EMERGENCY ACTION PLAN (EAP)

FOR

SYPHON CANYON DAM

6301 Portola Parkway Irvine, CA 92620 Orange County Latitude: 33.7092; Longitude: -117.7315



DAM OWNER: IRVINE RANCH WATER DISTRICT

DSOD SOUTH REGION

DSOD DAM No. 1029.004

NATIONAL INVENTORY OF DAMS (NID) NO. CA00749

FEDERAL ENERGY REGULATORY COMMISSION (FERC) NO. N/A

Public Copy

HAZARD

Date Prepared: May 16, 2025 Prepared By: Stetson Engineers Inc. (760) 730-0701

Dam Contact Information

Syphon Canyon Dam

6301 Portola Parkway Irvine, CA 92620 (33.7092, -117.7315)

24-Hour Emergency Contact: Jose Zepeda, IRWD Director of Water and Recycling Operations

Dam Owner: Irvine Ranch Water District Contact: Paul Cook, P.E., General Manager

Dam Operator: Bryan Clinton, IRWD Operations Manager

Dam Safety Engineer: Jacob Moeder, IRWD Engineering Manager

EAP Coordinator: Steve Choi, IRWD Director of Safety and Security

Key Dam Information

Dam Description

Height:	59 feet
Year Built:	1949
Dam Operator:	IRWD
Property Owner:	IRWD

DSOD #: 1029.004 NID #: CA00749 Hazard Classification: Extremely High



Potential Impacted Area

Syphon Canyon Dam is located at the south end of Syphon Reservoir on unincorporated land in Orange County, CA. The area downstream of the dam is mostly flat, urban space, which drains southwest toward the Pacific Ocean. If Syphon Canyon Dam were to fail, parts of unincorporated Orange County land, the City of Irvine, and the City of Tustin would flood (see Part II: Inundation Maps).

Directions to Syphon Canyon Dam

In order to access Syphon Canyon Dam from I-5, take the exit for Sand Canyon Avenue and head northeast for roughly 2.5 miles. The dam does not have a street address, but the south access road is located near the intersection of Sand Canyon Avenue and Portola Parkway. Immediately below the dam is the Crean Lutheran High School Athletic Complex, at 6301 Portola Parkway, Irvine, CA 92620.

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PART I: EAP INFORMATION

Section 1: Introduction

1.1 Background

Irvine Ranch Water District (IRWD) is an independent special district that serves 447,000 residential customers in central Orange County, CA. IRWD owns and operates five jurisdictional dams, one of which is Syphon Canyon Dam. Syphon Canyon Dam is located in Orange County, CA on unincorporated land. The spillway is located on the south corner of the reservoir, and is not considered a critical appurtenant structure (CAS) by California's Division of Safety of Dams (DSOD). The reservoir collects natural runoff from a drainage area of 0.3 square miles and stores recycled water from IRWD's Michelson Water Recycling Plant (MWRP).

Syphon Canyon Dam is an earthen dam originally constructed in 1949 by The Irvine Company. The California State Dam Number is 1029.004 and the National Dam Number is CA00749. The dam has a concrete spillway that discharges into an approximately 12-foot by 80-foot concreteand gunite-lined channel and has an ogee crest weir. In addition to this spillway, Syphon Canyon Dam has an outlet pipe which conveys water to a chlorination station and then into IRWD's recycled water distribution system. The outlet pipe can also be used to drain the reservoir by conveying water through a reinforced concrete pipe and then into a drainage ditch.

The dam is located in the San Diego Creek watershed in coastal hills about eleven miles inland from the Pacific Ocean. Topography downstream of the dam is mostly flat and urban, draining gradually southwest to the ocean. Flooding from a dam failure at Syphon Canyon Dam has the potential to inundate portions of the following communities:

- County of Orange, unincorporated areas
- City of Irvine
- City of Tustin

Figure 1-1 shows the location of Syphon Canyon Dam and the above listed communities. Figure 1-2 shows the area immediately downstream of the dam. Syphon Canyon Dam impounds a reservoir along an unnamed creek, which is tributary to Peters Canyon Wash.¹ The drainage area upstream of the Syphon Canyon Dam is 0.3 square miles. Peters Canyon Wash flows through the City of Irvine and a small portion of the City of Tustin to join San Diego Creek. San Diego Creek in that area is highly channelized. It flows southwest through the City of Irvine and the City of Newport Beach, where it flows into upper Newport Bay. The total drainage area of Newport Bay is about 150 square miles. San Diego Creek, at its point of discharge to Newport Bay, drains about 120 square miles. Newport Bay is a large estuary and harbor which is influenced by ocean tides.

¹ Peters Canyon Wash is sometimes also referred to as Peters Canyon Channel

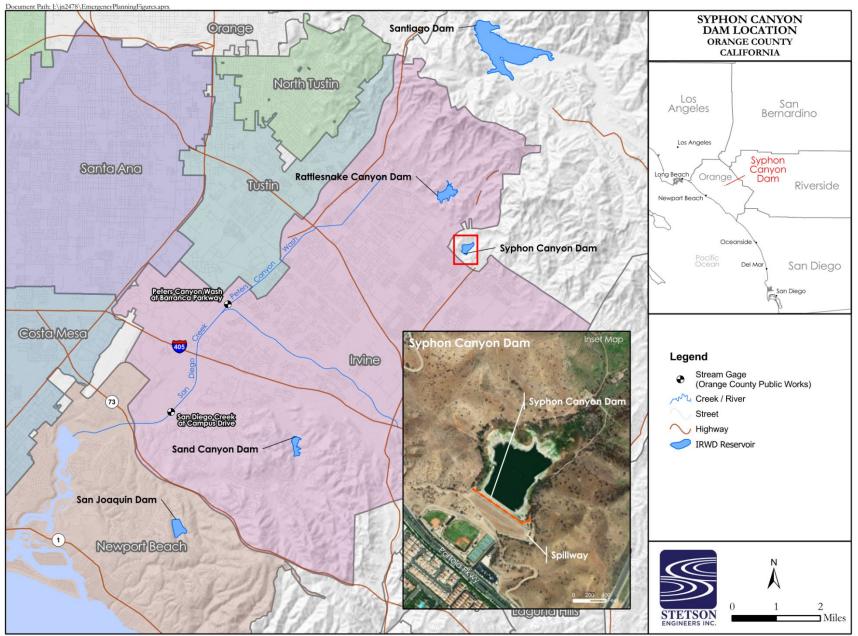


Figure 1-1 Syphon Canyon Dam Area Overview

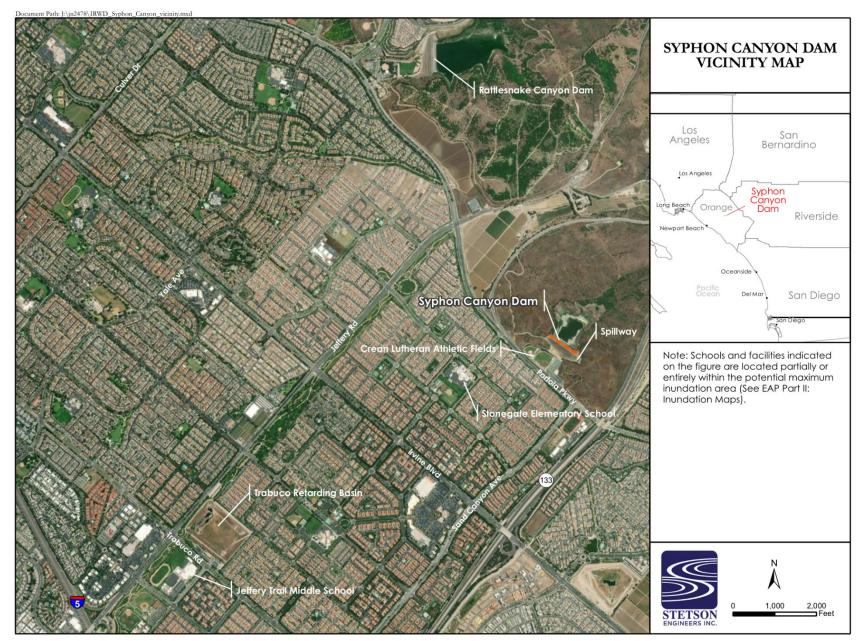


Figure 1-2 Syphon Canyon Dam Vicinity Map

1.2 Purpose

A dam safety incident is an impending or actual sudden uncontrolled release or excessive controlled release of water from an impounding structure. The release may be caused by damage to or failure of the structure, flood conditions unrelated to failure, or any condition that may affect the safe operation of the dam. The release of water may or may not endanger human life, downstream property, or the operation of the structure. When people live in an area that could be affected by the operation or failure of a dam, there is the potential for an emergency related to a dam safety incident. The National Incident Management System (NIMS) defines an emergency as "any incident, whether natural or manmade, that requires responsive action to protect life or property." ²

The purpose of this EAP is to detect actual or potential emergency situations associated with Syphon Canyon Dam, facilitate notification of affected parties, assign roles and responsibilities to involved agencies, and take mitigating actions in time to minimize loss of human life or injury and property damage. These situations include, but are not limited to dam instability, sizable earthquakes, extreme storm events, major spillway releases, overtopping of the dam, outlet system failure, abnormal instrument readings, vandalism or sabotage, spillway or gate failures, and failure of the dam.

Emergency management authorities will use the information in this EAP to facilitate the implementation of their responsibilities. Local, county, and state authorities have coordinating plans in place to address local emergency operations and/or warnings and evacuations. Those plans are not reprinted in the EAP but are maintained by the responsible agencies.

DSOD has rated the Syphon Canyon Dam as "Extremely High" based on the downstream hazard classification. Because of its hazard classification, IRWD developed this EAP in accordance with the requirements listed in California Water Code Sections 6160 and 6161 and Government Code Section 8589.5, following the Federal Emergency Management Agency (FEMA) Federal Guidelines for Dam Safety: Emergency Action Planning for Dams (FEMA-64/July 2013).

1.3 Planning Team

During the initial EAP preparation in 2019, the EAP was sent to the following affected agencies below for comment during a local agency review period. The same agencies were contacted during the annual EAP update process to verify and update their respective information, most recently in March 2025.

- Irvine Ranch Water District
- Irvine Police Department
- Orange County Fire Authority³
- Tustin Police Department
- Orange County Sheriff's Department, Emergency Management Division

 ² From FEMA Federal Guidelines for Dam Safety, Emergency Action Planning for Dams, July 2013. (FEMA 64)
 ³ OCFA provides fire service to both the City of Irvine and the City of Tustin

- Orange County Public Works
- California Highway Patrol (CHP), Santa Ana Office
- California Department of Transportation (Caltrans) District 12 Office
- Downstream schools (Crean Lutheran High School, Irvine Unified School District)

The following agencies provided comments on the draft EAP or participated in consultations:

- Irvine Ranch Water District
- City of Irvine Police Department
- Orange County Fire Authority
- City of Tustin Police Department
- Orange County Sheriff's Department, Emergency Management Division
- Orange County Public Works
- Caltrans District 12 Office
- Crean Lutheran High School
- Irvine Unified School District

Outreach was completed for all jurisdictions potentially affected by a dam failure at Syphon Canyon Dam. Though Syphon Canyon Dam is located on unincorporated Orange County land, the area primarily affected by an emergency would be the City of Irvine: staff from the City of Irvine Police Department and the Orange County Sheriff's Department Emergency Management Division (OCSD EMD) agreed that the City of Irvine should be designated as the primary Public Safety Answering Point (PSAP) for this EAP.

Staff from Irvine Police Department reviewed and approved the notification flowcharts presented in Section 3. The planning team for this EAP was similar to the planning team for Rattlesnake Canyon Dam, which is a jurisdictional dam also owned by IRWD, located about 1.4 miles north-northwest of Syphon Canyon Dam.

Emergency planning for the City of Irvine is coordinated through the Irvine Police Department⁴. The Emergency Management Administrator from the Irvine Police Department reviewed the EAP, approved the notification flowcharts presented in Section 3, and provided feedback on jurisdictional responsibilities. Orange County Fire Authority (OCFA) serves the City of Irvine as part of the OCFA Division 2 service area. The OCFA Division 2 chief reviewed the EAP and provided updated contact information for OCFA staff.

Emergency planning for the City of Tustin is coordinated through the Tustin Police Department³. A representative from the City of Tustin Police Department reviewed the EAP and provided updated phone numbers. OCFA also provides fire service to the City of Tustin as part of the OCFA Division 4 service area. The OCFA Division 4 chief was provided a copy of the EAP and was added as a plan holder, per discussion with the Division 2 chief.

⁴ The City of Irvine and City of Tustin do not have offices of emergency services that are separate from their police departments.

The Senior Emergency Management Program Coordinator from OCSD EMD reviewed the EAP and provided comments on plan content, phone numbers, and points of contact. Outreach was completed to DSOD to clarify responsibilities listed in this EAP. Additional coordination was conducted with the National Weather Service (NWS), CHP, Caltrans, the Department of Water Resources (DWR) Flood Operations Center, and two schools located downstream of the dam. The schools provided additional contact information to be used for notifications.

For more information about the outreach process, please contact the EAP Coordinator:

Steve Choi, Director of Safety and Security

Section 2: Summary of EAP Responsibilities

2.1 Irvine Ranch Water District Responsibilities (Dam Owner)

IRWD, as the dam owner, is responsible for detecting and evaluating dam safety incidents, classifying the incident, notifying emergency management authorities, taking appropriate response actions, terminating the EAP, and follow-up tasks related to the dam incident.

General EAP responsibilities for IRWD are to:

- Detect, verify and assess emergency conditions.
- Respond to emergencies at the dam site.
- Activate and implement the Syphon Canyon Dam EAP, including determining the appropriate emergency level.
- Notify other participating emergency management agencies of emergency conditions, emergency level, EAP activation, and other critical information.
- Utilize IRWD Emergency Operations Plan (EOP) for internal emergency response coordination
- Take corrective action at the dam/reservoir.
- Terminate the EAP.
- Facilitate an after-action evaluation and report.
- Update EAP on at least an annual basis.
- Communicate with the public and the media.

More detailed responsibilities, including duties by staff member, are given in Section 6.1 and *Table 6-1 Dam Owner Responsibilities by Role*.

2.2 Impacted Jurisdictions'/Public Safety Agencies' Responsibilities

A dam safety incident at Syphon Canyon Dam has the potential to impact unincorporated areas of Orange County, the City of Irvine, and the City of Tustin. The involvement of potential impacted jurisdictions is crucial to the successful implementation of the EAP. Copies of the EAP were sent to impacted jurisdictions and public safety agencies as part of a local agency coordination effort to gather feedback and input to the emergency response process laid out in this EAP (see discussion in Section 1.3). Where applicable, comments from these agencies informed the responsibilities detailed below.

2.2.1 Field Level Incident Management

A dam safety incident is reported through a 911 or direct phone call to the Irvine Police Department (see Section 3). The emergency response through the public safety agencies can be assisted by the OCSD "Control One," which is the central point of contact for interoperable communications between all law enforcement, fire, and public works agencies responding to a dam safety emergency at the Syphon Canyon Dam.

Once the incident is reported, an incident command post (ICP) may be established by the City of Irvine. The incident commander (IC) is a field level position that falls to the Irvine Police Department and/or the OCFA supervisor. For Potential Failure or Imminent Failure dam safety incidents, the City of Irvine Police Department and OCFA may establish a Unified Command to jointly perform the IC duties for a dam safety incident at the Syphon Canyon Dam. The Unified Command, which would include IRWD, OCFA, City of Irvine, and possibly City of Tustin, may be required in order to share incident management responsibilities.

Unified Command/IC responsibilities consist of establishing the ICP, protecting life and property, controlling personnel and equipment resources, maintaining accountability for responder and public safety, and establishing and maintaining an effective liaison with outside agencies and organizations. The Unified Command/IC is responsible for all incident activities, including the development of strategies and tactics and the ordering and the release of resources.

The Unified Command/IC has overall authority and responsibility for conducting incident operations, while IRWD is responsible for monitoring and remedial actions at the dam site (see Section 5). IRWD remedial actions will be coordinated through the IRWD operations center. IRWD actions will coordinate with external emergency response agencies through the ICP, the City of Irvine EOC, and the County and OA EOC, if activated. IRWD prepared a map depicting potential locations where an ICP may be established in the event of an emergency at Rattlesnake Canyon Dam, Syphon Canyon Dam, or Sand Canyon Dam. The map is included in Appendix K to this EAP. The locations shown are for planning purposes only; in the event of a dam emergency, determination of the final ICP location is the responsibility of the Unified Command/IC.

Unified Command/IC duties may include the following:

- Establishing command
- Ensuring responder safety
- Assessing incident priorities
- Determining operational objectives
- Developing an appropriate organizational structure
- Maintaining a manageable span of control
- Coordinating overall emergency activities
- Coordinating the activities of outside agencies
- Authorizing the release of information to the media.
- Terminating the emergency response⁵
- Participating in an annual review and update of the EAP

⁵ The IC has the authority to terminate the emergency response. IRWD, as the dam owner, will terminate the EAP.

2.2.2 City of Irvine (Irvine Police Department and OCFA)

The City of Irvine Emergency Operations Plan addresses the City of Irvine's planned response to emergencies associated with natural and man-made disasters.⁶ It provides an overview of operational concepts, identifies components of the City's emergency management organization within the Standardized Emergency Management System (SEMS), and describes the overall responsibilities of the federal, state and county entities and the City for protecting life and property, and assuring the overall well-being of the population.

In the event of a dam emergency at the Syphon Canyon Dam, the City of Irvine will be the lead agency for executing and coordinating emergency response activities. Depending on the severity of the emergency, a Local Emergency may be proclaimed, the City of Irvine EOC may be activated, and Orange County Operational Area (OA) will be advised.

The City's Emergency Operations Plan calls for an IC for a dam failure incident. As discussed in Section 2.2.1, a Unified Command between the City of Irvine and OCFA is anticipated for potential or imminent failure situations. Depending on the situation, the Unified Command could also include representatives from the City of Tustin.

The City of Irvine maintains responsibility for the evacuation of the inundation areas within the city limits, based on the threat and situation. Evacuation responsibilities would be directed by the Unified Command and carried out by the Irvine Police Department and OCFA.

Irvine Police Department and OCFA responsibilities include:

- Advising threatened populations of the emergency and apprising them of safety measures to be implemented.
- Advising the Orange County OA of the emergency.
- Identifying the need for mutual aid and requesting such through the Orange County OA.
- Proclamation of a Local Emergency by local authorities.
- Dissemination of accurate and timely emergency public information and warning to the public.
- Evacuation and rescue operations.
- Establishing evacuation routes and road closures.
- Medical care operations.
- Care and shelter operations, including establishing shelters.
- Facilitating return of evacuated individuals.
- Access and perimeter control.
- Public health operations.
- Restoration of vital services and utilities.
- Participating in an after-action evaluation.

⁶ Available at: <u>https://legacy.cityofirvine.org/civica/filebank/blobdload.asp?BlobID=34359</u>

2.2.3 City of Tustin (Tustin Police Department and OCFA)

The City of Tustin maintains responsibility for emergency preparedness and response within the city limits. Emergency planning for the City of Tustin is coordinated through the Tustin Police Department. The inundation area of a dam failure at Syphon Canyon Dam includes areas of the City of Tustin (Map Panel 7 of the Main Dam Failure Maps in Part II of this EAP). Any emergency preparedness and response will be coordinated with the Unified Command/IC and the OA, as required.

The City of Tustin's emergency response will be carried out by the Tustin Police Department and OCFA, which provides fire service to the City of Tustin. Field level response will be coordinated with the Unified Command/IC. Tustin Police Department and OCFA responsibilities include:

- Advise threatened populations of the emergency, and apprising them of safety measures to be implemented
- Implement public warning and notification
- Evacuation and rescue operations
- Establish evacuation routes and road closures
- Medical care operations
- Care and shelter operations, including establishing shelters
- Facilitate of return of evacuated individuals
- Access and perimeter control
- Public health operations
- Restoration of vital services and utilities
- Participate in an after-action evaluation

2.2.4 Orange County Sheriff's Department, Emergency Management Division

Thirty-four incorporated cities in the county are responsible for emergency planning within their jurisdictions. The County of Orange (County) is responsible for the emergency planning of 205 square miles of unincorporated area and all county-owned facilities and properties.

The County provides support to OA jurisdictions or local governments by identifying and coordinating resources and communicating with regional and state authorities. During disasters, OA jurisdictions are required to coordinate emergency operations with the OA and, in some instances, other local governments.

The County of Orange and Operational Area Emergency Operations Plan (County and OA EOP) provides guidance and procedures for the County to prepare for and respond to significant or catastrophic natural, technological or conflict-related incidents that produce situations requiring a coordinated response. It further provides guidance regarding management concepts, identifies organizational structures and relationships, and describes responsibilities and functions of the emergency organization to protect life and property. The OCSD EMD is responsible for developing, maintaining and distributing the County and OA EOP.

There are two roles within the OA discussed in this EAP: County and OA EOC Manager and the Operational Area Coordinator (OAC).

<u>County and OA EOC Manager</u>. The OCSD EMD Director serves as the County and OA EOC Manager. The County and OA EOC Manager is the 24-hour point of contact for the County, Operational Area, State, Federal entities and agencies, and Mutual Aid Coordinators.

