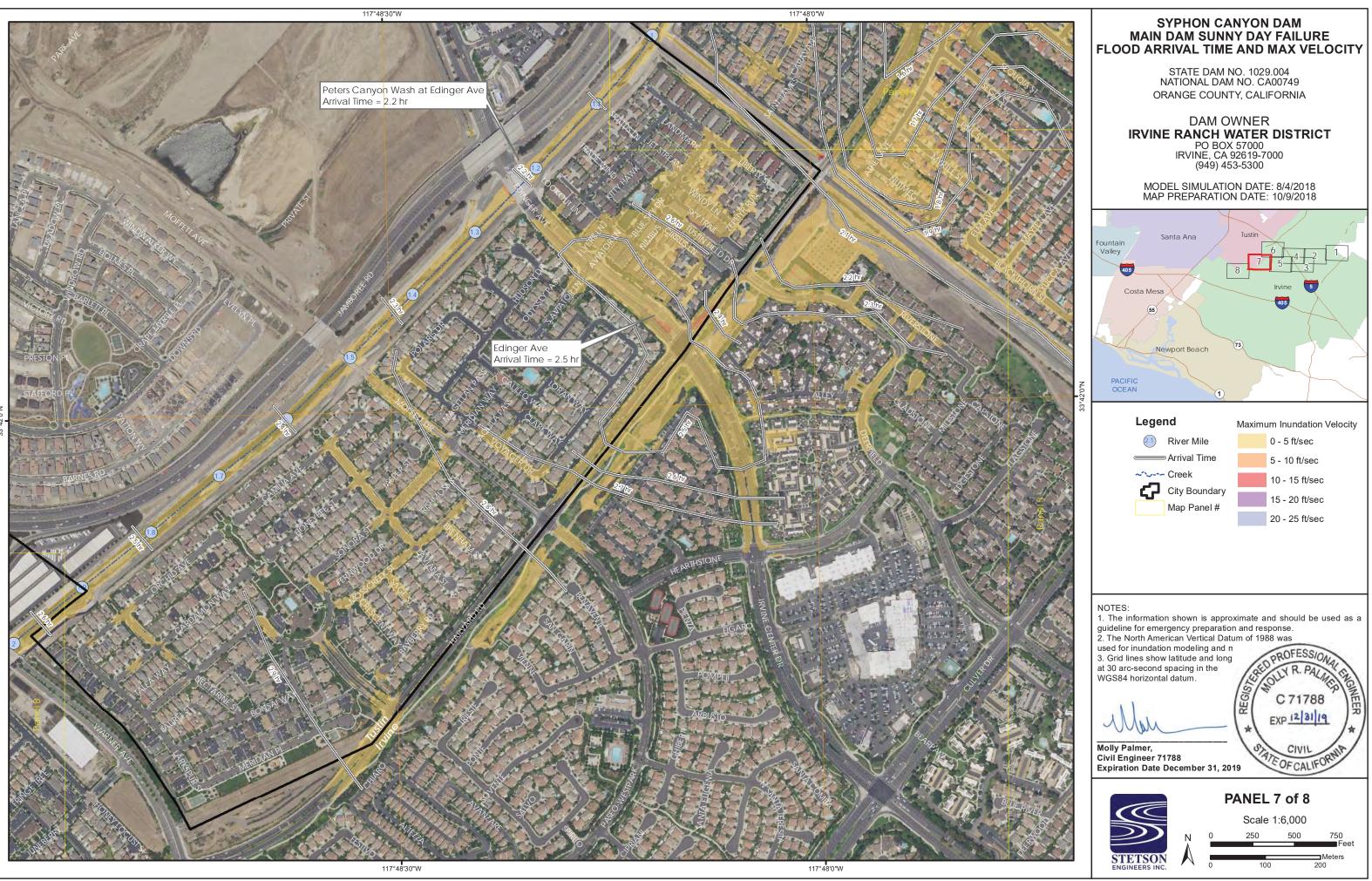


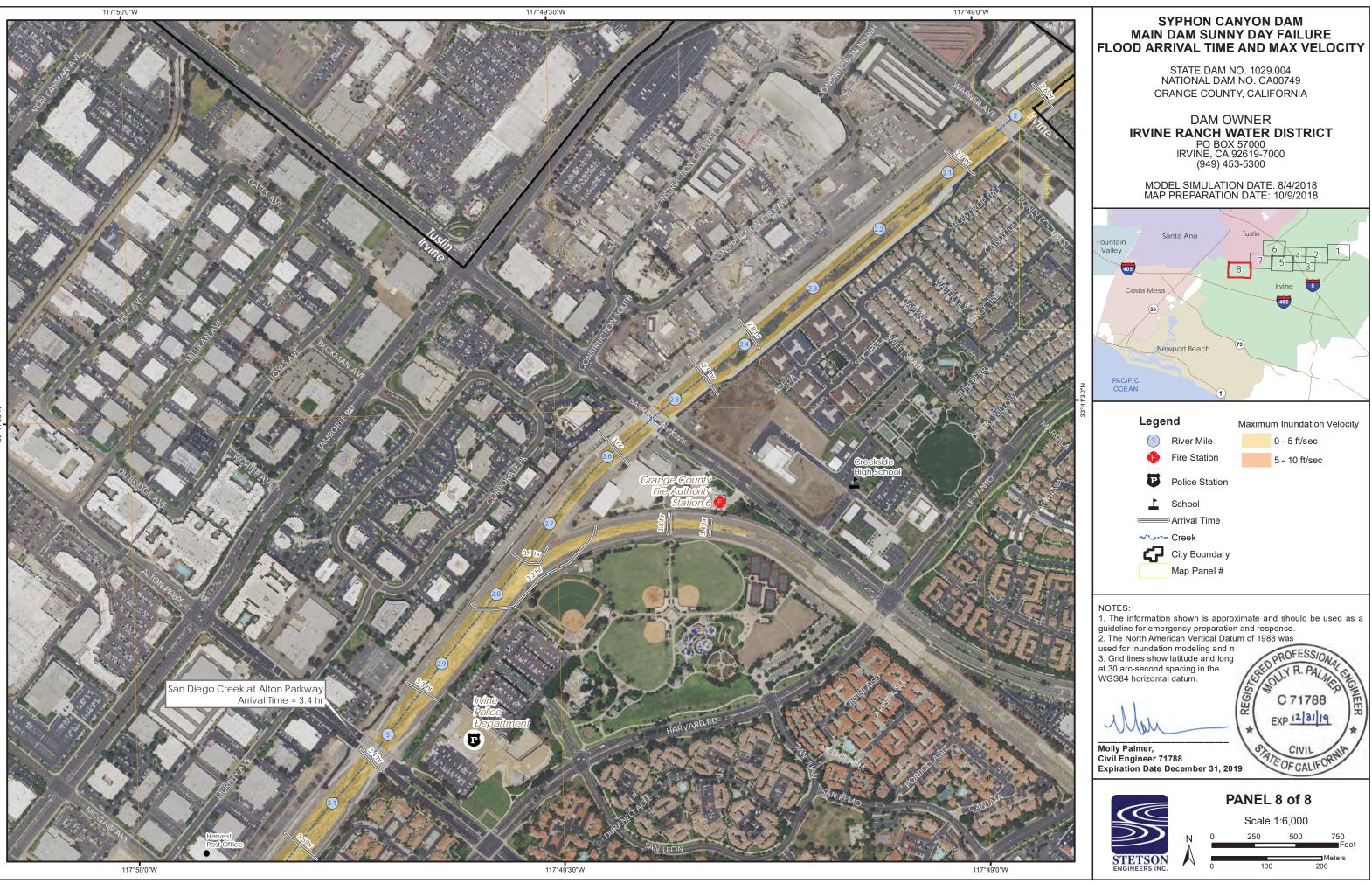












# **PART III: Appendices**

### Appendix A: EAP Status Report (for Non-FERC dams)

### EAP Status Report for Syphon Canyon Dam, DSOD No. 1029.004

**Annual EAP Review Performed**:

Annual Update Sent to Plan Holders:

**Annual Notification Exercise:** 

**Prepared by:** 

Mail this document, or something similar, to the Cal OES Emergency Action Planning Division: Dam Safety Planning Chief Dam Emergency Action Planning Division 3650 Schriever Avenue Mather, CA 95655

OR to send it electronically to the Division at <u>eap@caloes.ca.gov</u>.