Responsibilities of the County and OA EOC Manager may include:

- Establish and maintain contact with the affected dam and reservoir owner or operators.
- Request current situational status of the affected dam and reservoir.
- Ensure the OAC, Board of Supervisors and Policy Group are notified and kept apprised of emergency conditions occurring due to a dam and reservoir failure event.
- Coordinate with the OAC to establish activation level of the County and OA EOC.
- Direct EMD staff to notify appropriate key personnel to report to the County and OA EOC, based on the activation level established.
- Establish and maintain communication with all impacted jurisdictions to ensure coordination of response activities and situational information.
- Ensure situational information is provided to OA jurisdictions, County departments and California Governor's Office of Emergency Services (Cal OES), and updated on a regular basis.
- Assist with the coordination of the County's reentry and recovery efforts.

<u>Operational Area Coordinator</u>. When an emergency impacts an OA jurisdiction, the Orange County Operational Area Agreement designates the OAC as being responsible for direction, coordination and communication of policy decisions, and coordinating resource needs and priorities between OA jurisdictions and the State throughout the emergency. In cases of dam and reservoir failure, the County and OA Emergency Operational Plan, Dam and Reservoir Failure Annex designates Orange County Public Works (OCPW) as the OAC.

Responsibilities of the OAC may include:

- Serve as a key decision maker in the County and OA EOC, providing direction and coordination necessary to accomplish the purposes of the Operational Area Agreement and responsibilities of the Operational Area Lead as specified in Title 19 California Code of Regulations Section 2409 (e).
- Coordinate with OA jurisdictions during emergency response.
- Maintain contact with the dam and reservoir owner/operator to receive regular updates on water releases and situation status.
- Represent the Operational Area in all dealings with the public or private agencies on matters pertaining to emergencies.
- Appoint a Public Information Manager (PIM) to coordinate dissemination of all emergency information.
- In coordination with the PIM, prepare and approve dam and reservoir failure information statement and instructions for the public to be released via: media, Emergency Alert Systems, NWS, and AlertOC.

- Activate the County and OA EOC to the appropriate level of organization and staffing to support operations.
- Participate in conference calls.
- Initiate discussion with the Policy Group on the necessity to proclaim a Local Emergency and/or Operational Area Proclamation of Emergency.

A dam and reservoir failure may require multi-jurisdiction, multi-agency and multi-discipline coordination at all levels, including first responders. The Dam and Reservoir Failure Annex delineates the specific organization and assignment of responsibilities within the County and OA EOC. The appropriate Standardized Emergency Management System (SEMS) and NIMS functions will be activated, based on the failure threat or situation.

Activation of the County and OA EOC is required by SEMS, Title 19 California Code of Regulations Section 2409 (f), under the following conditions:

- On Request A local government within the OA has activated its EOC and requested activation of the County and OA EOC to support its emergency operations. Jurisdiction(s) determine that additional response resources beyond that which would normally be covered by mutual aid are required and assistance from the OA may be necessary.
- Two City Local Emergency Two or more cities within the OA have proclaimed a Local Emergency.
- County and City Local Emergency The County and one or more cities have proclaimed a Local Emergency.
- Request for Governor's Proclamation A city, city and County, or County has requested a Governor's proclamation of a State of Emergency, as defined in Government Code 8558(b).
- State of Emergency A State of Emergency is proclaimed by the Governor of the State for the County or two or more cities within the OA.
- Request for Outside Resources The OA is requesting resources from outside its boundaries, except those resources used in normal day-to-day operations which are obtained through existing agreements providing for the exchange or furnishing of certain types of facilities and services on a reimbursable, exchange, or other basis as provided for under the Master Mutual Aid Agreement.
- Request for OA Resources The OA has received resource requests from outside its boundaries, except those resources used in normal day-to-day operations which are obtained through existing agreements providing for the exchange or furnishing of certain types of facilities and services on a reimbursable, exchange, or other basis as provided for under the Master Mutual Aid Agreement.

2.2.5 California Governor's Office of Emergency Services and Cal OES Warning Center

Cal OES plays dual roles in managing an emergency; one at the regional level and the other at the state level. The regions include Inland Region, Coastal Region, and Southern Region, while the state level constitutes the executives and brokers resources between the regions. The state level also interfaces with the National Response Framework, and informs the governor,

legislature, and state emergency management stakeholders. Cal OES also implements state-level media policy and provides the primary coordination with SEMS and NIMS at the federal level. Cal OES Southern Region will participate in the reviews of and updates to the EAP.

The Dam Safety Planning Division is responsible for reviewing and approving dam owners' EAP. This process includes division outreach and technical assistance to dam owners and local emergency management personnel. The Cal OES Dam Safety Planning Division may also provide guidance to local public safety agencies with regard to incorporating EAPs into their existing all-hazards key response and mitigation plans. The division will also participate in the annual review and update of the EAP.

The Cal OES Warning Center is the link for notifications between state and federal agencies for this EAP. The mission of the Cal OES Warning Center is to be a central intelligence hub for statewide emergency communications and notifications, serving as a highly reliable and accurate "onestop" resource for emergency management, law enforcement, fire, and key decision-making personnel throughout the state. The Cal OES Warning Center is staffed 24 hours a day, seven days a week watching over California to identify potential and emerging threats, provide alert notification to all levels of government as well as critical situational awareness during an emergency or disaster.

The Cal OES Warning Center has the responsibility to receive, coordinate, verify and disseminate information pertaining to events which occur within California or that could affect California. Information received by the Cal OES Warning Center is coordinated between Cal OES and other sources to ensure that the information which is disseminated is both timely and accurate.

2.2.6 California Department of Water Resources – Division of Safety of Dams

The mission of DSOD is to protect people against the loss of life and property due to dam failure. The California Water Code entrusts this regulatory power to DWR, which delegates the responsibility to DSOD. Section 6110 of the Water Code directs the Department to immediately employ any remedial means necessary to protect life and property if either: (a) the condition of the dam is so dangerous to the safety of life or property as to not permit time for the issuance and enforcement of an order relative to maintenance or operation, or (b) passing or imminent floods threaten the safety of any dam or reservoir. Section 6111 of the Water Code states that in applying the remedial means "the department may, in emergency, do any of the following: (a) lower the reservoir; (b) completely empty the reservoir; (c) take such other steps as may be essential to safeguard life and property." In the event of an emergency at the dam, DSOD actions could include, but are not limited to:

- Advising the dam owner's/operator's representative of remedial actions to take
- Ordering the dam owner's/operator's representative of remedial actions to take
- Assuming control of the dam if necessary to safeguard life and property
- Advising the dam owner's/operator's representative of the emergency level determination
- Inspecting the dam during and after the emergency
- Design review and approval of emergency repairs

• Acting as a dam technical specialist in the State Operations Center, or other emergency operations center

Additionally, per Water Code Sections 6160 and 6161, DSOD is responsible for the review and approval of inundation maps. The California Code of Regulations, Title 23, Division 2, Chapter 1, Article 6 defines the specific requirements of the inundation maps.

IRWD communicated with DSOD staff to confirm DSOD responsibilities as described in this EAP. These DSOD responsibilities were provided to IRWD by Richard Draeger, the regional engineer, via email on December 12, 2019.

2.2.7 National Weather Service Weather Forecast Office

The NWS has a congressional mandate to issue official public warnings for all weather-related events, including dam breaches and flooding. The NWS communicates all flash flood watches and warnings based on the inundation maps provided in this EAP. The San Diego Weather Forecast Office (WFO) has a copy of the enclosed inundation map and will issue official public warnings upon notification, as appropriate. The NWS WFO will issue a 'Flash Flood Watch' for a potential dam failure and a 'Flash Flood Warning' following the confirmation of a dam failure for downstream areas.

2.2.8 DWR Flood Operations Center

The mission of the DWR Division of Flood Management is to prevent loss of life and reduce property damage caused by floods and to assist in recovery efforts following any natural disaster. The State-Federal Flood Operations Center, located in Sacramento, California, is operated by the Division of Flood Management. The Flood Operations Center provides a facility from which DWR can centrally coordinate emergency response state-wide. Upon activation of this EAP, the DWR Flood Operations Center will be notified by the dam owner. During a potential or imminent failure scenario, the DWR Flood Operations Center would be responsible for assisting with coordination among state and local agencies. The DWR Flood Operations Center can also provide technical assistance during an incident.

2.2.9 Orange County Public Works

A copy of the EAP was sent to Orange County Public Works (OCPW), as channel facilities and infrastructure managed by OCPW may be affected by an incident at Syphon Canyon Dam. OCPW is a plan holder of this EAP and may assist with response related to county-managed facilities. OCPW operates the Trabuco Retarding Basin, which is downstream of Syphon Canyon Dam and could be affected by a breach at Syphon Canyon Dam. In the event of an incident at Syphon Canyon Dam, IRWD staff will notify OCPW staff so that they can take appropriate action at Trabuco Retarding Basin in response to the upstream emergency. OCPW provided contact information for staff to be notified as part of this EAP (see notification charts and tables in Section 3).

2.2.10 California Highway Patrol, Santa Ana Office

A copy of the EAP was sent to California Highway Patrol (CHP). Portions of Interstate 5 (I-5) between Culver Drive and Jeffrey Road could be impacted by an incident at Syphon Canyon Dam. CHP dispatch is included in the notification charts in this EAP. In the event of an emergency at Syphon Canyon Dam, CHP would be responsible for evacuating impacted state highways and controlling traffic on these roads. CHP response would be coordinated by the Unified Command/IC.

2.2.11 Caltrans

A copy of the EAP was sent to Caltrans staff at the District 12 (Orange County) office so that they could review the EAP with regard to state highway facilities. Emergency response at state highways would be coordinated by CHP through the Unified Command, but Caltrans staff may assist with response related to state-managed road facilities. Caltrans is included in the notification charts in this EAP.

2.2.12 Water Emergency Response Organization of Orange County

A copy of the EAP was sent to the Water Emergency Response Organization of Orange County (WEROC), which supports and manages countywide emergency preparedness, planning, response, and recovery efforts among Orange County water and wastewater utilities. WEROC does not have any prescribed responsibilities in this EAP, but may assist with a mutual aid emergency response to a dam safety incident at the Syphon Canyon Dam.

2.2.13 Crean Lutheran High School

The Crean Lutheran High School athletic complex is located directly downstream of Syphon Canyon Dam. Administrators from the school reviewed the EAP and provided 24-hour phone numbers for inclusion on the notification charts. The school is not assigned responsibilities in this EAP, but has been included in the notification charts to facilitate timely notifications.

2.2.14 Irvine Unified School District

Stonegate Elementary, part of the Irvine Unified School District, is located in close proximity to Syphon Canyon Dam. An administrator from the school district reviewed the EAP and provided 24-hour phone numbers for inclusion on the notification charts. The school is not assigned responsibilities in this EAP, but has been included in the notification charts to facilitate timely notifications.

Section 3: Notification Flowcharts

3.1 Notification Flowcharts

This section contains notification flowcharts and accompanying messages for each emergency level that could be activated at the Syphon Canyon Dam: high flow, non-failure, potential failure, and imminent failure. The high flow and non-failure scenarios share a notification flow chart, as the same parties would be notified during each event, but have different notification messages. Similarly, the potential failure and imminent failure share a notification flow chart, but have different notification messages. The notification messages for all emergency levels can also be found in Appendix E of this EAP. See Section 5.1 for an explanation of emergency level determination.

IRWD and public safety agencies should reference these flowcharts to know who to contact and in what order in the event of a dam emergency. Individuals or organizations at the beginning of flowchart branches are responsible for making all calls within that branch, in the order indicated. If a party is not answering the number indicated on the flowchart, the notifying party should reference the contact table given in Section 3.2 for alternate methods of contact. In order to facilitate clear and efficient communication of emergency conditions, suggested scripts for notification are included after each flowchart. To ensure timely and efficient notifications during a rapidly developing emergency situation, the suggested scripts for verbal notifications via phone calls are short and direct, and will be followed by text and/or email confirmations containing the language in the pre-scripted messages suggested in Appendix E.

The Syphon Canyon Dam has a small drainage area and is filled and drained independently of the local stream system. It is therefore highly unlikely that it would be affected by a high flow situation as described in the FEMA guidelines. However, a notification flowchart and emergency message have been included for the high flow scenario to ensure complete preparedness.

The notification flowcharts require that the PSAP, City of Irvine Police Department, make additional calls as part of the notification process. IRWD has coordinated with the City of Irvine Police Department to ensure that they have a copy of the EAP on hand and will utilize the notification flowcharts in Section 3.1. To ensure that notifications are made in a timely manner, multiple staff members will be available to make notification calls for the City of Irvine Police Department. The City of Irvine Police Department may also use a mass notification system to communicate with all downstream public agencies at once. The City of Irvine Police Department has agreed to perform the responsibilities in the notification flowcharts and in this EAP. These instructions will be updated annually when the plan is reviewed and contacts are updated (see Section 8.1).

The notification charts in this section rely upon cellular phones and landlines as the means of communication. Alternative communication methods may be necessary during a severe emergency in which the region experiences power and cellular network outages. The PSAP, Irvine Police Department, utilizes the 800-megahertz (MHz) radio system and can communicate dispatch-to-dispatch to other local emergency management agencies (e.g., OCFA, Tustin Police

Department, etc.). Orange County Control One also has the ability to communicate on a designated radio channel.

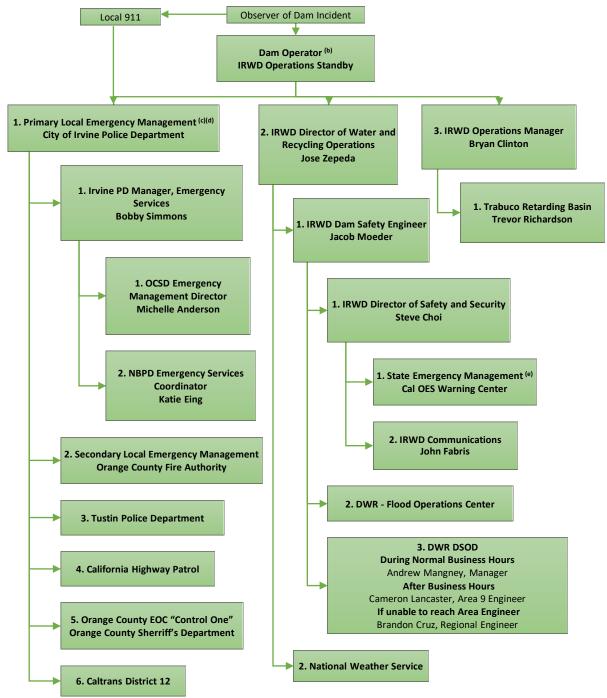
IRWD and other agencies have access to Government Emergency Telecommunications Service (GETS), which is operated by the federal government to prioritize emergency calls made over landlines and cellular lines. Users with a GETS card have priority access to telephone networks when there is congestion or other service problems. IRWD also has satellite phones that can be used for communications during a dam emergency, as well as two-way radio communications capability with WEROC.

The NWS has satellite phones which are operated to make outgoing calls if landlines or cellular service are unavailable. The NWS also uses amateur radio transmissions as a backup method for communication.



Notifications to be made in order of appearance on flowchart. First number listed is primary contact number, second number listed (if applicable) is secondary contact number.

MAKE IT CLEAR THE DAM IS CURRENTLY SAFE. See suggested notification scripts in Section 3 of the EAP.



Notes:

a.Use this chart in and the Contact Log in Appendix D to document notifications.

b.After dam incident is reported to IRWD, IRWD will activate the EAP if necessary and make emergency level determination,

- triggering the continuation of notifications. Refer to Section 5 of the EAP for the EAP Response Process.
- c.Contact the Dam Operator if 911 is notified by a non-utility observer.
- d.Irvine Police Department notification calls will be made by mass notification systems.

e. Use the Cal OES Warning Center Dam Incident Report in Appendix I. Send a copy to Irvine Police Department. Phone numbers and email addresses have been removed from this publicly posted copy of this Emergency Action Plan. That information is available from Irvine Ranch Water District's district secretary: Phone 949-453-5300, Email Comments@IRWD.com

High Flow Emergency Level Notification Script

This is [your name and position].

IRWD has activated the Emergency Action Plan for Syphon Canyon Dam, No. 1029.004, located in Orchard Hills. The dam is under a High Flow condition but is not in danger of failing.

High flows at the dam began at _____ on _____.

The current flow at the dam is _____ cfs.

You have/will receive a text or email with additional details. We'll provide updates when there are any changes in flow or dam condition. What is the best way to contact you with additional updates? [record contact information].

I can be contacted at _____. [preferred contact method]

If you can't reach me, please use ______. [alternate contact method]

Non-Failure Emergency Level Notification Script

This is . [your name and position].

IRWD has activated the Emergency Action Plan for Syphon Canyon Dam, No. 1029.004, located in Orchard Hills. The dam is under a Non-Failure condition and is not in danger of failing.

You have/will receive a written notification with additional details. We'll provide updates detailing any changes in flow or dam condition. What is the best way to contact you with additional updates? [record contact information].

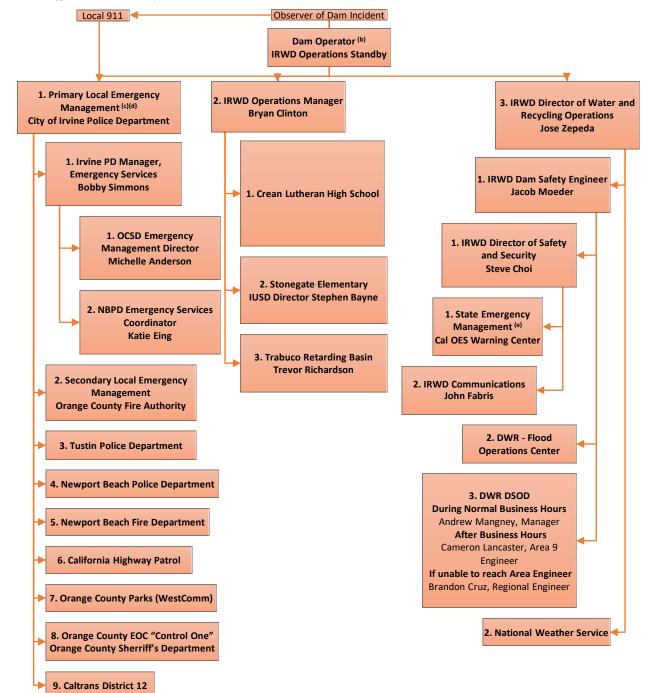
I can be contacted at _____. [preferred contact method]

If you can't reach me, please use _____. [alternate contact method]

Potential or Imminent Failure Notification Flowchart^(a)

Notifications to be made in order of appearance on flowchart. First number listed is primary contact number, second number listed (if applicable) is secondary contact number.

See suggested notification scripts in Section 3 of the EAP.



Notes:

a.Use this chart in and the Contact Log in Appendix D to document notifications.

b.After dam incident is reported to IRWD, IRWD will activate the EAP if necessary and make emergency level determination,

triggering the continuation of notifications. Refer to Section 5 of the EAP for the EAP Response Process.

d.Irvine Police Department notification calls will be made by mass notification systems.

e. Use the Cal OES Warning Center Dam Incident Report in Appendix I. Send a copy to Irvine Police Department. Phone numbers and email addresses have been removed from this publicly posted copy of this Emergency Action Plan. That information is available from Irvine Ranch Water District's district secretary: Phone 949-453-5300, Email Comments@IRWD.com

c.Contact the Dam Operator if 911 is notified by a non-utility observer.

Potential Failure Emergency Level Notification Script

This is _____ [your name and position].

IRWD has activated the Emergency Action Plan for Syphon Canyon Dam, No. 1029.004, located in Orchard Hills. The dam is under a **<u>Potential Failure</u>** condition and may be in danger of failing.

IRWD is responding to _____ [describe event] that could result in dam failure as early as _____.

Please reference the inundation map in your copy of the Emergency Action Plan and prepare to evacuate the low-lying portions of Irvine and Tustin from Portola Road between Jeffrey and Sand Canyon to Trabuco Road west of Jeffrey.

The City of Irvine Police Department is the PSAP for this emergency.

You have/will receive a written notification with additional details. We'll provide updates detailing any changes in flow or dam condition. What is the best way to contact you with additional updates? [record contact information].

I can be contacted at _____. [preferred contact method]

If you can't reach me, please use _____. [alternate contact method]

Imminent Failure Emergency Level Notification Script

This is an emergency. This is _____ [your name and position].

Syphon Canyon Dam, No. 1029.004, located in Orchard Hills, is failing.

The downstream area must be evacuated immediately.

Repeat, Syphon Canyon Dam, Dam No. 1029.004, is failing; evacuate the low-lying portions of Irvine and Tustin from Portola Road between Jeffrey and Sand Canyon to Trabuco Road west of Jeffrey Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue should be closed due to potential inundation. I-5 between Jeffrey Road and Culver Drive may become flooded.

Reference the inundation map in your copy of the Emergency Action Plan for specific evacuation areas.

The City of Irvine Police Department is the PSAP for this emergency.

You have/will receive a written notification with additional details for this <u>Imminent</u> <u>Failure</u> condition.

I can be contacted at _____. [preferred contact method]

If you can't reach me, please use ______. [alternate contact method]

The next status report will be provided in approximately 30 minutes. What is the best way to contact you with additional updates? [record contact information].

Imminent Failure Emergency Level Public Message

The following pre-scripted message may be **used for emergency management authorities to communicate the** <u>Imminent Failure</u> of the dam with the public:

Attention: This is an emergency message from _____ [emergency management agency]. Listen carefully. Your life may depend on immediate action.

Syphon Canyon Dam located in Orchard Hills is failing. Repeat. Syphon Canyon Dam located in Orchard Hills is failing.

If you are in or near this area, proceed immediately to high ground. The low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail may be flooded. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue may be closed due to flooding.

If you are in or near this area, proceed immediately to high ground away from low lying areas.

Repeat message.

3.2 Contact Information Table

The contact table below lists all parties included in the notification flowcharts, along with other key stakeholders. If unable to contact a party using the method shown on the flowcharts, refer to this table to attempt to contact through a different pathway. All contacts included in the flow charts and contact tables are confirmed to be up-to-date as part of the annual EAP review process.

Organization	Name (Title)	Primary Phone #	Secondary Phone #	Email Address	Written Emergency Notification Method
Cal OES	California State Warning				Email
	Center				
Caltrans (District	24-hour Notification				None
12)	Number				
Caltrans (District	Bala Nanjappa (D-12				Text + email
12)	Maintenance				
	Engineering)				
Caltrans (District	John Bybee (EOC				Text + email
12)	Coordinator)				
СНР	24-Hour Dispatch in				None
	Santa Ana				
CHP	Capt. Mike Salinas				Email
CHP	Lt. Kris Ulibarri				Email
СНР	Lt. Scott Stoos				Email
CHP	Sgt. Anselmo Templado				Email
CHP	State Dispatch number				None
СНР	Valerie Cardenas (Public				Email
	Safety Dispatch				
	Supervisor)				
Crean Lutheran	Main Line Number				None
High School ^(a)					
Crean Lutheran	Dan Moyer (Principal)				Email
High School ^(a)					
Crean Lutheran	Christine Cosenza (Vice				Email
High School ^(a)	Principal)				

Organization	Name (Title)	Primary Phone #	Secondary Phone #	Email Address	Written Emergency Notification Method
Crean Lutheran	Michael Predney				Text + email
High School ^(a)	(Director of Emergency				
	Management)				
Crean Lutheran	Jacqlyn Sandoval				Email
High School ^(a)	(Director of Facilities)				
DWR DSOD	Andrew Mangney (Field				Email
	Engineering Branch				
	Manager)				
DWR DSOD	Brandon Cruz (Southern				Text + email
	Regional Engineer)				
DWR DSOD	Cameron Lancaster (Area 9 Engineer)				Text + email
DWR FOC	Flood Warning Center				None
Irvine Police	24-Hour Dispatch				None
Department	-				
Irvine Police	Non-Emergency Dispatch				None
Department					
Irvine Police	Brendan Manning				Text + email
Department	(Emergency Services				
	Administrator)				
Irvine Police	Christine Tully				Text + email
Department	(Emergency Services				
	Supervisor)				
Irvine Police	Robert Simmons				Text + email
Department	(Manager, Emergency				
	Services)				
IRWD	Bryan Clinton				Text + email
	(Operations Manager)				
IRWD	David Paulson				Text + email
	(Operations Supervisor)				
IRWD	Jacob Moeder				Text + email
	(Engineering				
	Manager/Dam Safety				
	Engineer)				

Organization	Name (Title)	Primary Phone #	Secondary Phone #	Email Address	Written Emergency Notification Method
IRWD	John Fabris (Communications)				Text + email
IRWD	Jose Zepeda (Director of Water and Recycling Operations)				Text + email
IRWD	Operations Standby/Customer Service				None
IRWD	IRWD Communications Department				None
IRWD	Paul Cook, P.E. (General Manager)				Email
IRWD	Steve Choi (Director of Safety and Security)				Text + email
Irvine Unified School District	Stephen Bayne (Director of Risk Management and Insurance)				Email
NWS	Alexander Tardy (Warning Coordination Meteorologist)				Text + email
NWS	National Weather Service				None
OCFA	Non-Emergency Dispatch				None
OCFA	Nick Freeman (Division 2 Chief)				Email
OCFA	Kevin Fetterman (Division 4 Chief)				Text + email
OCPW	Trevor Richardson (Emergency Management Administrator)				Email
OCPW	Jermaine Harris (General Maintenance Division Manager)				Text + email
OCSD	24-Hour Dispatch				None

Organization	Name (Title)	Primary Phone #	Secondary Phone #	Email Address	Written Emergency Notification Method
OCSD	Emergency Operations				None
	Center "Control One"				
OCSD	Kevin McArthur				Text + email
	(Assistant Emergency				
	Manager)				
OCSD	Mayra Wheeler (Senior				Text + email
	Emergency Management				
	Program Coordinator)				
OCSD	Michelle Anderson				Text + email
	(Emergency Management				
	Director)				
Stonegate	Main phone number				None
Elementary					
School ^(a)					
Tustin Police	Sean Thuilliez (Chief of				Text + email
Department	Police)				
Tustin Police	Lt. Sarah Fetterling				Text + email
Department					
Tustin Police	Pat Hurtado (Emergency				Text + email
Department	Operations Coordinator)				
Tustin Police	Stephen Foster				Text + email
Department	(Emergency Manager)				
Tustin Police	24-Hour Dispatch				None
Department					
WEROC	Gabriela Landeros				Email
	(WEROC Specialist)				
WEROC	Vicki Osborn (Director of				Text + email
	Emergency Management)				

Notes: (a) Crean Lutheran High School and Stonegate Elementary School are located immediately downstream of the dam, and have been added to the notification flowcharts and contact tables in order to facilitate timely notifications.

Section 4: Project Description

Syphon Canyon Dam was constructed in 1949. DSOD has given the dam a hazard classification of "Extremely High". The dam impounds Syphon Reservoir, which stores recycled water for use in the IRWD service area. The dam is a compacted earth fill embankment with a crest length of 843 feet. It has a barrier height of 59 feet, as measured from the maximum water surface at the spillway crest elevation of 380.4 feet⁷ to the estimated downstream toe at an elevation of 321.4 feet. The upstream and downstream faces of the dam are sloped at a 2.5:1 ratio.

DSOD has not identified any CAS for Syphon Canyon Dam. Figure 4-1 is a schematic of the reservoir, showing the dam, spillway, and outlet works. A location map, including downstream jurisdictions, was previously provided in Figure 1-1. Rattlesnake Canyon Dam, also owned by IRWD, is located approximately 1.4 miles north- northwest of Syphon Canyon Dam; however, Rattlesnake Canyon Dam is not inside the inundation area of Syphon Canyon Dam.



Figure 4-1 Schematic of Syphon Canyon Dam

⁷ All elevations discussed in this plan are referenced to the in the North American Vertical Datum of 1988 (NAVD88).

The capacity of the reservoir behind the dam, based on as-built conditions, is 578 acre-feet at the spillway crest elevation of 380.4 feet. The dam has an upstream toe elevation of 332.4 feet. The modeling and inundation areas associated with failure at the Syphon Canyon Dam were completed using the full 578 acre-feet. Although some of the capacity may be taken up by accumulated sediment, DWR regulations require that any accumulated sediment be modeled as water. Figure 4-2 is the reservoir storage-capacity curve.

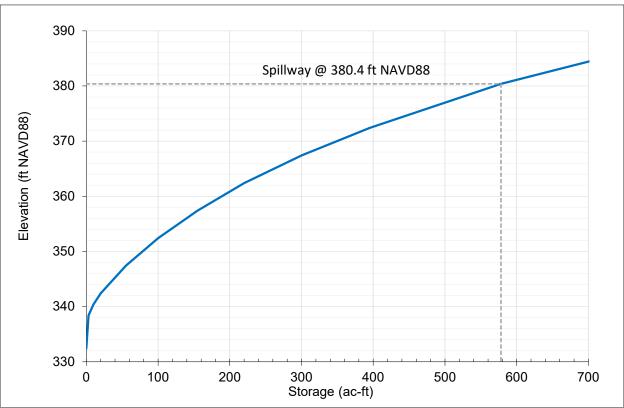


Figure 4-2 Reservoir Storage Capacity Curve

The drainage area upstream of Syphon Canyon Dam is 0.3 square miles. The reservoir collects some natural runoff during the rainy season from this area. It primarily stores recycled water from IRWD's MWRP, which is located approximately seven miles west-southwest from Syphon Canyon Dam. Recycled water is conveyed from the MWRP to Rattlesnake Reservoir, and then delivered to Syphon Reservoir through the Highline Canal, which discharges directly into the reservoir. The reservoir has a 15-inch outlet pipe, which has a 12-inch butterfly valve on the upstream side and two 16-inch butterfly valves on the downstream side. The outlet pipe conveys water to a chlorination station and then into IRWD's recycled water distribution system. The outlet pipe can also be used to drain the reservoir by conveying water through a 48-inch reinforced concrete pipe and then into a drainage ditch. The ditch capacity is not known.

Syphon Canyon Dam has a concrete spillway which discharges into a concrete- and gunite-lined channel. The spillway is an ogee crest weir, with a crest elevation of 380.4 feet. The spillway channel is about 12 feet wide and 80 feet long. A spillway capacity curve is not available for Syphon Canyon Dam, and creation of one was outside the scope of this plan.

Downstream of Syphon Canyon Dam, water from a dam failure would flow through streets, overland flow areas, and storm drain structures. The floodplain immediately downstream of Syphon Canyon Dam is an urban area with no natural streams or channels. A dam failure flood wave at this location is expected to greatly exceed the capacity of the nearby streets and storm drains. The first major channel to be inundated would be Peters Canyon Wash. OCPW has historically measured flows on Peters Canyon Wash.⁸ In the channel, flows are typically less than 10 cfs; however, daily discharge after storm events can be as high as 1,000 to 3,000 cfs. A dam failure flood wave from Syphon Canyon Dam would attenuate significantly upon arrival at Peters Canyon Wash, and is expected to be contained within the channel (see Panels 6, 7, and 8 in Part II).

No extremely high flow, emergency flow, or dam emergency incidents are known to have occurred at Syphon Canyon Dam.

The water level in the reservoir is controlled through input valves, and if a potential or developing dam safety incident requires the lowering of the reservoir level, this must be done in accordance with the standard operating procedures described in IRWD's emergency plan. All actions associated with controlling flow into or out of the reservoir must be coordinated with IRWD's water operations staff or a representative designated by IRWD.

Discharge curves for the outlet pipe have not been prepared; however, the typical discharge flowrate through the 15-inch outlet pipe is 5 cfs. Though the reservoir has not previously been drained, the estimated time to drain it at typical discharge rates is 50 to 60 days.

No extreme high flow or emergency events have ever occurred at the Syphon Canyon Dam which affected the surrounding community or downstream areas. If the dam were to fail, the Crean Lutheran High School Athletic Complex, the low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including Stonegate Elementary School and Jeffrey Open Space Trail could be inundated. Portions of I-5 between Culver Drive and Jeffrey Road could be impacted by an incident at Syphon Canyon Dam. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue would potentially be closed due to potential inundation.

Rattlesnake Canyon Reservoir, located about 1.2 miles north-northwest of Syphon Canyon Dam is a jurisdictional dam also owned by IRWD. The two dams are located on separate stream tributaries, and a breach at Rattlesnake Canyon Dam would not impact Syphon Canyon Dam. Syphon Canyon Dam is not within the inundation area of Rattlesnake Canyon Dam.

The Trabuco Retarding Basin is a jurisdictional dam owned by OCPW and is located downstream of Syphon Canyon Dam within the City of Irvine. Trabuco Retarding Basin impounds water after storm events. A breach at Syphon Canyon Dam has the potential to impact the Trabuco Retarding Basin. The maps in Part II do not include an additional, sequential failure at Trabuco Retarding Basin. The maps in Part II reflect a breach at Syphon Canyon Dam when

⁸ Daily discharge data from Orange County Public Works for Station PCW, Peters Canyon Wash at Barranca Parkway.

Trabuco Retarding Basin is empty, i.e. during non-storm conditions. If a breach were to occur at Syphon Canyon Dam during storm conditions when the Trabuco Retarding Basin is full, a sequential failure could occur in which Trabuco Retarding Basin also experiences a breach. Sequential failure analysis using the Syphon Canyon Dam hydraulic model showed that, with an additional breach at Trabuco Retarding Basin, the following additional impacts could be expected:

- Part II Maps, Panel 3: additional inundation in the area bounded by I-5, Jeffrey Road, and Trabuco Road.
- Part II Maps, Panel 5: additional inundation in the area bounded by the railroad tracks, Oliver Drive, Walnut Ave, and Yale Ave
- Part II Maps, Panels 6 and 7: Additional inundation between Walnut Ave and Deerfield Ave, east of Harvard Rd.
- In the areas described above that would experience additional inundation, the maximum inundation depth is typically less than 3 ft.

Section 5: EAP Response Process

There are four steps that should be followed when an unusual or emergency incident is detected at the dam. These steps constitute the EAP Response Process. The steps are:

- Step 1: Incident detection, evaluation and emergency level determination
- Step 2: Notification and communication
- Step 3: Emergency actions
- Step 4: Termination and follow up

Early detection and evaluation of the condition(s) or triggering event(s) that initiate or require an emergency response action are crucial. Timely determination of an emergency level ensures that the appropriate response actions are taken based on the urgency of the situation. Procedures for early notification are provided in Section 3 that allow all entities involved with plan implementation to respond appropriately. Preventive or mitigating actions must be taken to attempt to address conditions at the dam. Eventually, a determination will need to be made concerning termination of the incident. After the incident is over, follow-up activities may be required. All of these steps make up the general EAP response process and are discussed in the following sections.

5.1 Step 1: Incident Detection, Evaluation, and Emergency Level Determination

Step 1 involves emergency detection, evaluation, and incident classification. Regular surveillance at the site is the normal method of detecting potential emergency situations. For conditions beyond the normal range of operations, contact DSOD for assistance with evaluation.

5.1.1 Monitoring, Detection, and Early Warning

This EAP establishes the procedures to be employed by IRWD personnel to ensure the safety of life and property at and downstream of Syphon Canyon Dam. The dam does not have an on-site dam keeper, but the water level in the reservoir is remotely monitored and IRWD water operations staff conduct daily visual inspections of the dam and appurtenances. IRWD has a dam safety program which regularly monitors and inspects features of the dam to detect problems. This program includes:

- Monitoring of reservoir data in a SCADA system with alarms/alerts.
- Routine visual inspection of the dam.
- Measurement of seepage at a toe drain on a weekly basis. Seepage data are compared to historical data to assess trends and detect anomalies.
- Measurement of water levels at piezometers. Measurements are compared to historical data to assess trends and detect anomalies.
- Semi-annual inspections by IRWD staff.
- Annual inspections by IRWD staff.
- Annual inspections by DSOD.
- Periodic dam safety reviews that consider potential failure modes and risk analysis.

Though access to Syphon Canyon Dam is restricted, the general public may observe Syphon Canyon Dam from the Crean Lutheran High School Athletic Complex and from Portola Parkway immediately downstream of the dam. This is the main area where the general public is able to observe the dam and detect potential anomalies. Outside of this area, public access and view of the dam is generally restricted, and monitoring and detection would be limited to IRWD staff.

Section 7 of this EAP contains more details about how monitoring and detection instrumentation are used by IRWD for incident preparedness.

5.1.2 Emergency Level Determination

After identification of a dam threatening condition, the dam operator or a qualified engineer will determine if there is sufficient time for additional investigation before declaring an emergency situation. Prior to activating the EAP, the IRWD Director of Water and Recycling Operations, in conjunction with the Dam Safety Engineer and EAP Coordinator, will determine the emergency level.

An emergency level determination may be re-evaluated at times during a dam emergency as it may not be readily apparent whether a complete breach will occur or how long it may take. IRWD, in conjunction with DSOD engineers, will be appropriately conservative in evaluating the emergency level determination and will provide emergency management authorities with the most complete information possible so that decisions regarding public safety and evacuations may be made quickly and effectively.

There are four dam safety emergency level categories for the Syphon Canyon Dam. The sections below describe how each emergency level applies to the dam, and *Table 5-1 Emergency Level Determination* is provided for different incidents that pose dam safety hazards.

High Flow – High Flows in System, No Threat to Dam

The High Flow emergency level indicates that flooding is occurring on the river system, but there is no apparent threat to the integrity of the dam. The High Flow emergency level is used by the dam owner to convey to outside agencies that downstream areas may be affected by the dam's release. Although the amount of flooding may be beyond the control of the dam owner, information on the timing and amount of release from the dam may be helpful to authorities in making decisions regarding warnings and evacuations.

The Syphon Canyon Dam has a small drainage area and is filled and drained independently of the local stream system. It is therefore highly unlikely that it would be affected by a high flow situation as described in the FEMA guidelines. However, the high flow scenario has been included in this EAP to ensure complete preparedness. In some cases, flow over spillways could cause unexpected flooding downstream, but the dam is not endangered. In cases of spillway releases, downstream residents may need to be notified if flooding threatens life or property, but it should be made clear that the dam is safe.

Non-Failure – Unusual, Slowly Developing Event

The Non-Failure emergency level is appropriate for an event at a dam that will not, by itself, lead to a failure, but requires investigation and notification of internal and/or external personnel. This classification indicates a situation is developing; however, the dam is not in danger of failing. In many cases, these unusual events are remedied with no further action required. Examples of Non-Failure events are (1) new seepage or leakage on the downstream side of the dam, (2) presence of unauthorized personnel at the dam, and (3) malfunction of an inlet valve in the open position creating the potential for high flow downstream of the dam or excessive erosion in the vicinity of the outlet works.

Potential Failure – Potential Dam Failure, Rapidly Developing

This classification indicates that a situation is rapidly developing that could cause the dam to fail. A reasonable amount of time is available for analysis before deciding whether to evacuate residents. Emergency responders in affected areas will be alerted that an unsafe situation is developing. The Potential Failure emergency level indicates that conditions are developing at the dam that could lead to a dam failure. Examples of Potential Failure events are (1) rising reservoir levels that are approaching the top of the non-overflow section of the dam, (2) transverse cracking of an embankment, and (3) a verified bomb threat. Declaration of a Potential Failure should convey that time is available for analyses, decisions, and actions before the dam could fail. A failure may occur, but predetermined response actions may moderate or alleviate failure.

Imminent Failure – Dam Failure Appears Imminent or In-Progress

The Imminent Failure emergency level indicates that time has run out, and the dam has failed, is failing, or is about to fail. Imminent Failure typically involves a continuing and progressive loss of material from the dam. It is not usually possible to determine how long a complete breach of a dam will take. Therefore, once a decision is made that there is no time to prevent failure, the Imminent Failure warning must be issued. For purposes of evacuation, emergency management authorities may assume the worst-case condition that failure has already occurred.

Decision criteria to assist the dam owner/operator in determining the appropriate emergency level is provided in Table 5-1. The guidance provided in Table 5-1 is intended to function as a framework for IRWD to use to determine when the EAP should be activated but is not prescriptive and each situation will be evaluated on a case-by-case basis.

Event Example Situation Emergency				
Event	Example Situation	Level		
Erosion of	Spillway flowing with active erosion gullies	Potential Failure		
Spillway	Spillway flowing with significant erosion and head cutting advancing rapidly toward reservoir	Imminent Failure		
Embankment Overtopping	Reservoir level reaches higher than 380.4 feet NAVD88 and is increasing	Potential failure		
	Water from the reservoir is flowing over the top of the dam	Imminent Failure		
	New seepage areas in or near dam	Non-Failure		
Seepage	New seepage areas with cloudy discharge or increasing flow rate	Potential Failure		
	Seepage with increasing and significant flow rate	Imminent Failure		
Sinkholes	Observation of new sinkhole in reservoir area or on embankment	Potential failure		
	Rapidly enlarging sinkhole	Imminent failure		
Embankment Cracking or	New cracks in embankment greater than 1/4-inch-wide without seepage	Non-Failure		
Settlement	Cracks in the embankment with seepage	Potential Failure		
	Visual shallow slippage	Non-Failure		
Embankment Movement	Visual deep-seated movement/slippage of embankment	Potential Failure		
wovement	Sudden or rapidly proceeding slides of embankment slope	Imminent Failure		
	Measurable earthquake reported within 50 miles of the dam	Non-Failure		
	Earthquake resulting in visible damage to dam or appurtenances	Potential Failure		
Earthquakes	Earthquake resulting in uncontrolled release of water over dam or rapidly developing flow through cracks or rapidly developing erosion through increased seepage	Imminent Failure		
Fire	Significant fire in the area that affects access to the dam	Non-Failure		
Instruments	Instrumentation readings beyond predetermined values	Non-Failure		
	Releases causing erosion around outlet works	Non-Failure		
Outlet System Failure	Uncontrolled releases through the outlet but the dam's structural integrity is still maintained	Potential Failure		
ranure	Uncontrolled releases through the outlet with dam failure imminent	Imminent Failure		
Security	Verified bomb threat that, if carried out, could result in damage to the dam	Potential failure		
Threat	Detonated bomb that has resulted in damage to the dam or appurtenances	Imminent failure		
Sabataga/	Damage that could adversely impact the functioning of the dam	Non-failure		
Sabotage/ Vandalism	Damage that has resulted in seepage flow	Potential failure		
, undungin	Damage that has resulted in uncontrolled water release	Imminent failure		

Table 5-1 Emergency Level Determination

5.2 Step 2: Notification and Communication

After the emergency level at the dam has been determined, notifications are made in accordance with the appropriate notification flowcharts in Section 3. Each chart identifies who is responsible for notifying representatives and/or emergency management officials; the prioritized order in which individuals are to be notified; and who is to be notified. A contact list for the flowchart contacts, as well as other affected parties is found in Section 3.2.