### Appendix B: Record of EAP Revisions

Revision #	Date	Sections Reviewed or Revisions Made	By Whom
1	June 4, 2019	Local Agency Review Draft	IRWD
2	January 31, 2020	Draft Submittal to CalOES	IRWD
3	June 8, 2020	Document edited in response to CalOES Review Report #1 date April 1, 2020	IRWD
4	August 31, 2020	Document edited in response to CalOES Review Report #2 date June 27, 2020	IRWD
5	October 21, 2020	Document edited in response to CalOES Review Report #3 dated September 11, 2020; notification charts updated; removed signature page	IRWD
6	February 5, 2021	Document edited in response to CalOES Review Report #4 dated October 24, 2020; notification charts updated; updated Warning Center incident report (Appendix I)	IRWD

# Appendix C: Record of Plan Holders

Copy Number	Organization	Person Receiving Copy
1	Irvine Ranch Water District	Wendy Chambers, Executive Director of Operations
2	Irvine Ranch Water District	Ken Pfister, IRWD Operations Manager
3	Irvine Ranch Water District	Malcolm Cortez, P.E., Engineering Department
4	Irvine Ranch Water District	Bill Wesson, Recycled Water Operations Supervisor
5	Irvine Ranch Water District	Emilyn Zuniga, IRWD EAP Coordinator
6	Irvine Ranch Water District	Beth Beeman, IRWD Public Affairs
7	Orange County Sheriff's Department, Emergency Management Division	Kevin McArthur, Senior Emergency Management Program Coordinator
8	Orange County Public Works	Penny Lew, P.E. Sr. Civil Engineer OCPW Flood Programs/Floodplain Management and Hydrology Section
9	Orange County Public Works	Trevor Richardson Operations and Maintenance Emergency Coordinator
10	Orange County Fire Authority	Shane Sherwood, Division 2 Chief
11	Orange County Fire Authority	Baryic Hunter, Division 4 Chief
12	Irvine Police Department	Robert Simmons, Emergency Management Administrator
13	Tustin Police Department	Sarah Fetterling, Sergeant, Community Resources Unit

14	Cal OES	Dam Safety Planning Division
15	DSOD	Sharon Tapia, Chief of DSOD
16	DWR Flood Operations Center	State-Federal Flood Operations Center
17	National Weather Service	Alex Tardy, Warning Coordination Meteorologist, Skywarn Program Manager
18	California Highway Patrol, Santa Ana Office	Sgt. Todd Koveletz, Lt. Aaron Knarr
19	Caltrans District 12 Office	Bala Nanjappa
20	Crean Lutheran High School	Angelo Marquez, Director of Health, Safety, and Supervision
21	Irvine Unified School District	Stephen Bayne, Director

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### Appendix D: Contact Log

After determining the emergency level, use the contact log to document notifications made in accordance with Section 3 of the EAP.

#### CONTACT LOG

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Dam Name: SYPHON CA		Date:			
NID #: CA00749	004		FERC #: 1	N/A	
DSOD Region: SOUTH		County: ORANGE			
Emergency Level:		Incident/Exercise:			
After determining the emergency level, immediately contact the following agencies/entities. The person making the contact should initial and record the time of the call and who was contacted at each agency/entity.					
Agency/Entity	Person Cont	Person Contacted		act Time	Contacted By

### Appendix E: Pre-Scripted Messages

The following pre-scripted messages are for use during notifications at any Emergency Level applicable to Syphon Canyon Dam. The High Flow scenario was omitted for Syphon Canyon Dam (see Section 5.1.2).