During a dam safety incident, the observer of the dam incident will call 911 and/or the dam operator. If local 911 (primary local emergency management) is called first, they will then notify the dam operator. If the dam operator is notified first, they will ensure that primary local emergency management is also aware of the situation. Once the appropriate emergency level has been determined, the flowchart corresponding to that level will be used to inform affected parties of the situation as it progresses. Parties at the start of each branch are responsible for making all calls within that branch, in the order indicated. Positive contact is required. If it is not possible to contact a particular party based on the information given in the flowchart, the notifying party should refer to the contact table provided in Section 3.2.

The notification flowcharts (Section 3.1) require that the primary local emergency management agency, City of Irvine Police Department, make additional calls as part of the notification process. To ensure that notifications are made in a timely manner, multiple staff members will be available to make notification calls for the City of Irvine Police Department. City of Irvine Police Department has agreed to perform the responsibilities in the notification flowcharts and in this EAP. These instructions will be updated annually when the plan is reviewed and contacts are updated (see Section 8.1).

When performing notification and communication activities, it is important that people speak in clear, non-technical terms to ensure that those being notified understand what is happening at the dam, what the current emergency level is, and which actions to take. To assist in this step, prescripted messages are available in Appendix E. To ensure timely and efficient notifications during a rapidly developing emergency situation, verbal notifications via phone calls will be short and direct, followed by email confirmations containing the language in the pre-scripted messages (Appendix E). Additionally, fill out the Cal OES Warning Center Dam Incident Report (Appendix I) and use it for initial notifications.

Use the Contact Log (Appendix D) to track required notifications that are attempted or made. The contact information on each notification flowcharts must be updated annually by the dam owner's/operator's representative.

In the event of an emergency, IRWD will coordinate closely with emergency management authorities. Communication between IRWD and emergency management authorities may be facilitated by the County and OA Joint Information System (JIS) as described in the County and OA EOP. All parties must understand that the formal declaration of public emergency by emergency management authorities can be a very difficult decision. During this step, IRWD will provide any information that will assist in that decision. An early decision and declaration are critical to maximizing available response time.

5.3 Step 3: Emergency Actions

After the initial notifications have been made, IRWD will act to save the dam and minimize impacts to life, property, and the environment. Depending on the nature of the incident, a Unified Command may be established by the Irvine Police Department and OCFA, and an ICP may be established to coordinate emergency response and/or evacuations. During this step, there is a continuous process of taking actions, assessing the status of the situation, and keeping others informed through communication channels established during the initial notifications. Additional resources may be requested through the ICP, City of Irvine EOC, or County and OA EOC if requirements exceed the IRWD internal maintenance, construction, and contracting capabilities.

Table 5-2 Possible Remedial Actions provides the dam owner/operator with a set of actions to take for different events. The actions listed are not all inclusive of those that may need to be taken during an emergency. Use the Emergency Incident Log (Appendix F) to document the emergency event.

Condition	Description of Condition	Action to be Taken	
Spillway Release/High Water Level	Reservoir level reaches elevation 380.4 ft (spillway begins to discharge).	1. Cease filling operations unless overfilling and spillway discharge is planned. Close inlet valves.	
		2. If inlet valves have malfunctioned and cannot be closed, contact maintenance crews for immediate repair. Determine if inlet flowrate exceeds the spillway discharge capacity. If not, monitor spillway for signs of excessive erosion, and determine whether a high flow condition may exist downstream. Make notifications as appropriate.	
	Localized new seepage or boils observed along downstream face / toe of earthen embankment with muddy discharge and increasing but	1. Measure and record feature dimensions, approximate flow rate, and relative location to existing surface features. Take photos if camera is available. Document location on a site plan and in inspection report.	
Seepage		2. Place a ring of sand bags with a weir at the top towards the natural drainage path to monitor flow rate. If boil becomes too large to sand bag, place a blanket filter over the area using non-woven filter fabric and pea gravel. Attempt to contain flow in such a manner (without performing any excavations) that flow rates can be measured. Stockpile gravel and sand fill for later use, if necessary.	
	controllable discharge of water	3. Inspect the dam and collect piezometer, water level and seepage flow data daily unless otherwise instructed by engineer. Record any changes of conditions. Carefully observe dam for signs of depressions, seepage, sinkholes, cracking or movement.	
		4. Contact geotechnical engineer and provide all data collected.	
		5. Maintain continuous monitoring of feature. Record measured flow rate and any changes of condition, including presence or absence of muddy discharge.	

Condition	Description of Condition	Action to be Taken
Seepage (cont.)	Localized new seepage or boils (cont.)	6. Review information collected by field inspection and provide additional instructions / actions as required. Recommend remedial seepage and stability measures.
		7. Make notifications if condition worsens such that failure is imminent.
		1. Contact law enforcement authorities and restrict all access (except emergency responders) to dam. Restrict traffic on dam crest to essential emergency operations only.
Sabotage and Miscellaneous Other Issues	Criminal action with significant damage to embankment or structures where significant repairs are required and the integrity of the facility is compromised – condition appears stable with time.	2. Assess extent of damage and visually inspect entire dam for additional less obvious damage. Based on inspection results, confirm if extent of damage to various components of the dam warrants revised emergency level and additional notifications.
		3. Perform additional tasks as directed by the Engineering Supervisor or designee.
		4. Make notifications if conditions worsen.
Earthquakes	Report of an earthquake epicenter within 50 miles	Inspect dam and evaluate the damage sustained and the potential danger of failure. Check for seepage, cracks, displacements, and settlement. Inspect outlet works and spillways. Evaluate instrumentation.
Erosion of Spillway	Erosion or undermining of concrete spillway	Provide temporary protection at the point of erosion by placing sandbags, riprap materials, or plastic sheets weighted with sandbags. Consider pumps and siphons to help reduce the water level in the reservoir. When inflow subsides, lower the water level in the reservoir to a safe level; continue operating at a lower water level to minimize spillway flow.
Fire		Implement fire procedures (if applicable).

Condition	Description of Condition	Action to be Taken	
Abnormal Instrumentation Reading	Piezometers and seepage measurements are outside of established dam safety parameters.	Conduct daily inspections of the dam. Check and record reservoir elevation, rate at which reservoir is rising, weather conditions (past, current, forecasted), and new or changed conditions associated with this event. Evaluate accuracy of instrumentation. Draw down reservoir level, as required.	
Outlet System Failure	Failure of the outlet system piping at a point inside the dam foundation.	Implement temporary measures to protect the damaged structure, such closing the inlet. Lower the water level in the reservoir to a safe elevation, possibly by using pumps or siphons. Consider the severity o flow through outlet, risk to the dam foundation/liner and increased flow in determining emergency level.	
Embankment Deformation		1. Measure and record feature dimensions, approximate flow rate, and relative location to existing surface features. Take photos if camera is available. Document location on a site plan and in inspection report.	
		2. Restrict traffic on dam crest to essential emergency operations only.	
		3. Contact geotechnical engineer and provide all data collected.	
	Cracks: New longitudinal (along the embankment) or transverse (across the embankment) cracks more than	4. Place buttress fill (min 3 ft. high, 15 ft. wide) against base of slope immediately below surface feature and extending 20 ft. beyond visible feature limits (parallel to the embankment). Stock pile additional fill.	
	6 inches deep or more than 3 inches wide or increasing with time. New	5. Place sand bags as necessary around crack area to divert any storm water runoff from flowing into crack(s).	
	concave cracks on or near the embankment crest associated with slope movement.	6. Inspect the dam; collect piezometer and water level data twice daily unless otherwise instructed by engineer; and record any changes of condition. Carefully observe dam for signs of depressions, seepage, sinkholes, cracking or movement.	
		7. Review information collected by field inspectors and provide additional instructions / actions as required. Consider survey monitoring.	
		8. Make notifications if conditions worsen such that failure is imminent.	

Condition	Description of Condition	Action to be Taken
Embankment Deformation (cont.)		1. Measure and record feature dimensions, approximate flow rate, and relative location to existing surface features. Take photos if camera is available. Document location on a site plan and in inspection report.
		2. Restrict traffic on dam crest to essential emergency operations only.
		3. Contact geotechnical engineer and provide all data collected.
	Slides / Erosion: Deep slide / erosion (greater than 2 feet deep) on the embankment that may also extend beyond the embankment toe but does not	4. Re-establish embankment fill slope. Place 5 ft. high buttress fill against base of slope at the slide location that extends at least 15 ft. beyond the furthest downstream limits (perpendicular to the embankment) and extending 20 ft. beyond visible feature limits at either end (parallel to the embankment).
	encroach onto the embankment crest and appears stable with time.	5. Place sand bags as necessary around slide area to divert any storm water runoff from flowing into slide(s).
		6. Inspect the dam; collect piezometer and water level data daily unless otherwise instructed by engineer; and record any changes of condition. Carefully observe dam for signs of depressions, seepage, sinkholes, cracking or movement.
		7. Review information collected by field inspectors and provide additional instructions / actions as required. Consider survey monitoring.
		8. Make notifications if conditions worsen such that failure is imminent.
	Sinkholes:	1. Lower reservoir elevation.
	Small depression observed on the embankment or within 50 feet of the embankment toe that is less	2. Measure and record feature dimensions, approximate flow rate, and relative location to existing surface features. Take photos if camera is available. Document location on a site plan and in inspection report.
	than 5 feet deep and 30 feet wide or which is increasing with time.	3. Restrict traffic on dam crest to essential emergency operations only.
		4. Contact geotechnical engineer and provide all data collected.

Condition	Description of Condition	Action to be Taken
Embankment Deformation (cont.)	Sinkholes (cont.):	5. Backfill the depression with relatively clean earth fill (free of organic materials) generally even with surrounding grade and slightly mounded (6 to 12 inches higher) in the center in order to shed storm water away from the depression. Stock pile additional fill.
		6. Inspect the dam; collect piezometer and water level data daily unless otherwise instructed by engineer; and record any changes of condition. Carefully observe dam for signs of depressions, seepage, sinkholes, cracking or movement.
		7. Review information collected by field inspectors and provide additional instructions / actions as required. Consider remedial construction such as grouting.
		8. Make notifications if conditions worsen such that failure is imminent.

5.4 Step 4: Termination and Follow-up

Once conditions indicate that there is no longer an emergency at the dam site, EAP operations are terminated and follow-up actions are completed. Generally, IRWD or a designated safety expert will be responsible for notifying the IC that the condition of the dam has been stabilized.

The IRWD General Manager, in consultation with the IRWD operations and engineering staff members, dam safety experts, and response personnel, is responsible for determining when the dam safety situation has stabilized. The General Manager will terminate the EAP, which signifies that the dam incident has been resolved at the dam site.

The IRWD Director of Water and Recycling Operations, or designee, will follow the notification flowchart to alert all contacts of the EAP's termination. All contacts will be notified of the EAP termination in the same order as they were notified of its activation, using the notification flowchart. The Director of Water and Recycling Operations will complete the Termination Log (Appendix G).

The Unified Command/IC is responsible for terminating the field level emergency response and relaying this decision to appropriate individuals and agencies. Prior to the termination of an Imminent Failure event that has not caused actual dam failure, DSOD will inspect the dam to determine whether any damage has occurred that could potentially result in loss of life, injury, or property damage.

Post incident, the EAP Coordinator will set up and facilitate a meeting to review the incident and EAP implementation activities. The dam personnel involved with the plan implementation, as well as the responding agencies should be present at the meeting. The following topics will be discussed and evaluated in an after-action review:

- Events or conditions leading up to, during, and following the incident
- Significant actions taken by each participant and improvements for future emergencies
- All strengths and deficiencies found in the incident management process, materials, equipment, staffing levels, and leadership
- Corrective actions identified and a planned course of action to implement recommendations

IRWD will prepare an after-action report (Appendix H), which analyzes what happened, why it happened, and how it can be prevented in the future from a dam safety and/or EAP perspective. The City of Irvine Police Department, OCFA, City of Tustin Police Department, and the County and OA EOC Manager may prepare a separate after-action reports focused on localized emergency response and evacuation. Outside agencies will be invited to contribute to the after-action report, and findings of the report will be used to improve the EAP.

Section 6: General Responsibilities

6.1 Irvine Ranch Water District Responsibilities (Dam Owner)

Overall IRWD dam owner responsibilities include:

- Detect, verify and assess emergency conditions.
- Respond to emergencies at the dam site.
- Activate and implement the Syphon Canyon Dam EAP, including determining the appropriate emergency level.
- Notify other participating emergency management agencies of emergency conditions, emergency level, EAP activation, and other critical information.
- Utilize IRWD Emergency Operations Plan for internal emergency response coordination.
- Take corrective action at the dam/reservoir.
- Terminate the EAP.
- Facilitate an after-action evaluation and report.
- Update EAP on at least an annual basis.
- Communicate with the public and the media.

The above responsibilities are to be executed in coordination with emergency management authorities. Dam owner responsibilities by role are outlined in *Table 6-1 Summary of Dam Owner's Responsibilities*. Responsibilities are listed for key personnel including the Director of Water and Recycling Operations, Dam Operator, Executive Director of Operations, General Manager, IRWD Communications office, Dam Safety Engineer, and EAP Coordinator.

IRWD, as the dam owner and operator, is responsible for developing and maintaining the EAP. This includes updating the EAP on at least an annual basis, including updating contact information and notification charts in Section 3. The dam owner is responsible for regular monitoring and inspections of the dam and for responding to emergencies at the dam.

As the dam owner, IRWD will carry out notifications as outlined in Section 6.2, including to the primary local emergency management, state emergency management, and the NWS. Notification charts and procedures are given in Section 3. IRWD's Communications office will communicate with the public and the media. If needed, IRWD will procure outside equipment and materials to aid with a dam incident or emergency.

Role	Responsibilities
Director of	1. Detect incident from alarms / SCADA / visual inspections, or other monitoring
Water and	data.
Recycling	2. As soon as an emergency event is observed or reported, determine the
Operations, or	emergency level as detailed in Section 5.
designee	 Utilize the emergency notification flowcharts in Section 3 to notify the appropriate response personnel and record notifications in the contact log in Appendix D. If no one is onsite, determine emergency level and dispatch operator to the site
	5. Coordinate directly with the Unified Command/IC or first responders at the dam site.
	6. Coordinate directly with the ICP, City of Irvine EOC, or County and OA EOC, if established.
	7. Coordinate with Dam Operator on gate, valve operations and emergency procedures
	8. Dispatch construction and maintenance crews as necessary
	9. Procure outside equipment and materials as necessary
	10. Participate in periodic status report conference calls initiated by the Executive
	Director of Operations. 11. Upon termination of EAP by General Manager, notify all entities on
	notification charts
	12. Upon termination of EAP by General Manager, fill out a Dam Emergency
	Termination Log (Appendix G)
	13. Participate in the creation of an After-Action Report (Appendix H) to be used
	in the EAP review process.
Dam Operator/	1. Detect/confirm incident at dam
On-site Monitor	2. Make calls on notification charts
	3. Implement gate and valve operations and other emergency procedures
	4. Assess need for construction and maintenance crews and/or outside equipment
	and materials 5. Coordinate dam site security during incident
Executive	1. Initiate periodic status report conference calls with the Director of Water and
Director of	Recycling Operations, General Manager, Communications, Dam Safety Engineer,
Operations	and Director of Safety and Security.
	2. Provide regular status reports to ICP, City of Irvine EOC, or County and OA EOC, if established through the IRWD Agency Representative or
	Communications.
	3. Coordinate with Communications office
General	1. Participate in periodic status report conference calls initiated by the Executive
Manager	Director of Operations.
	2. Terminate the EAP.
	3. Coordinate with Communications office
	l

Table 6-1 Dam Owner Responsibilities by Role

Role	Responsibilities
Communications	 Mobilize to Irvine EOC, or County and OA EOC, if established. Participate in periodic status report conference calls initiated by the Executive Director of Operations Provide input to staff on emergency communications Represent IRWD to media Develop non-technical description of dam emergency situation and IRWD remedial actions to inform emergency management authorities and the public Utilize Crisis Communications Plan to communicate with impacted areas.
Dam Safety Engineer	 Make calls on notification charts Initiate periodic status report conference calls with DSOD. Participate in periodic status report conference calls initiated by the Executive Director of Operations Coordinate with Dam Operator/On-site Monitor. Monitor and review data relevant to dam emergency situation. Notify government authorities when the dam condition has been stabilized. Coordinate with dam safety experts. Maintain and update inundation maps. Manage and implement dam safety program.
EAP Coordinator/ Director of Safety and Security	 Make calls on notification charts Initiate periodic status report conference calls with State Emergency Management. Participate in periodic status report conference calls initiated by the Executive Director of Operations Notify government authorities when the dam condition has been stabilized. Update EAP at least annually Distribute EAP copies/updates to other plan holders Facilitate the creation of an After-Action Report (Appendix H) Coordinate with WEROC (see Section 6.5 for additional information)

6.2 Notification and Communication Responsibilities

IRWD, as the dam owner/operator will determine the appropriate emergency level in accordance with Section 5, and then notify the appropriate emergency management authorities in accordance with Section 3. The dam operator or IRWD operations center will maintain the contact log (Appendix D) to document notifications for the appropriate emergency level.

IRWD's Director of Water and Recycling Operations will notify the NWS of an emergency at Syphon Canyon Dam. Flood warnings and watches will be issued by the San Diego Weather Forecast Office of the NWS (see notification charts in Section 3.1). IRWD's Dam Safety Engineer will notify DSOD and the DWR Flood Operations Center. IRWD's Director of Safety and Security will notify the CalOES Warning Center (see notification charts in Section 3.1).

IRWD's Communications office will be responsible for communication with the media. IRWD has prepared a Crisis Communications Plan to facilitate providing timely and accurate information and instructions to minimize injuries, impacts on people and property, and potential damage to the environment. The plan outlines how IRWD will provide timely, accurate, wide-

reaching, and easy-to-understand information to the media and the public, as well as to IRWD employees, contractors, board members and other stakeholders.

If time allows, onsite personnel may be able to seek internal advice and assistance. However, under an Imminent Failure condition, the responsibility and authority for notification is delegated to the dam operator or local official. Notification protocols are determined by the classification level of the incident and are pre-determined in the notification flowcharts found in Section 3.

Syphon Canyon Dam is located in unincorporated Orange County. However, because the effects of a dam emergency at Syphon Canyon Dam would almost exclusively affect the City of Irvine, Irvine is designated as the PSAP. A Unified Command may be established in order to coordinate between multiple jurisdictions and/or agencies, as required. IRWD is designated as the lead agency for notification and coordination with both the City of Irvine and the County to initiate required response actions including the appropriate notifications to impacted community members. Once notified of an incident at the dam, the City of Irvine EOC or County and OA EOC may be activated to serve as the center for response, warning, and evacuation activities.

Emergency management authorities with statutory obligations are responsible for warning and evacuation within the affected areas (see Part II Inundation Maps).

Emergency incident logs should be used to document incident related events and should be maintained at command centers and at the dam site or dam operations center. Appendix F contains an example emergency incident log.

6.3 Evacuation Responsibilities

Inundation maps developed by IRWD and approved by DSOD are included in Part II of this EAP and have been distributed to the emergency management authorities listed in the notification flowcharts in Section 3. The EAP distribution list may be found in Appendix C. These maps inform the development and refinement of warning and evacuation plans, and are based on the worst-case scenario of a complete and sudden failure of the dam when it is filled to the spillway crest elevation during a "sunny day" failure, without additional storm flows in Peters Canyon Wash or San Diego Creek. Water levels in the Syphon Reservoir fluctuate considerably throughout the year.

Inundation maps are based on conservative breach parameters and a situation where the reservoir is storing the maximum capacity of water. Therefore, the inundation maps included in Part II of this EAP should be considered a worst-case scenario. Emergency planners and response personnel should consider the specifics of each situation when making response decisions during a dam emergency.

The Unified Command/IC will facilitate coordination among agencies and disciplines for evacuations within the affected area.

The City of Irvine Police Department would lead evacuations in the City of Irvine. Similarly, the City of Tustin Police Department would lead evacuations in the City of Tustin. Both police

departments may request assistance with evacuations from OCFA, which provides fire services to both cities.

Public safety agencies will implement emergency response plans as required and at the direction of the Unified Command/IC.

OCSD, which is not part of the Unified Command, may be called upon by the Unified Command to assist with evacuations, if necessary.

6.4 Monitoring, Security, Termination, and Follow-up Responsibilities

The dam operator or an appointed representative will be designated as the onsite monitor from the beginning of a dam safety incident until the emergency has been terminated. This person will provide status updates to the IRWD Director of Water and Recycling Operations, who will provide regular status reports to senior management and local authorities.

During a dam safety incident, the IRWD onsite monitor will oversee security at the dam site. Access to the dam site will be strictly controlled by IRWD. Only those required to respond to the emergency or execute remedial actions will be granted access to the site.

Termination of a dam safety emergency is twofold. The IRWD General Manager, in consultation with IRWD operations and engineering staff members, dam safety experts, and response personnel, is responsible for determining when the dam safety situation has stabilized. The IRWD General Manager will officially terminate the EAP. The Unified Command/IC is responsible for termination of the emergency response activities, including termination of an evacuation.

The dam owner and emergency response authorities should coordinate closely while making decisions to terminate both the dam safety event and the response efforts. Upon termination of the EAP, IRWD's Operations Manager will notify all flowchart entities which were activated at the start of the emergency incident, and complete an Emergency Termination Log (Appendix G) for submission to DSOD and the Cal OES Warning Center (if notified).

Recovery activities will continue on different levels for all involved in the dam safety incident after the emergency has been terminated. IRWD will coordinate a follow-up evaluation after any emergency and prepare an after-action report. All participants in the dam safety incident should be involved in the evaluation and should keep logs during the incident. An example emergency incident log is provided in Appendix F, although emergency response agencies may maintain alternate documentation methods according to their established internal procedures.

IRWD will prepare an after-action report (Appendix H), which analyzes what happened, why it happened, and how it can be prevented in the future from a dam safety and/or EAP perspective. OCFA, the City of Irvine EOC Manager and the County and OA EOC Manager may prepare a separate after-action report focused on the emergency response and evacuation. Outside agencies will be invited to contribute to the after-action report, and findings of the report will be used to improve the EAP.

6.5 EAP Coordinator Responsibilities

IRWD has designated the IRWD Director of Safety and Security as the EAP Coordinator. The EAP coordinator is responsible for overall EAP related activities, including the following:

- Provide leadership to ensure the EAP is reviewed and updated annually.
- Coordinate annual EAP exercises (see Section 7.2.2 for exercise schedule).
- Summarize the annual EAP exercise for posting to the IRWD website.
- Prepare revisions to the EAP after annual exercise and review.
- Verify and update agency contact information.
- Distribute copies of the revised EAP to all parties who received copies of the original EAP.
- Establish training seminars for IRWD personnel and primary emergency management authorities.
- Coordinate emergency outreach programs with residents and businesses in close proximity to the reservoir.
- After a dam safety incident, hold a meeting to review the incident and EAP implementation activities.
- Facilitate the creation of an After Action Report (Appendix H) after a dam incident by gathering incident information from authorities.
- Utilize any After Action Reports during EAP review process.

The EAP Coordinator is the main point of contact for any questions or comments regarding this EAP. The current EAP Coordinator for IRWD is Steve Choi, who can be reached at:

Steve Choi, Director of Safety and Security Irvine Ranch Water District 3512 Michelson Drive Irvine, CA 92612-1799

Phone numbers and email addresses have been removed from this publicly posted copy of this Emergency Action Plan. That information is available from Irvine Ranch Water District's district secretary: Phone 949-453-5300, Email Comments@IRWD.com

Section 7: Preparedness

7.1 Surveillance and Monitoring

The Syphon Canyon Dam EAP establishes the procedures to be employed by IRWD personnel to ensure the safety of life and property at and downstream of Syphon Canyon Dam. The Water Operations Manager is responsible for the day to day operation of the reservoir and the dam surveillance and monitoring program. Operations are supported by IRWD maintenance activities. The reservoir is filled at the beginning of the high demand season, operated through the season, then drawn down for the low demand months. IRWD maintains a surveillance and inspection program for the Syphon Canyon Dam. Monitoring and surveillance data is reviewed by an independent consultant and annual reports are prepared and maintained on file with IRWD. Schematic drawings of the dam which show the surveillance and monitoring instrumentation are included as Figures 7-1 and 7-2.

7.1.1 SCADA

IRWD has a supervisory control and data acquisition (SCADA) system that allows staff to remotely monitor water levels and alarms at Syphon Canyon Dam. There is no dam keeper on site, but operations staff remotely monitor conditions at the reservoir.

7.1.2 Seepage Point

The flow from one seepage point (toe drain) is measured by IRWD personnel on a monthly basis. The flows are observed for clarity to check for the presence of any suspended solids that might indicate a potential piping condition. Blockages in the drain piping may cause seepage areas to appear upstream of the vault. Increased flows or anomalies based on historical data are investigated.

7.1.3 Visual Surveillance and Monitoring

Visual inspections are conducted daily by the dam operator that consist of monitoring the water surface elevation, inspecting visible appurtenances, inspecting the access roadway and spillway for cracking, inspecting the downstream toe for seepage, and inspecting the slopes and crest parapet wall for any visible displacement. Any visible cracking, seepage, or signs of settlement or instability are reported and trigger further investigation of the piezometers and monuments or engineering analysis. All of the outlet gates and blow off valves are exercised at least annually to confirm operability. DSOD requires the outlet valves and blow-off valves be exercised once every three years in the presence of a DSOD representative.

Maintenance is conducted as required to remove excessive vegetation at or near the spillway or on the dam face and to control rodent activity on the dam face.

7.1.4 IRWD and DSOD Inspections

IRWD conducts semi-annual inspections. DSOD inspections are conducted annually. Visual inspections of the dam, spillway, outlet, and seepage are conducted, along with a review of monitoring and surveillance data. Annual inspections are documented and maintained on file at both IRWD and with DSOD.

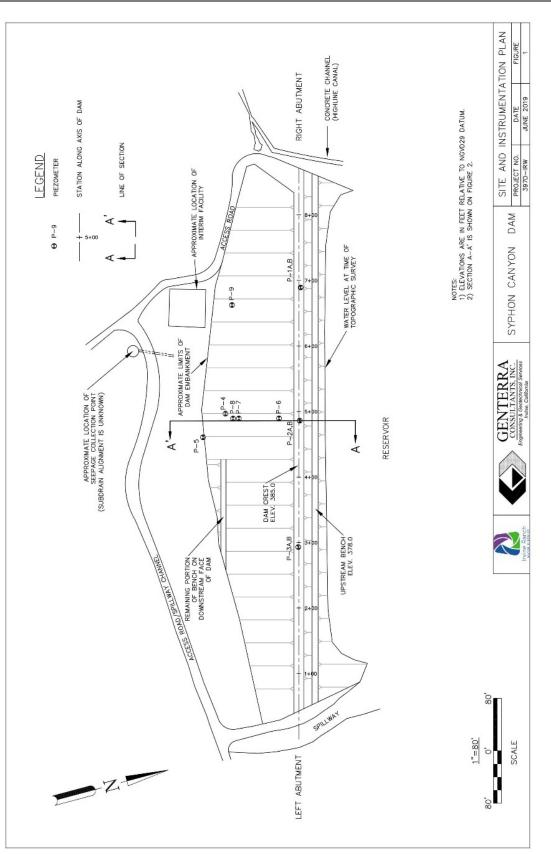


Figure 7-1 Dam Surveillance and Monitoring Locations

Syphon Canyon Dam, DSOD No. 1029.004, Orange County, California

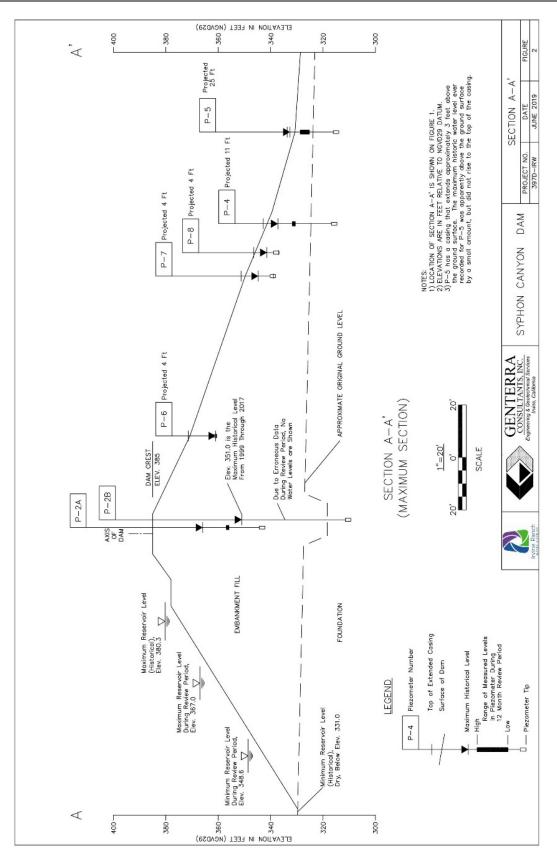


Figure 7-2 Dam Surveillance and Monitoring Profile

7.2 Evaluation of Detection and Response Timing

Timely implementation of the EAP and coordination and communication with emergency management authorities are crucial elements in the effectiveness of the emergency response effort. Total EAP implementation time from the initiation of an actual incident to determination of an emergency situation and notification of appropriate entities involved with implementation is evaluated through annual exercises and training. The time from the initial detection of an incident through the determination of the emergency level and execution of the notifications to the appropriate entities should take no more than 20 minutes. The initial detection and notification time will be evaluated during IRWD's annual review and exercises (see Section 7.2.2), and may be updated in subsequent EAP revisions.

7.2.1 Training

All personnel involved in the EAP should be familiar with the elements of the plan, their responsibilities and duties outlined in the plan and, if applicable, the types and availability of equipment during an emergency. Personnel should be familiar with problem detection and evaluation, and appropriate remediation actions, as detailed in this EAP.

7.2.2 Annual Review and Exercises

IRWD will review and, if needed, update the EAP at least once annually leading up to the emergency action plan notification exercise described below. This review includes contacting all parties listed in this EAP to verify that contact names, phone numbers, addresses and other information is current. One of the most important tasks is to verify and update the contacts listed in the Emergency Notification Flowcharts in Section 3. Making updates to locally available resources along with the other information in the EAP is also important so that accurate information is readily available during an emergency.

In accordance with California Government Code Section 8589.5(c), at least once annually, IRWD will conduct an emergency action plan notification exercise with local public safety agencies, to the extent that a local public safety agency wishes to participate. This annual exercise is to ensure that emergency communications plans and processes are current and implemented effectively.

Exercises will follow the types of exercises defined in the Homeland Security Exercise and Evaluation Program (HSEEP), beginning with simple exercises and advancing to more complex exercises. Sufficient time should be provided between each exercise to learn and improve from the experiences of the previous exercise. IRWD, as the dam owner/operator, will coordinate with the City of Irvine, OCFA and the OCSD EMD in order to exercise the EAP. Exercises promote prevention, preparedness, and response to incidents and emergencies. Exercises may also be extended to include recovery operations. Periodic exercises result in an improved EAP as lessons learned are incorporated into the updated EAP document. The frequency and level of exercise will be determined in coordination with the City of Irvine, OCFA, the OCSD EMD and other local emergency response organizations.

The following are recommended frequencies for the exercise types described in the HSEEP:

- Seminars with primary emergency management authorities as part of the annual emergency action plan notification exercise annually.
- Drills to test the notification flowcharts in Section 3 and emergency equipment/procedures (emergency action plan notification exercise) annually.
- Tabletop exercise every 3 to 4 years or before functional exercises.
- Functional exercise every 5 years.
- Full scale exercise as required to evaluate actual field movement and deployment. At least one functional exercise should be conducted before conducting a full-scale exercise.

Functional and full-scale exercises should be coordinated with other scheduled exercises, whenever possible, to share emergency management resources and reduce costs.

7.3 Access to the Site

Access to the Syphon Canyon Dam can be coordinated with the dam operator at the numbers provided in the notification flowcharts and contact tables in Section 3. Depending on the dam safety incident, IRWD may establish an operations center to coordinate dam safety response activities and provide information to other emergency response personnel.

The dam is located near the intersection of Portola Parkway and Sand Canyon Avenue in Irvine, CA 92620. The main access road is located at 6301 Portola Parkway, Irvine CA. All vehicle access points to Syphon Canyon Dam (from Access Rd and Portola Parkway) are gated and locked. The property is fenced to limit pedestrian access. Figures 1-2 and 4-1 provide additional site information.

Portola Parkway near the dam could be inundated during a dam failure. In the event of a dam failure, the site may be accessed by vehicle via Bee Canyon Access Road, which is located outside of the inundation area. Access this entrance from the north via Portola Parkway: from the intersection of Jeffrey Rd and Portola Parkway, drive southeast on Portola Parkway about a quarter of the mile; then turn northeast on Bee Canyon Access Road and drive one mile to the access gate on the east side of Bee Canyon Road (Latitude 33.717537, Longitude -117.727749). Proceed through the gate and south along the dirt road approximately one mile, following the north shore of the reservoir southwest until reaching the dam.

Two additional access points are available, but with mostly foot access:

- From Bee Canyon Access Rd, where the Highline Canal crosses (Latitude 33.715156, Longitude -117.735159), there is initial access with a vehicle, but some portions of this access route may be on foot only. This access route is outside the inundation area.
- From State Route 133 east of the reservoir, the dam may be accessed only on foot. This access route is outside the inundation area.

7.4 Response During Periods of Darkness

IRWD maintains a 24-hour emergency response staff to respond to various utility outages and emergency maintenance requirements. Because Syphon Canyon Dam does not have a dam keeper on site, the daytime response time of 20 minutes could be lengthened by 30 to 40 minutes, for response time of up to 60 minutes during periods of darkness. Phone numbers in the notification charts are 24-hour contact numbers, so notification procedures during periods of darkness are the same as on weekdays.

Any dam safety incident that requires response actions during periods of darkness may require additional lighting such as portable floodlights. IRWD maintenance and construction personnel can have rental lighting moved to the site in order to respond during times of darkness. Rental lighting equipment is located within 25 miles of the dam and could be moved to the dam site within 2 hours. Additional lighting may also be required by the dam operator in order to perform visual surveillance of a potential or developing situation. Additional lighting options are also available through the IRWD purchasing and contracting department from locally available sources.

7.5 Response During Weekends and Holidays

IRWD staff are available for recall during emergencies. For slowly developing situations, staff may be recalled and a 24-hour operations center may be established in order to have resources readily available should the situation deteriorate. A rapidly developing situation occurring after hours or during weekends and holidays may require the recall of engineering, maintenance, or other response personnel, and response may be delayed during the recall and mobilization of the IRWD staff. During weekends and holidays, IRWD staff could be onsite to assess a rapidly-developing emergency within 60 minutes. This means that the daytime response time of 20 minutes could be extended by 60 minutes, for a weekend/holiday response time of about 90 minutes.

7.6 Response During Adverse Weather

Periods of adverse weather that have the ability to impact dam safety may require additional staff to be on-call or prepared to execute response actions. The IRWD Director of Water and Recycling Operations, in collaboration with the dam operator will make staffing recommendations to IRWD leadership during times of predicted adverse weather. Response time to an emergency situation may be lengthened by 30 minutes during periods of adverse weather. If the primary access to the site at Portola Rd is affected by a dam failure, there are three remaining access points: vehicle access from Bee Canyon Access Rd; access on foot from Bee Canyon Access Rd, and access on foot from California State Route 133. See Section 7.3 for access points and directions.

If both the Portola Rd and Bee Canyon vehicle access points are unavailable due to adverse weather, the remaining access would be on foot, which could lengthen the time it takes to access the site and could limit the ability to bring in vehicles and equipment.

7.7 Alternative Sources of Power

At Syphon Canyon Dam, the reservoir's strainers, compressor, and aeration system require power. However, reservoir control valves and structures can be operated manually, and backup generators are not required to maintain normal operations at the dam.

IRWD maintains emergency backup generators for use in the district. Generators are located at the MWRP at 3512 Michelson Drive, Irvine, California, 92612, located about seven miles west-southwest from Syphon Canyon Dam. Generators may be brought to the site to power additional lighting if needed to evaluate the dam in periods of darkness. Generators may be brought to the dam site within 45 minutes.

7.8 Emergency Supplies and Information

IRWD maintains emergency supplies and response equipment at the MWRP at 3512 Michelson Drive, Irvine, California, 92612, located about seven miles west-southwest from Syphon Canyon Dam. See Section 7.9 for a list of stockpiled supplies. In the event that the IRWD internal response capabilities are exceeded *Table 7-1 Locally Available Resources* is provided to aid in securing additional response materials and equipment. The suppliers listed in Table 7-1 are typically open from 7am-5pm Monday through Friday; outside these hours, a dispatcher is typically available to handle after-hours requests. Secondary phone numbers have been listed where available.

	Heavy Equipment Service and Rental	Sand and Gravel Supply	Ready-Mix Concrete Supply
Company	Herc Rentals	PTI Sand and Gravel	National Ready Mix Concrete
Address	3040 E Miraloma Ave Anaheim, CA 92806	14925 River Rd Corona, CA 92676	16282 Construction Dr Ctr Irvine, CA 92606
Phone Numbers			
Contact Person			

Table 7-1 Locally Available Resources

*Daytime and after-hours number: calling the main number after hours will route to an on-call employee.

7.9 Stockpiling Materials and Equipment

No equipment is stockpiled at Syphon Canyon Dam. Because IRWD owns several dams, as well as other water facilities, IRWD centralizes its emergency supplies stockpile at the MWRP at 3512 Michelson Drive, Irvine, California, 92612, located about seven miles west-southwest from Syphon Canyon Dam. Supplies and equipment stockpiled centrally at MWRP are ready for deployment for use anywhere within the District's boundary. Equipment and supplies stored at MWRP include generators; diesel fuel; construction equipment such as backhoes and excavators; vacuum trucks; compressors; tools; traffic control equipment; non-woven filter fabric; and

Phone numbers and email addresses have been removed from this publicly posted copy of this Emergency Action Plan. That information is available from Irvine Ranch Water District's district secretary: Phone 949-453-5300, Email Comments@IRWD.com excavation and backfill materials including sand, crushed rock, pea gravel, and road base material. Equipment at MWRP can generally be moved to the dam site within 45 minutes. Equipment, materials, and supplies required that exceed the IRWD capabilities are locally accessible at the locations in *Table 7-1 Locally Available Resources*. Equipment obtained from third parties listed in Table 7-1 could be obtained within about 2 hours during regular business hours.

7.10 Coordination of Information

In the event of an emergency at Syphon Canyon Dam, IRWD will notify the NWS so that they will issue appropriate flood watches and warnings. Contact numbers and notification procedures for NWS are outlined in Sections 3.1 and 3.2. No extremely high flow, overflow, or emergency flow incidents are known to have occurred at Syphon Canyon Dam.

The Syphon Reservoir stores recycled water and natural flows collected from the surrounding watershed. The water level in the reservoir is controlled through input valves, and the water level is reduced in advance of the wet season. If a potential or developing dam safety incident requires the lowering of the reservoir level, this must be done in accordance with the standard operating procedures maintained by the MWRP. All actions associated with controlling flow into or out of the reservoir must be coordinated with the dam operator or a representative designated by IRWD.

There are no jurisdictional dams upstream of Syphon Canyon Dam which would affect or contribute to an incident at Syphon Canyon Dam.

Trabuco Retarding Basin, a jurisdictional dam owned by OCPW, is downstream of Syphon Canyon Dam and could be impacted by a breach at Syphon Canyon Dam. If the EAP is activated, IRWD will notify OCPW per the notification charts in section 3.1 (call to OCPW Operations and Maintenance Emergency Coordinator). IRWD will explain the current status of the dam and any expected high flows, to allow for OCPW staff to adjust operations at Trabuco Retarding Basin, if necessary. IRWD will provide updates to OCPW staff if flows or conditions at the Syphon Canyon Dam change or worsen.

IRWD will work with emergency personnel to keep them up to date on any situation involving the Syphon Canyon Dam. Communication between IRWD and emergency management authorities may be facilitated by the County and OA JIS as described in the County and OA EOP. The Water Operations Manager may designate staff members to act as liaisons at the ICP, a Unified Command, or at various EOCs, as required.

7.11 Training and Exercise

IRWD operations and maintenance staff receive training to ensure that they are thoroughly familiar with the elements of the EAP and potential response actions. The operations, engineering staff, and appropriate MWRP personnel are trained in the incident management process, including detection, evaluation, notification, and appropriate response actions during all emergency level determinations. IRWD duty staff are trained in notification requirements for

dam safety incidents to ensure that the appropriate recall actions are initiated after working hours.

In accordance with California Government Code Section 8589.5(c), at least once annually, IRWD will conduct an emergency action plan notification exercise with local public safety agencies, to the extent that a local public safety agency wishes to participate. This annual exercise is to ensure that emergency communications plans and processes are current and implemented effectively. All contact information in the notification charts will be updated and verified; next, a notification exercise will be conducted to simulate the phone calls required in the notification charts. The timing and procedures in the notification exercise will be noted, and the EAP will be updated based on feedback from the participants.

Because Syphon Canyon Dam is categorized as an extremely high-risk dam, local emergency management authorities may develop evacuation and shelter-in-place training materials for people who would be affected by a dam failure in their jurisdiction. These requirements and materials will be determined and developed through the review and exercise process described in Section 7.2.

7.12 Alternative Systems of Communication

In the event of a dam safety emergency, the Unified Command/IC and emergency response personnel have access to various forms of alternative communication including social media, radio broadcasts, wireless emergency alerts, and opt-in email and cellphone lists. IRWD maintains an operations communication architecture for internal communications.

Syphon Canyon Dam does not have a dam keeper on site. However, when IRWD staff are at the dam site, they have access to cellular phones and can access the internet.

7.13 Public Awareness and Communication

IRWD will utilize already established communication protocols and channels to publish and promote established inter-agency emergency procedures within the affected area. In addition, information on the location of reservoir as well as related emergency procedures will be available on the IRWD website (https://www.irwd.com/).

In order to further prepare the public for a dam safety incident IRWD will implement the following measures:

- Educate customers about established IRWD emergency notification systems, which include the ability to text, call or email customers in the event of an emergency such as a dam safety incident.
- Promote the emergency preparedness section on the IRWD website and through various communications channels including the monthly customers billing insert and social media channels.

- Coordinate emergency outreach programs with residents and businesses in close proximity to the reservoir through cities, fire and police departments and the County of Orange.
- Post a map of the inundation area on the IRWD website so that members of the public may see if they live within possible impacted areas.
- Post a summary of the annual EAP exercise on the IRWD website each year.
- Update existing information on dam safety and emergency-preparedness on the IRWD website within one month of the approval of the EAP. After each annual review, updates will be made to the website as necessary.
- Complete outreach to customers through existing outreach channels within 4 months of completion of the EAP.