### **Non-Failure Emergency Level**

This is \_\_\_\_\_ [your name and position].

We have an emergency condition at Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills.

We have activated the Emergency Action Plan for this dam and are determining this to be a **Non-Failure** condition. Again, this is a **Non-Failure** condition.

At \_\_\_\_\_\_ on \_\_\_\_\_\_, IRWD observed or verified that:

We are implementing predetermined actions to investigate and respond to this condition.

The dam is not predicted to fail as a result of this condition.

We will advise you when the situation is resolved or if the situation gets worse.

I can be contacted at the following number: \_\_\_\_\_\_.

If you cannot reach me, please call the following alternative number:

### **Potential Failure Emergency Level**

This is \_\_\_\_\_ [your name and position].

We have an emergency condition at Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills.

We have activated the Emergency Action Plan for this dam and are determining this to be a **Potential Failure** condition.

At \_\_\_\_\_\_ on \_\_\_\_\_, IRWD observed or verified that:

We are implementing predetermined actions to respond to a rapidly developing situation that could result in dam failure.

Please prepare to evacuate the low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail. In the event of a failure, large areas are expected to be inundated from Portola Road between Jeffrey and Sand Canyon to Trabuco Road west of Jeffrey.

The dam could potentially fail as early as\_\_\_\_\_.

Reference the inundation map in your copy of the Emergency Action Plan.

We will advise you when the situation is resolved or if the situation gets worse.

I can be contacted at the following number: \_\_\_\_\_\_.

If you cannot reach me, please call the following alternative number: \_\_\_\_\_\_.

### **Imminent Failure Emergency Level**

This is an emergency. This is \_\_\_\_\_ [your name and position].

Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills, is failing.

The downstream area must be evacuated immediately.

Repeat, Syphon Canyon Dam, Dam No. 1029.004, is failing; evacuate the low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue should be closed due to potential inundation.

We have activated the Emergency Action Plan for this dam and are determining this to be an **Imminent Failure** condition.

At \_\_\_\_\_ on \_\_\_\_\_, IRWD observed or verified that:

We are implementing predetermined actions to investigate and respond to this condition.

Reference the inundation map in your copy of the Emergency Action Plan.

I can be contacted at the following number \_\_\_\_\_\_.

If you cannot reach me, please call the following alternative number:

The next status report will be provided in approximately 30 minutes.

### The following pre-scripted message may be used for emergency management authorities to communicate the Imminent Failure of the dam with the public:

Attention: This is an emergency message from \_\_\_\_\_ [emergency management agency]. Listen carefully. Your life may depend on immediate action.

Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills is failing. Repeat. Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills is failing.

If you are in or near this area, proceed immediately to high ground. The low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail may be flooded. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue may be closed due to flooding.

If you are in or near this area, proceed immediately to high ground away from low lying areas.

Repeat message.

# Appendix F: Emergency Incident Log

Name:		Job Title:		
Incident Start Date:		Incident Start Time:		
Incident Description:				
Initial Incident Level:				
Incident Detection:				
When did you detect or learn about the incident? How did you detect or				
learn about the incident?	I NOTIFICATION AND			
		ACTIVITY IN THE TABLE BELC		
Date	Time	Action/Incident Progression	Action Taken By	

# Appendix G: Emergency Termination Log

Dam Name: SYPHON CANYON DAM	County: ORANGE			
Dam Location: ORANGE COUNTY, CA	Stream/River: UNNAMED CREEK			
Date/Time:				
Weather Conditions:				
General Description of Emergency Situation:				
Area(s) of Dam Affected:				
Extent of Damage to Dam and Possible Causes:				
Effect on Dam Operation:				
Initial Reservoir Elevation/Time:				
Maximum Reservoir Elevation/Time:				
Final Reservoir Elevation/Time:				
Description of Area Flooded Downstream/Damage/Loss of Life:				
Justification for Termination of Dam Safety Emergency:				
Other Data and Comments:				
Report Prepared By (Printed Name and Signature): Date:				