The timing and frequency of additional outreach measures will be evaluated and updated as part of the annual EAP review.

Section 8: Plan Maintenance

8.1 Plan Review

The EAP Coordinator will review and update the EAP at least once annually leading up to the emergency action plan notification exercise described below. This review includes updating contact information listed to verify that contact names, phone numbers, addresses and other information is current. One of the most important tasks is to update the contacts listed in the Emergency Notification Flowcharts in Section 3. Making updates to locally available resources along with the other information in the EAP is also important so that accurate information is readily available during an emergency.

In accordance with California Government Code Section 8589.5(c), at least once annually, IRWD will conduct an emergency action plan notification exercise with local public safety agencies, to the extent that a local public safety agency wishes to participate. This annual exercise is to ensure that emergency communications plans and processes are current and implemented effectively.

In accordance with California Water Code section 6161(e), IRWD will update the EAP, including the inundation maps, no less frequently than every 10 years, and sooner under conditions that include: (1) a significant modification to the dam or a critical appurtenant structure and (2) a significant change to downstream development that involves people and property. The inundation maps for this EAP are dated October 9, 2018, and require updating by October 9, 2028.

8.2 Distribution

A status report will be prepared annually that documents the plan review and any exercises that occurred. The EAP will be revised, as required, to incorporate updated information or lessons learned during exercises/event after action reports. Changes will be documented in the revision log in Appendix B, Record of EAP Revisions.

Electronic copies of the EAP Status Report (Appendix A) and revised EAP will be distributed to the EAP Plan Holders annually via email (Appendix C). The EAP Plan Holders include all parties on the notification flowcharts.

To request a copy of the Emergency Action Plan for Syphon Canyon Dam, please contact the EAP Coordinator:

Steve Choi, Director of Safety and Security Irvine Ranch Water District 3512 Michelson Drive Irvine, CA 92612-1799

Phone numbers and email addresses have been removed from this publicly posted copy of this Emergency Action Plan. That information is available from Irvine Ranch Water District's district secretary: Phone 949-453-5300, Email Comments@IRWD.com

PART II: Inundation Maps

1416 NINTH STREET, P.O. BOX 942836 SACRAMENTO, CA 94236-0001 (916) 653-5791



DEC 1 9 2018 Mr. Paul Cook, General Manager Irvine Ranch Water District Post Office Box 57000 Irvine, California 92619-7000

Syphon Canyon Dam, No. 1029-4 Orange County

Dear Mr. Cook:

We have reviewed the inundation map submitted for Syphon Canyon Dam. We have determined that the dam has no critical appurtenant structures, and the map listed below is in substantial compliance with the requirements of Title 23, Division 2, Chapter 1, Article 6 of the California Code of Regulations. Therefore, the following inundation map is approved:

1. Main Dam (sunny day failure scenario) map dated October 9, 2018

The approved map will be made publicly available as required by section 6161(c) of the California Water Code. An emergency action plan (EAP) based on the approved inundation map must now be submitted to the California Governor's Office of Emergency Services (Cal OES) for their review and approval. Upon Cal OES approval, please provide us with an electronic and hard copy of the approved EAP per section 6161(b)(3) of the CA Water Code.

Based on our evaluation of the downstream hazard, we have revised the hazard classification of Syphon Canyon Dam from "High" to "Extremely High". If the downstream hazard classification is updated in the future, we will notify you.

Pursuant to section 6161(e) of the CA Water Code, the EAP and inundation maps must be updated no less frequently than every 10 years, and sooner under conditions that include, but are not limited to, the following: (1) a significant modification to the dam or a critical appurtenant structure as determined by the department, or (2) a significant change to downstream development that involves people and property. Based on the requirement, the approved map will expire on October 9, 2028. Please submit the updated map at least six months prior to the expiration date for our review and approval.

If you have any questions or need additional information, you may contact Design Engineer Richard Keizer at (916) 227-4639 or Re-evaluation Engineering Branch Chief Ariya Balakrishnan at (916) 227-6742.

Sincerely,

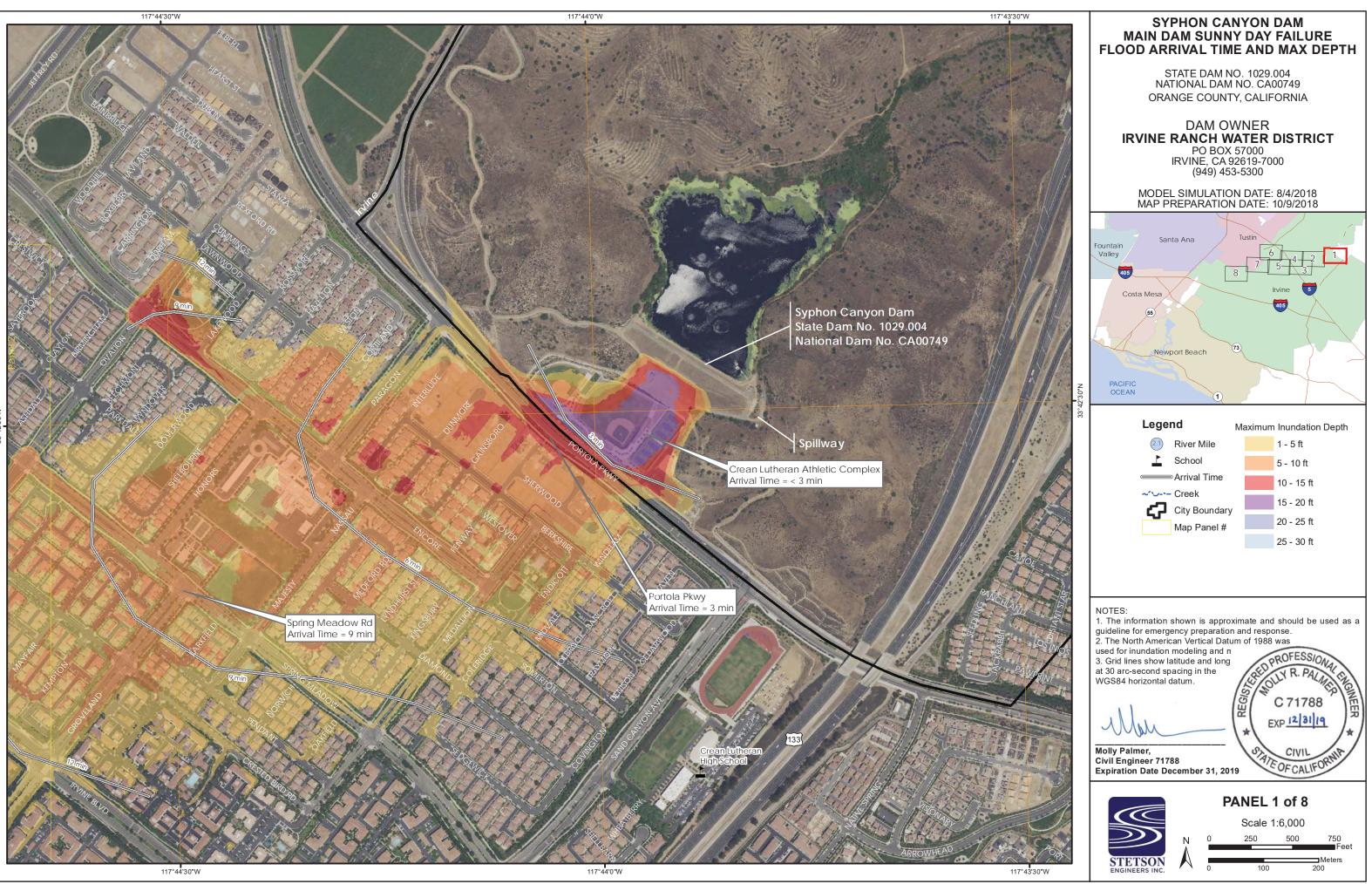
Shan K. Jepia

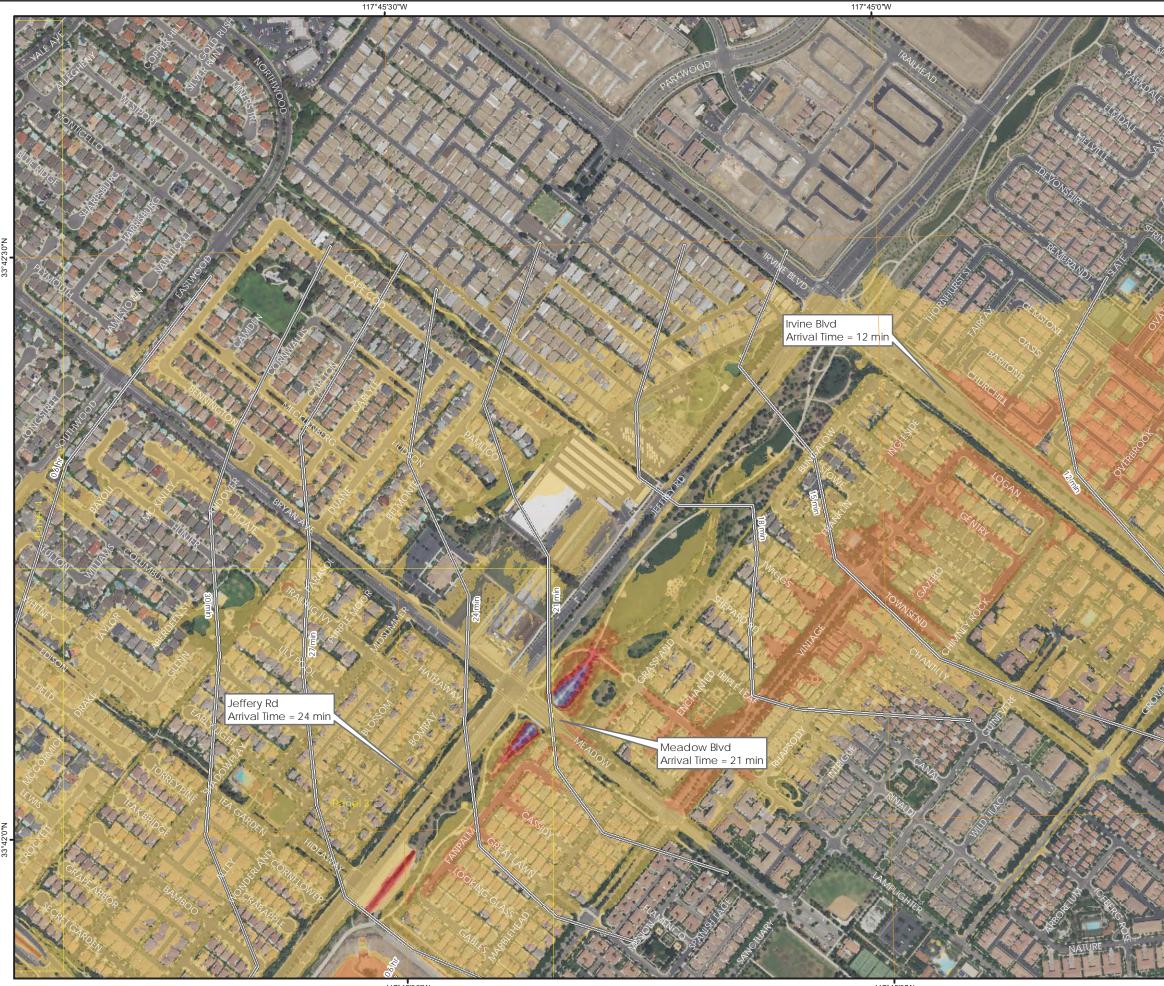
Sharon K. Tapia, Chief Division of Safety of Dams

cc: (See Attached List)

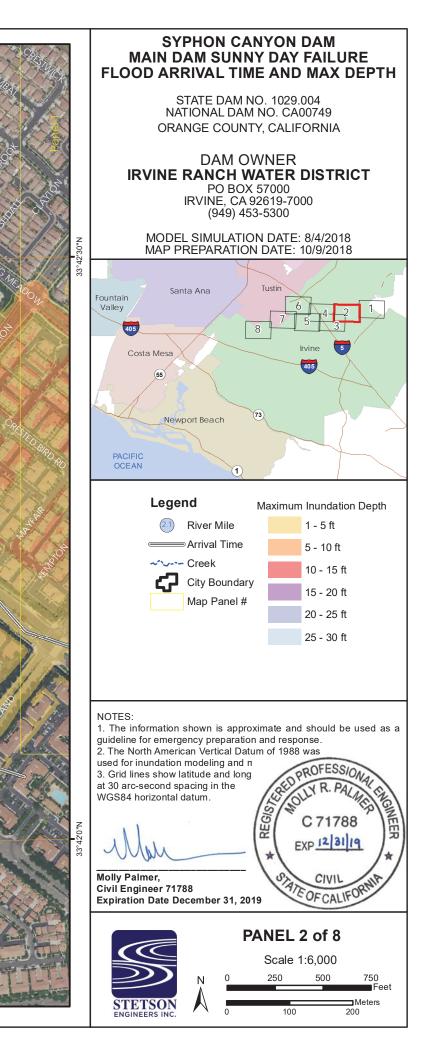
Main Dam Failure – Maximum Depth and Arrival Time