### Appendix H: After Action Report

#### **Background**

#### **Event Details**

Type of Event: Location: Incident Period: Brief Description of Event:

#### **Response Activities**

#### **Summary of Successes**

#### **Summary of Recommended Improvements**

#### **Organizations Contributing to this Report**

### Appendix I: Cal OES Warning Center Dam Incident Report

### **DAM INCIDENT – CALIFORNIA STATE WARNING CENTER**

EVENT TYPE:	) dr	ILL	O <sub>actua</sub>	L EVENT	
DATE:				TIME:	
	CALLER INFORMATION				
NAME/AGENCY: PH				ONE #:	
ALTERNATE CONTACT:			РН	ONE #:	
			DAM IN	FORMATION	
DAM NAME: Syphon Car	iyon l	Dam	DS	<b>DD DAM #:</b> 1029.004	FERC: none
DSOD HAZARD CLASSIFI	CATIO	ON: EXTREM	ELY HIGH		
			LOCAT	ON OF DAM	
<b>DSOD REGION:</b>	) NOF	RTHERN (	O <sub>CENTRAL</sub>	⊗ <sub>SOUTHERN</sub>	
PHYSICAL ADDRESS: 630	)1 Poi	rtola Parkwa	y, Irvine, CA 9	2620	
LATITUDE: 33.7092				LONGITUDE: -117.73	15
<b>COUNTY:</b> ORANGE				<b>DOWNSTREAM JURIS</b>	DICTIONS: Irvine; Tustin
NEAREST CITY OR POPU	LATE	<b>D AREA:</b> City	of Irvine		
NEAREST OR AFFECTED	HIGH	WAY OR CR	OSS ROADS: Po	rtola Pkwy and Parago	n
RIVER OR CREEK THAT	FLOW	'S INTO RESE	ERVOIR: Unnar	ned Creek	
			SIT	UATION	
ACTIVATION OF EAP:		O <sub>Yes</sub>	O <sub>No</sub>		
EMERGENCY LEVEL:		O High Flow	v O <sub>Non-Failu</sub>	re O Potential Failure	O Imminent Failure
<b>EMERGENCY TYPE:</b>					
Earthquake			□ Sand H	Boils	
Embankment Cracking or	Settlen	nent	Securi	ty Threats	
Embankment Movement			🗆 Seepag	e, Springs, Piping	
□ Erosion of Spillway			Sinkho	les	
□ Instrumentation Reading (Abnormal) □ Storm Event					
Outlet System Failure     Other: Li			□ Other:	List Below	
□ Sabotage/Vandalism					
OTHER:					
RESERVOIR LEVEL:	Full		Partially Full	□ Empty	
Approximate % Full (Acre-Feet):					
WHEN/HOW EVENT WAS					
DETECTED: OBSERVER IN POSITION:	0	Yes O <sub>N</sub>	0		
ADDITIONAL DETAILS:					

# Appendix J: Acronym List

CAS	critical appurtenant structure
Cal OES	
Caltrans	California Department of Transportation
cfs	cubic feet per second
DSOD	Division of Safety of Dams
DWR	Department of Water Resources
EAP	Emergency Action Plan
EMD	Orange County Sheriff's Department, Emergency Management Division
EOC	Emergency Operations Center
EOP	Emergency Operations Plan
FEMA	
HSEEP	Homeland Security Exercise and Evaluation Program
I-5	Interstate 5
IC	Incident Commander
ICP	Incident Command Post
IRWD	Irvine Ranch Water District
MWRP	Michelson Water Recycling Plant
NAVD88	
NIMS	National Incident Management System
NWS	
OA	Operational Area
OAC	Operational Area Coordinator
OCFA	Orange County Fire Authority
OCPW	Orange County Public Works
OCSD	Orange County Sheriff's Department
PIM	Public Information Manager

PSAP	Public Safety Answering Point
SCADA	supervisory control and data acquisition
SEMS	Standardized Emergency Management System