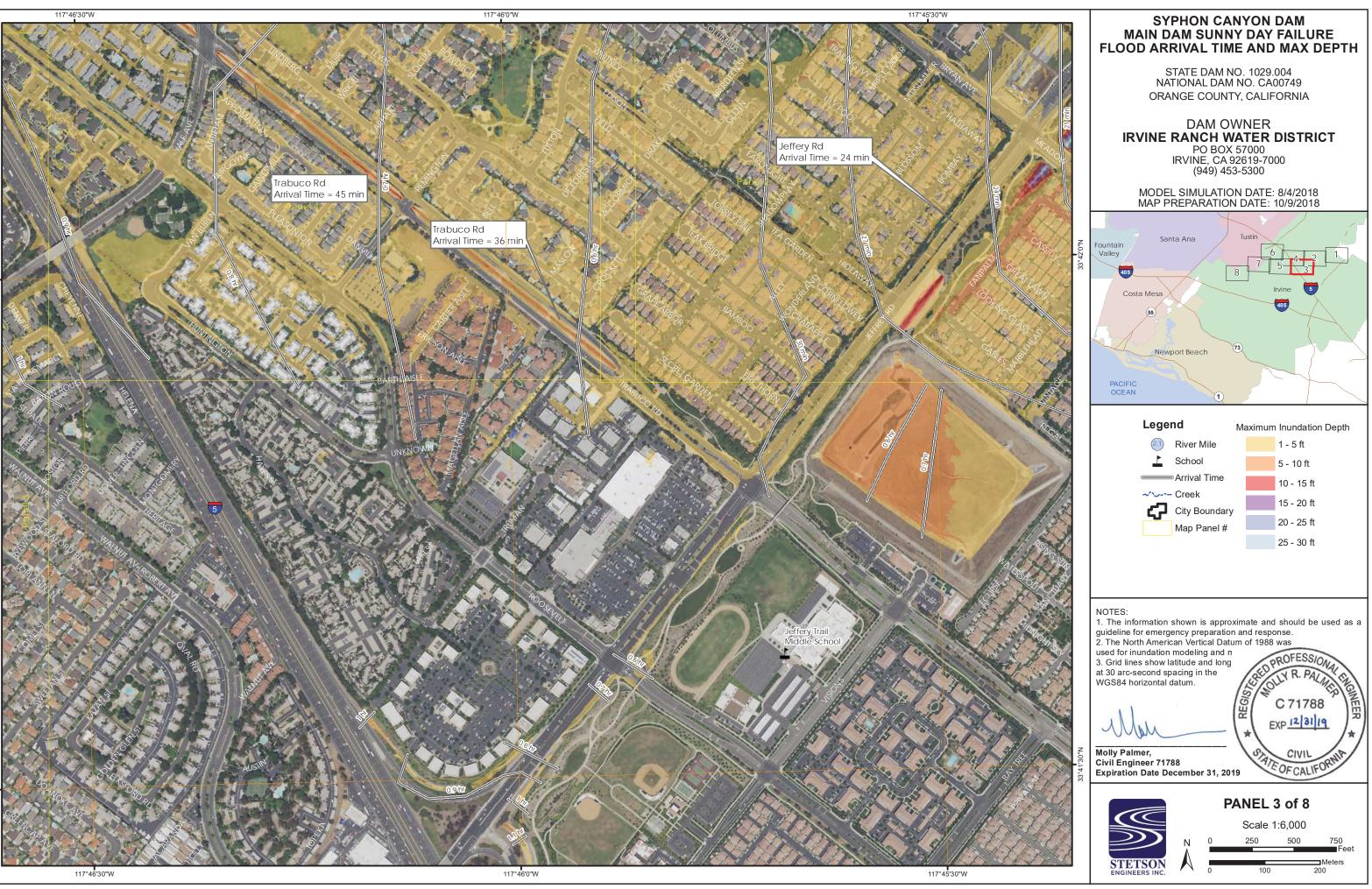




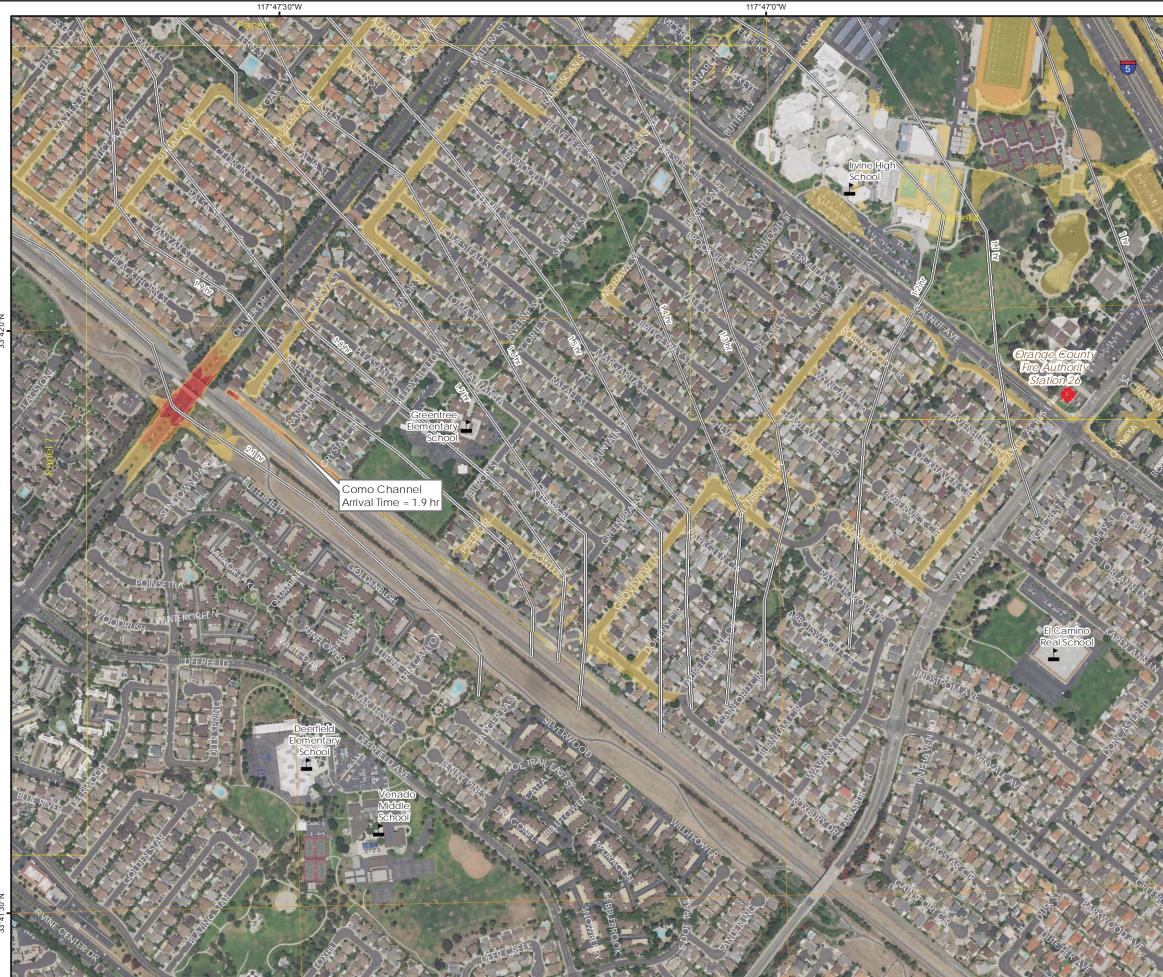


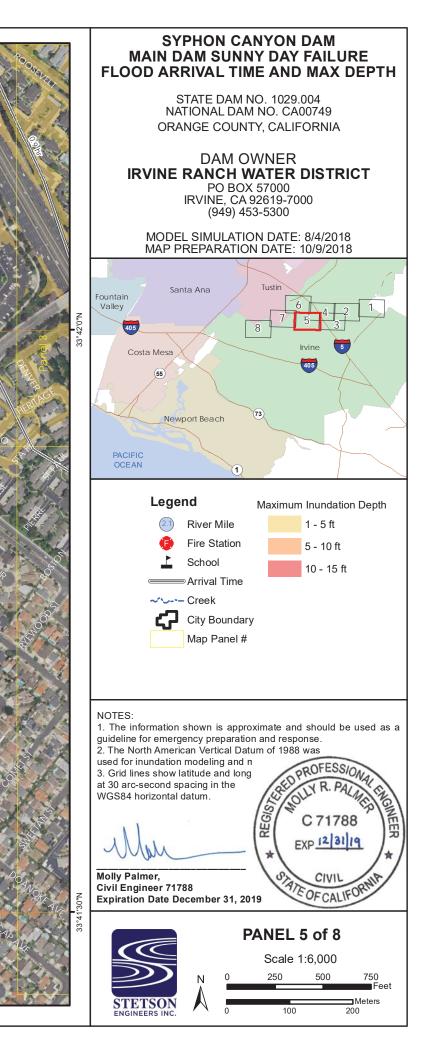
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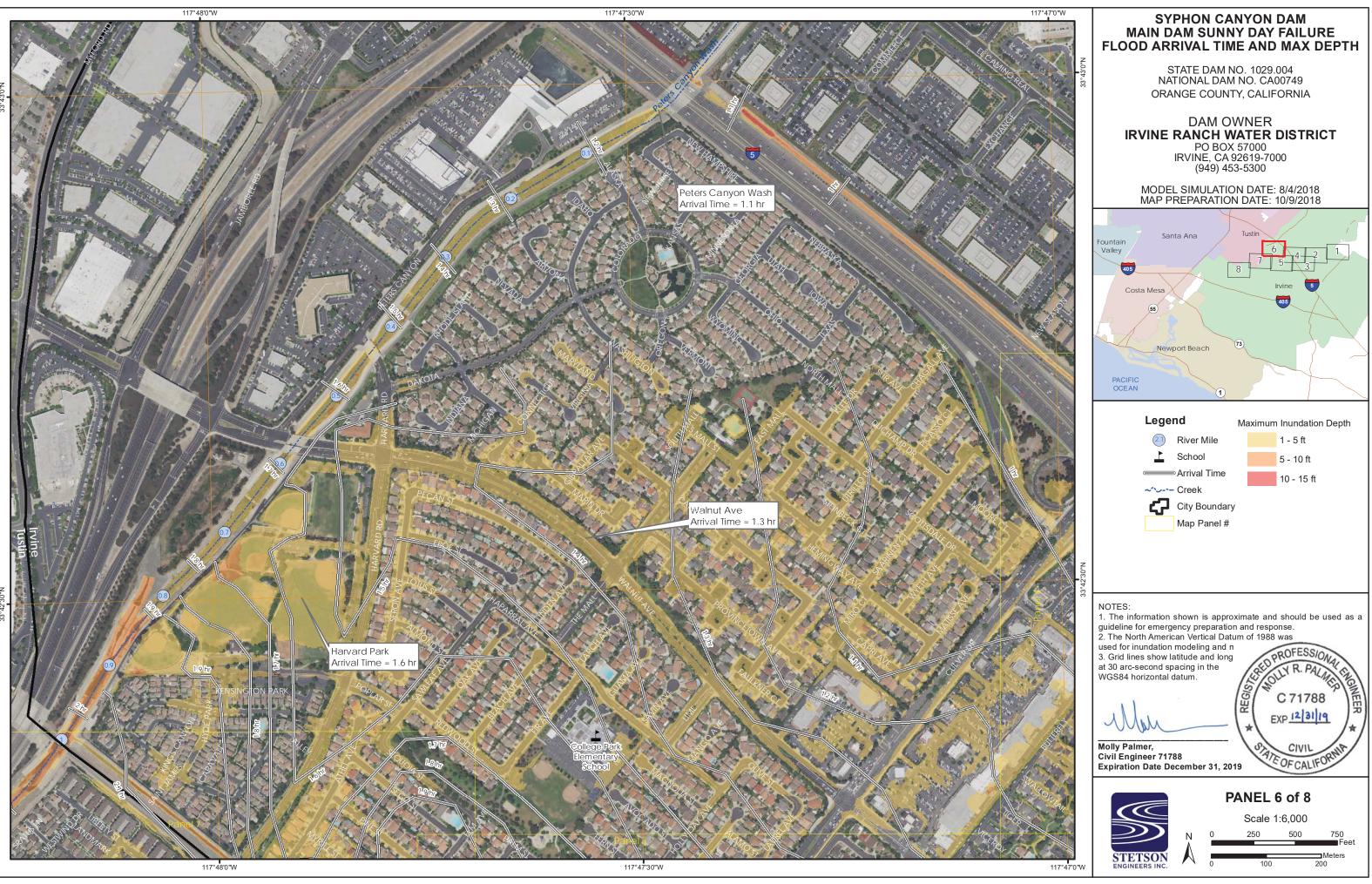


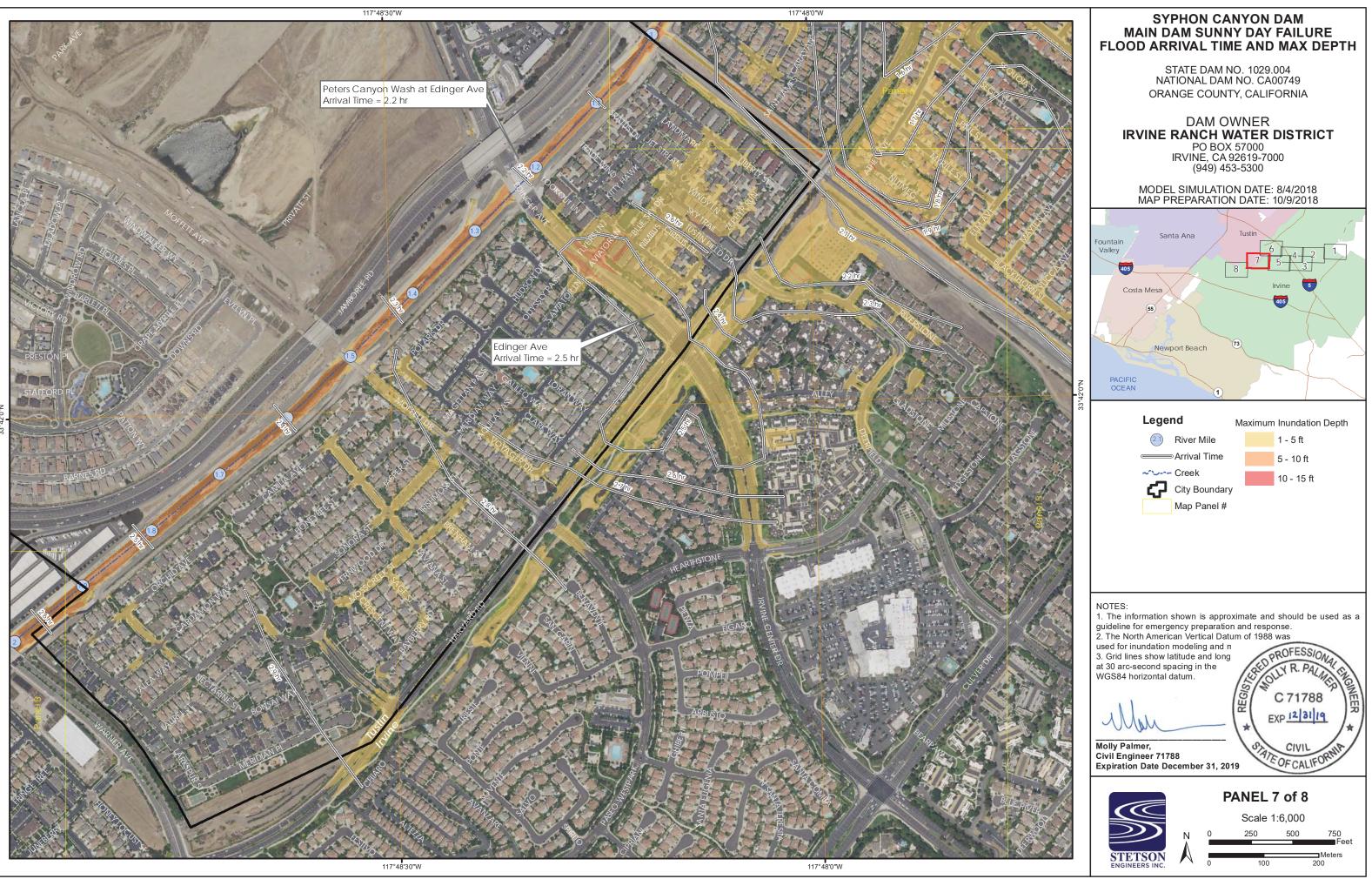


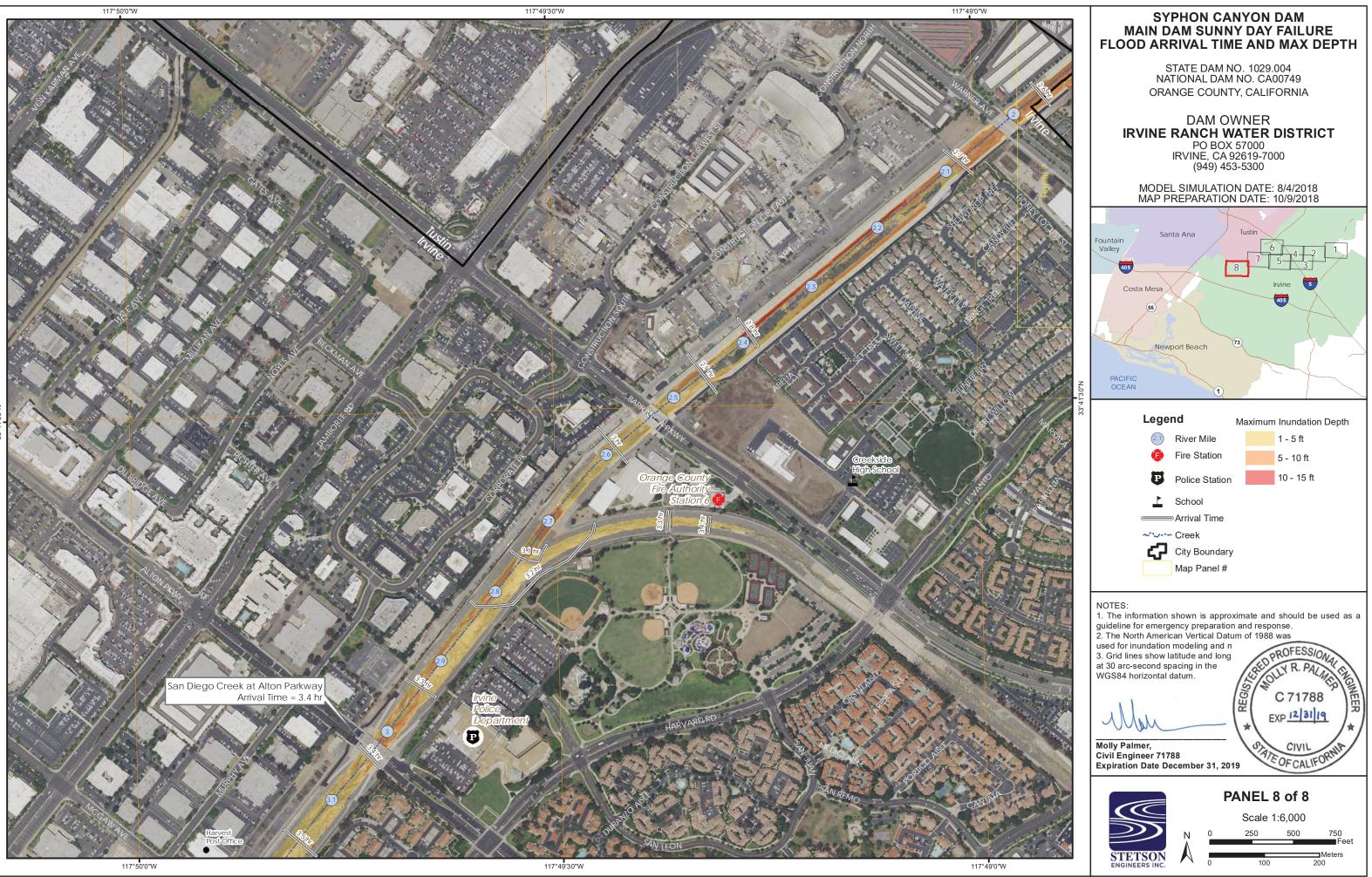






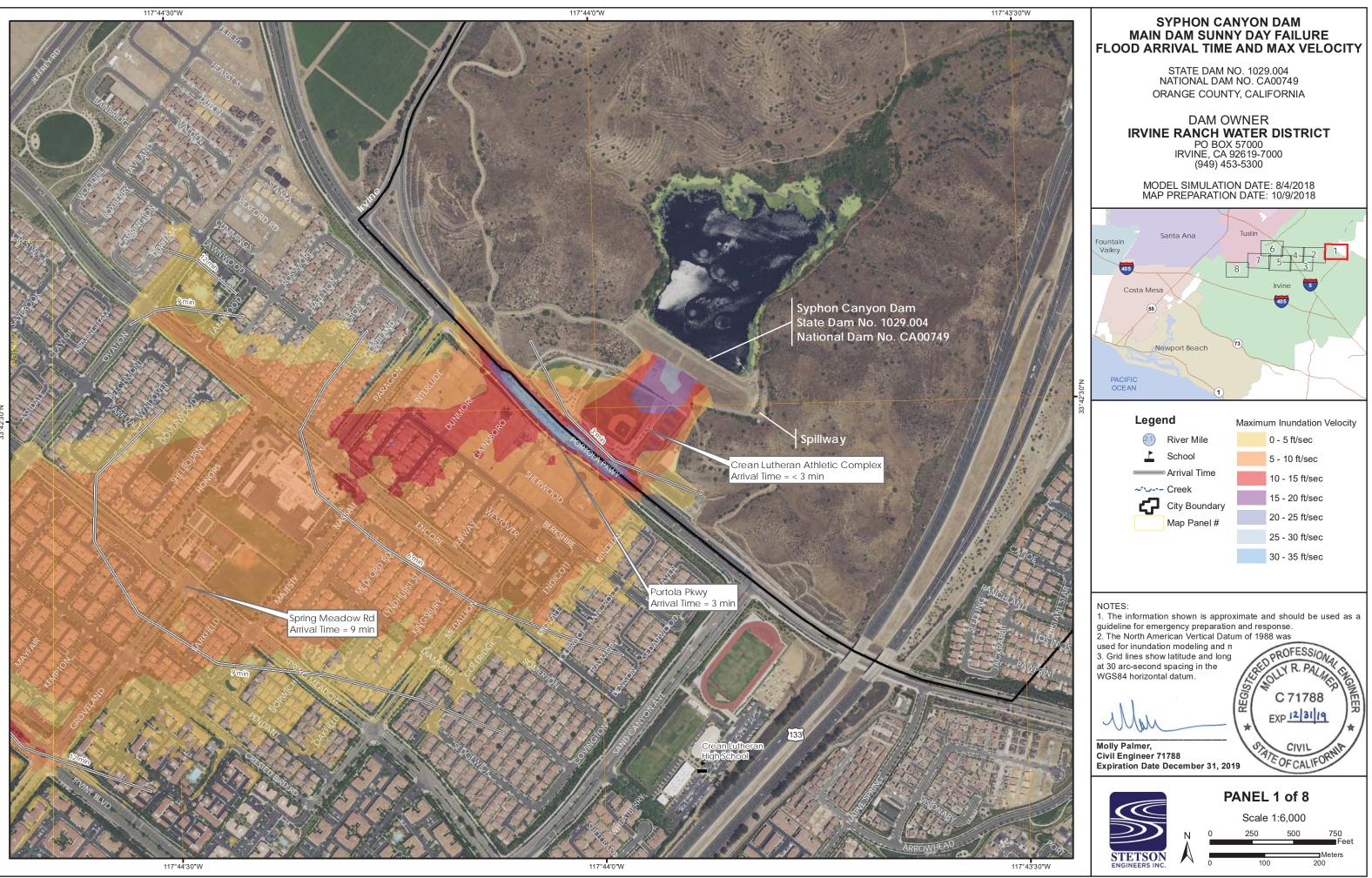


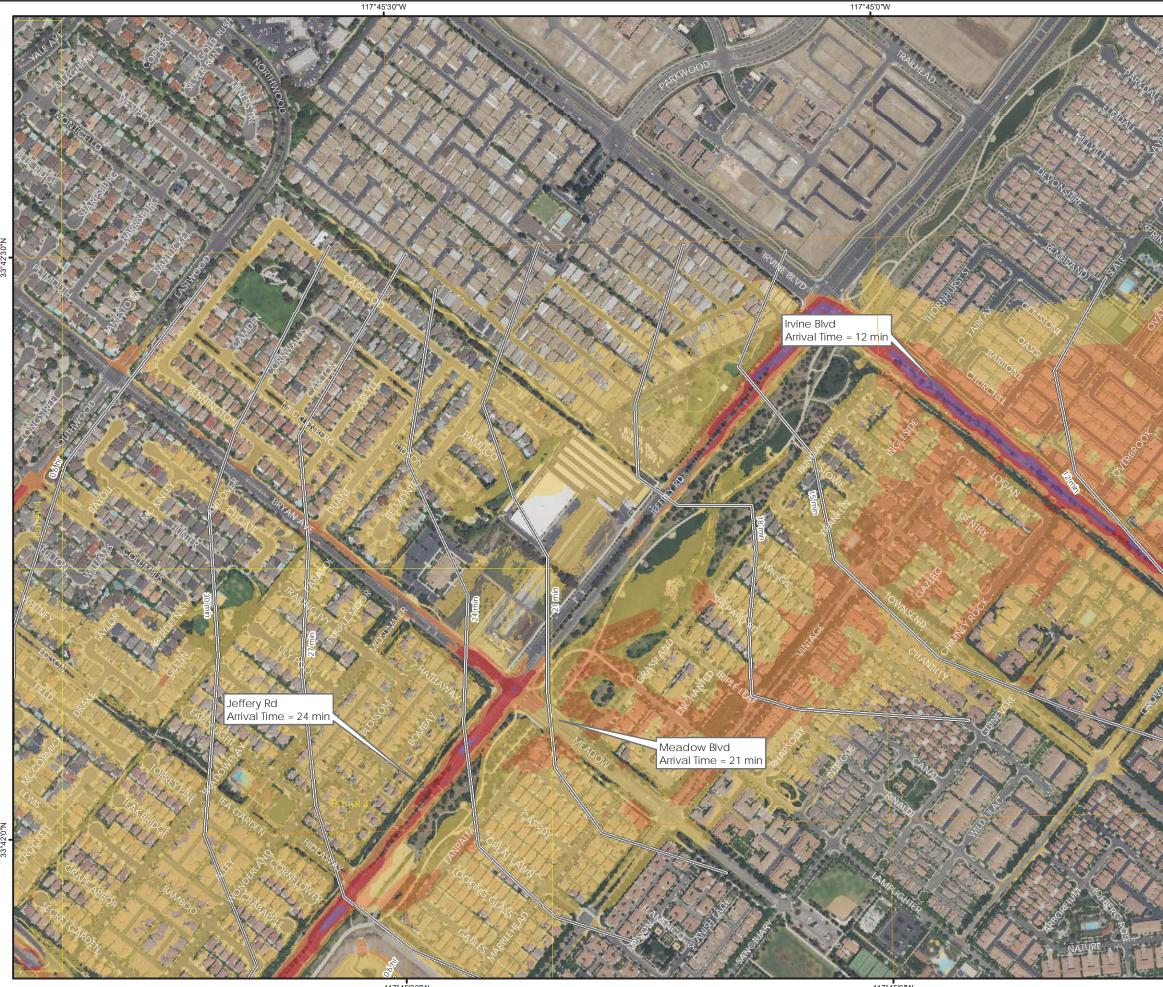


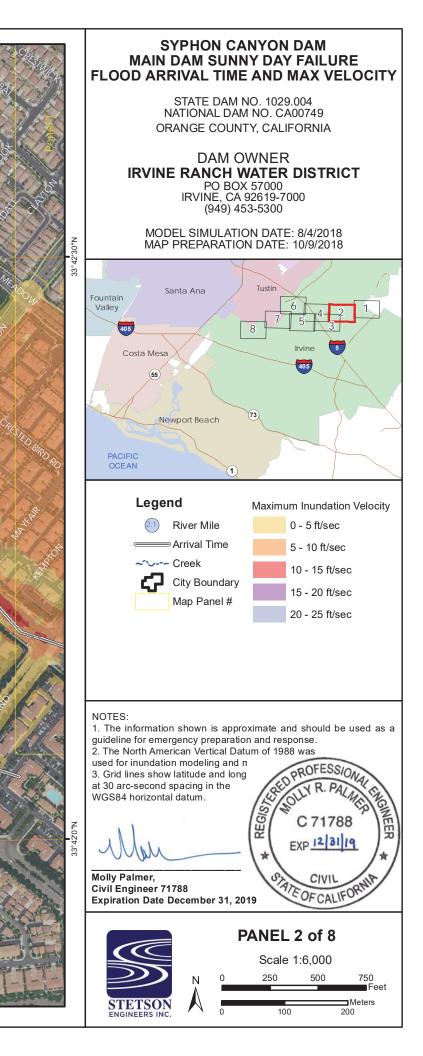


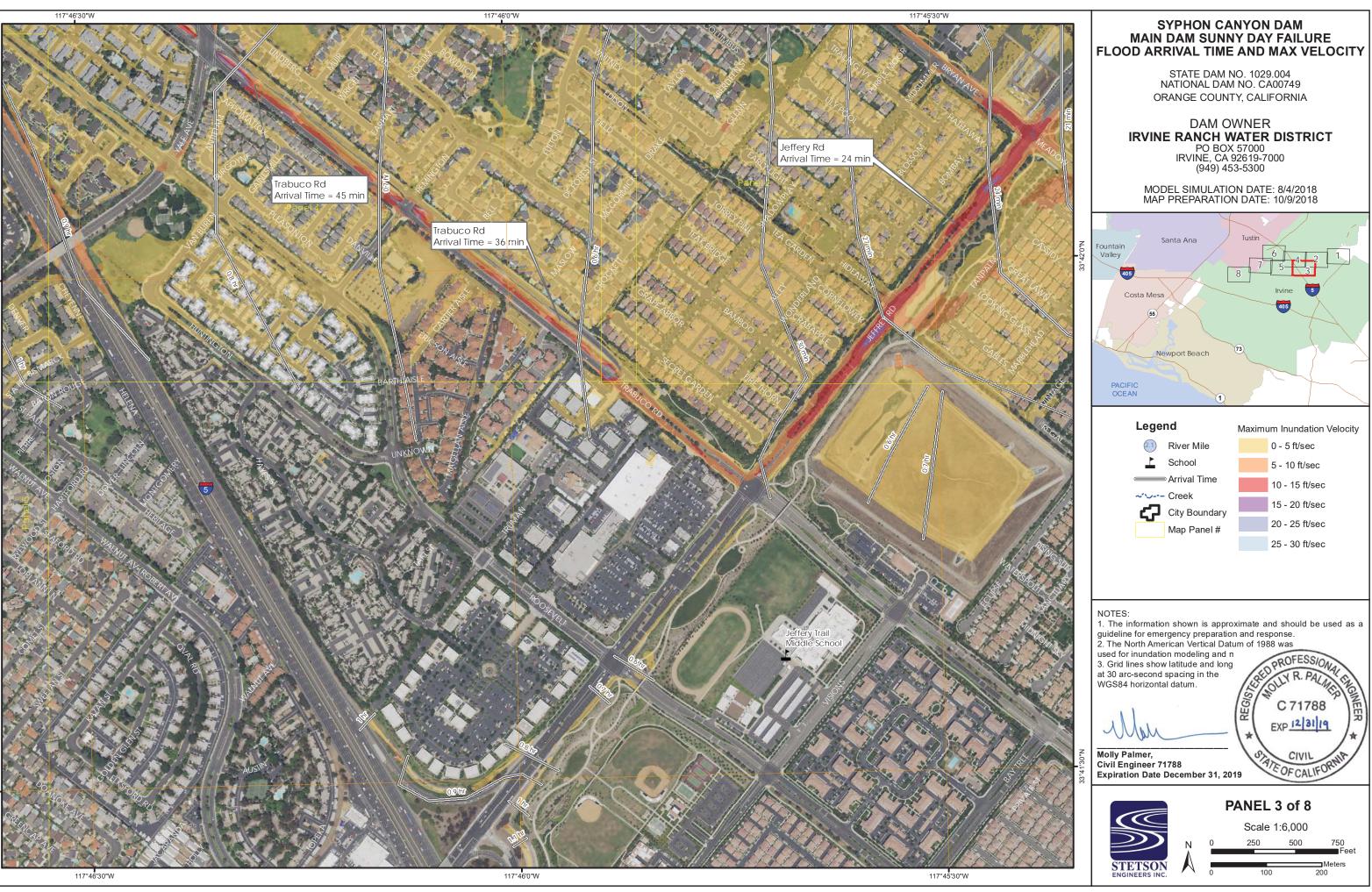
Main Dam Failure – Maximum Velocity and Arrival Time



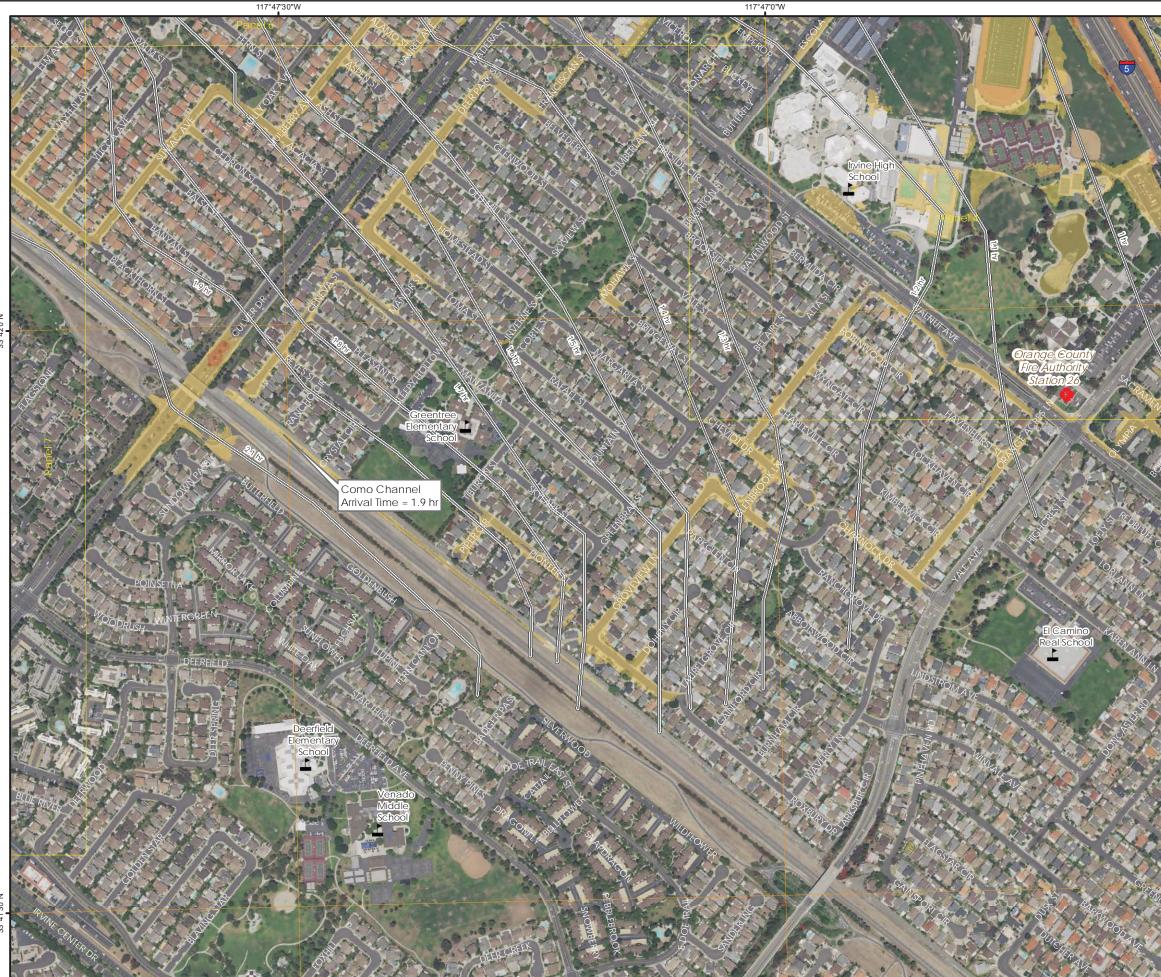


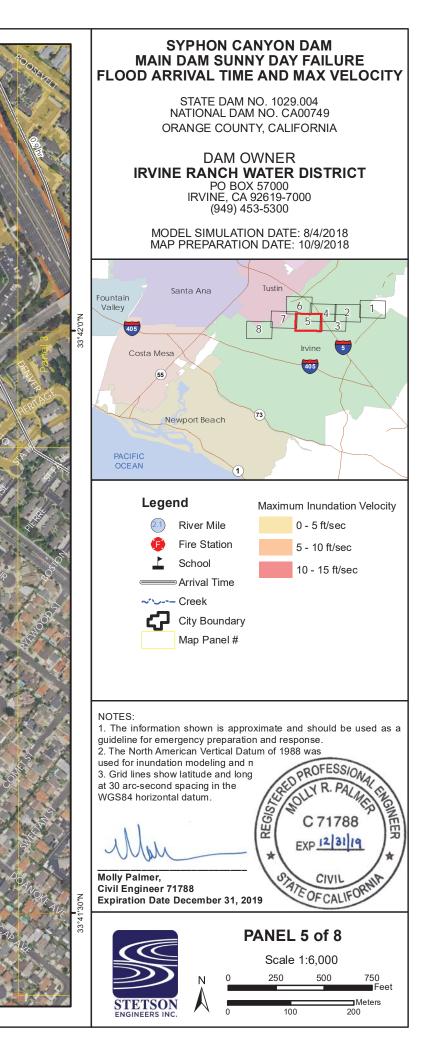




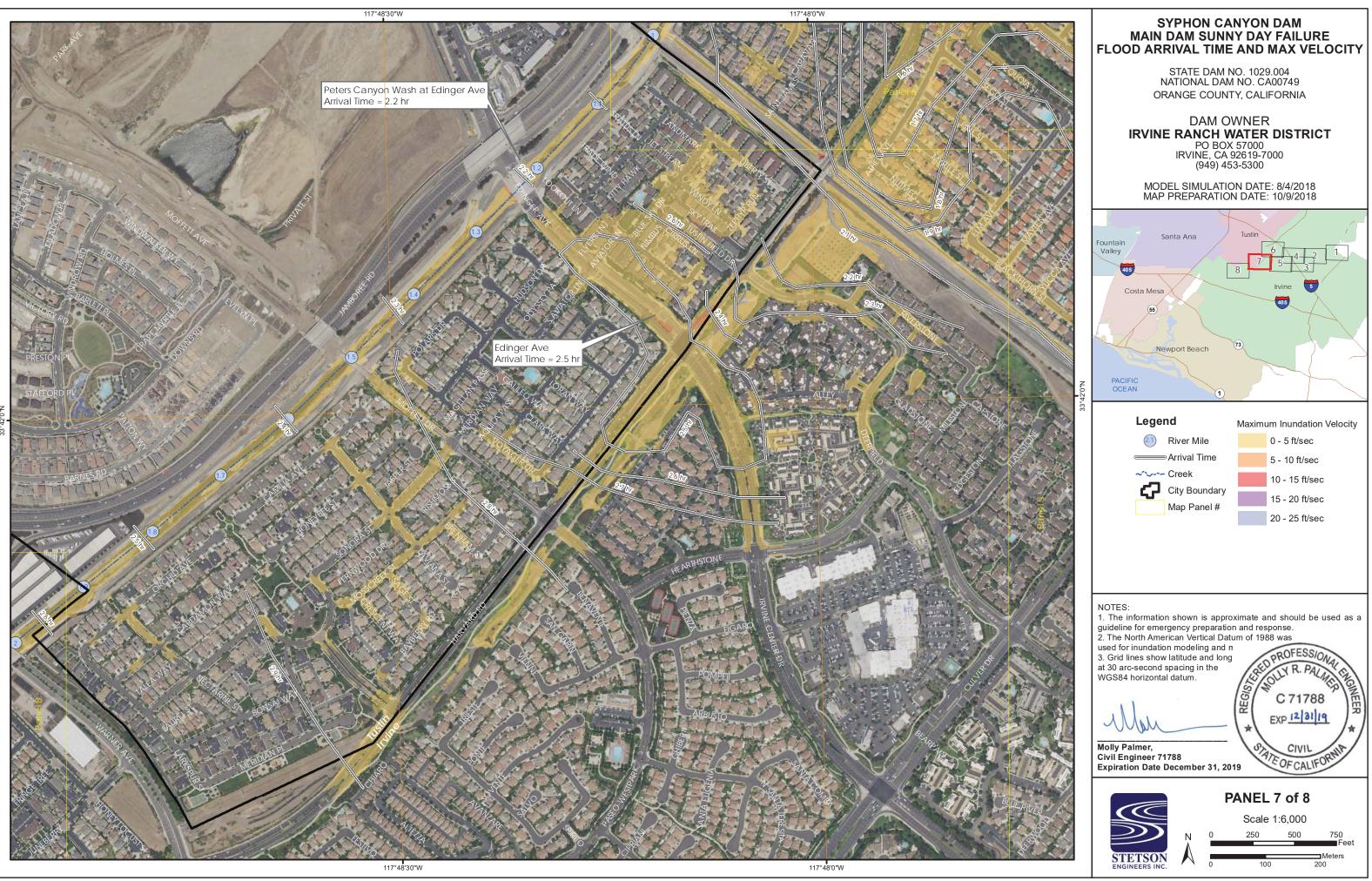


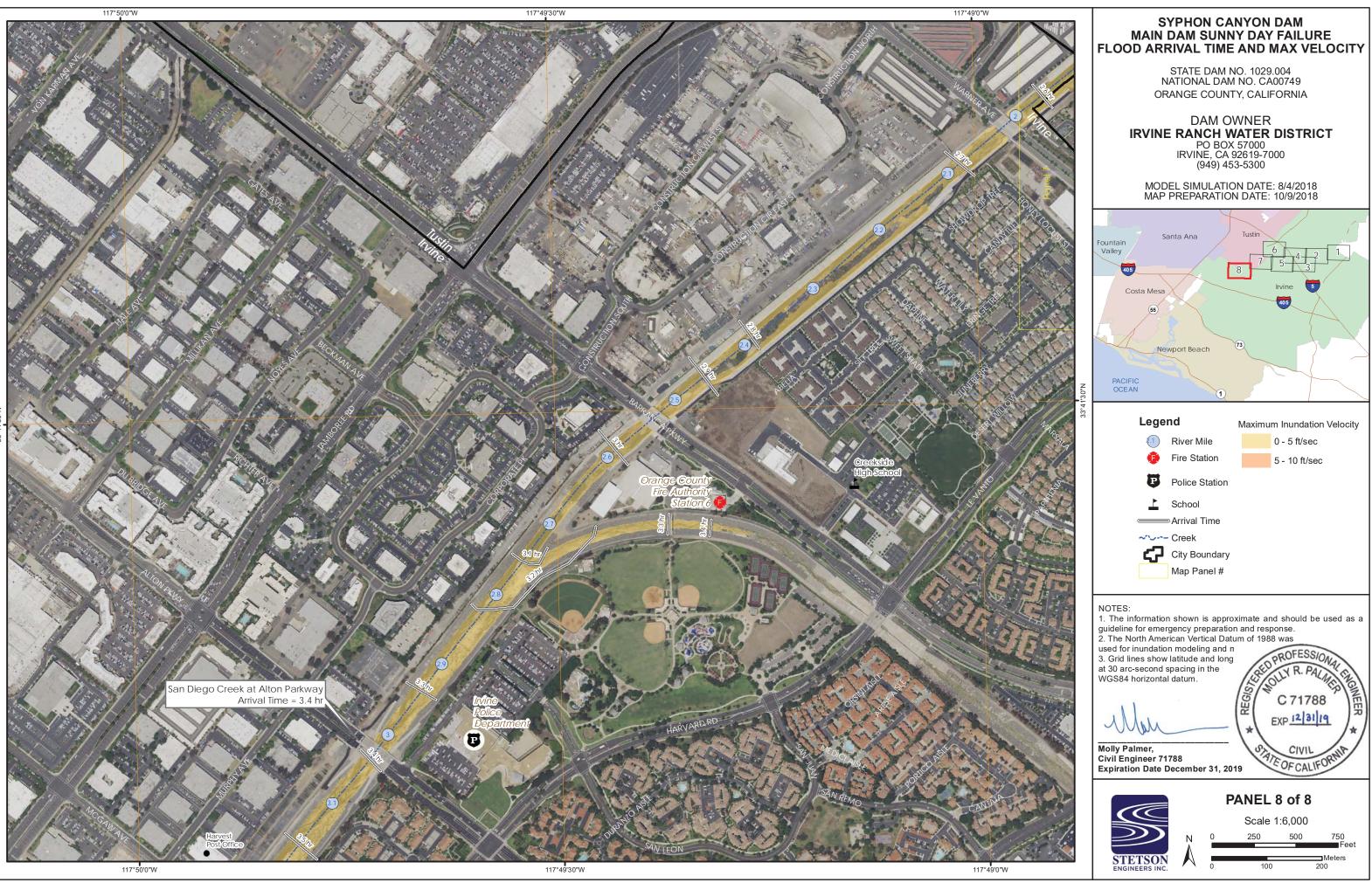












PART III: Appendices

Appendix A: EAP Status Report (for Non-FERC dams)

EAP Status Report for Syphon Canyon Dam, DSOD No. 1029.004

Annual EAP Review Performed:

Annual Update Sent to Plan Holders:

Annual Notification Exercise:

Prepared by:

Mail this document, or something similar, to the Cal OES Emergency Action Planning Division: Dam Safety Planning Chief Dam Emergency Action Planning Division 3650 Schriever Avenue Mather, CA 95655

OR to send it electronically to the Division at <u>eap@caloes.ca.gov</u>.

Appendix B: Record of EAP Revisions

Revision #	Date	Sections Reviewed or Revisions Made	By Whom
1	June 4, 2019	Local Agency Review Draft	IRWD
2	January 31, 2020	Draft Submittal to CalOES	IRWD
3	June 8, 2020	Document edited in response to CalOES Review Report #1 date April 1, 2020	IRWD
4	August 31, 2020	Document edited in response to CalOES Review Report #2 date June 27, 2020	IRWD
5	October 21, 2020	Document edited in response to CalOES Review Report #3 dated September 11, 2020; notification charts updated; removed signature page	IRWD
6	February 5, 2021	Document edited in response to CalOES Review Report #4 dated October 24, 2020; notification charts updated; updated Warning Center incident report (Appendix I)	IRWD
7	May 21, 2021	Notification charts: updated colors of charts; updated IRWD Public Affairs contact info	IRWD
8	February 22, 2022	Annual EAP Update with updated contact information; Sections revised include: Dam contact information; document date; Sections 3.1 (notification charts), 3.2, 6.5, 7.8, 8.2. Appendices B and C.	IRWD
9	March 15, 2023	Annual EAP Update with updated contact information; Sections revised include: Document date; Sections 1.1, 1.3, 2.1, 2.2, 3.1 (notification charts), 3.2, 5.1, 5.2, 5.4, 6.1, 6.2, 7.1, 7.5, 7.6, 7.10. Appendices B, C, E, and J. Added Appendix K.	IRWD

Revision #	Date	Sections Reviewed or Revisions Made	By Whom
10	April 24, 2024	Annual EAP Update with updated contact information; Revisions include: added description of County Alert Warning Plan; referenced IRWD's public communications plan; updated language for CA State Warning Center responsibilities; added Appendix L "Anatomy of a Dam" figure to explain dam terminology; updated plan holders	IRWD (Stetson)
11	May 16, 2025	Annual EAP Update with updated contact information; Revisions include: updated links to public agency emergency operations plans, updated phone notification scripts to shorten and improve clarity; reformatted notification flowcharts to improve clarity; revised IRWD responsibilities according to current staffing; added written notification scripts to Appendix E; updated plan holders	IRWD (Stetson)

Appendix C: Record of Plan Holders

Copy Number	Organization	Person Receiving Copy
1	Irvine Ranch Water District	Jose Zepeda, Director of Water and Recycling Operations
2	Irvine Ranch Water District	David Paulson, Operations Supervisor
3	Irvine Ranch Water District	Jacob Moeder, P.E., Engineering Department
4	Irvine Ranch Water District	Bryan Clinton, Operations Manager
5	Irvine Ranch Water District	Steve Choi, Director of Safety and Security; EAP Coordinator
6	Irvine Ranch Water District	John Fabris, IRWD Communications
7	Orange County Sheriff's Department, Emergency Management Division	Michelle Anderson, Emergency Management Director Mayra Wheeler, Senior Emergency Management Program Coordinator Kevin McArthur, Assistant Emergency Manager
8	Orange County Public Works	Giatho Tran, Senior Civil Engineer Trevor Richardson, Emergency Management Administrator
9	Orange County Fire Authority	Nick Freeman, Division 2 Chief
10	Orange County Fire Authority	Kevin Fetterman, Division 4 Chief
11	Irvine Police Department	Robert Simmons, Manager, Emergency Services
12	Tustin Police Department	Pat Hurtado, Emergency Operations Coordinator Stephen Foster, Emergency Manager
13	Cal OES	Dam Safety Planning Division
14	DSOD	Brandon Cruz, Southern Regional Engineer Cameron Lancaster, Area 9 Engineer
15	DWR Flood Operations Center	State-Federal Flood Operations Center
16	National Weather Service	Alex Tardy, Warning Coordination Meteorologist
17	California Highway Patrol, Santa Ana Office	Sgt. Anselmo Templado Capt. Mike Salinas Lt. Kris Ulibarri Lt. Scott Stoos
18	Caltrans District 12 Office	Bala Nanjappa, D-12 Maintenance Engineer John Bybee, EOC Coordinator
19	WEROC	Vicki Osborn, Director of Emergency Management

Phone numbers and email addresses have been removed from this publicly posted copy of this Emergency Action Plan. That information is available from Irvine Ranch Water District's district secretary: Phone 949-453-5300, Email Comments@IRWD.com

Appendix D: Contact Log

After determining the emergency level, use the contact log to document notifications made in accordance with Section 3 of the EAP.

CONTACT LOG

Dam Name: SYPHON CA		Date:			
NID #: CA00749	004		FERC #: 1	N/A	
DSOD Region: SOUTH		County:	ORAN	IGE	
Emergency Level:	Incident/Exercise:				
After determining the emer person making the contact each agency/entity.					
Agency/Entity	Person Cont	Person Contacted		act Time	Contacted By

Appendix E: Pre-Scripted Messages

The following pre-scripted messages are for use during notifications at any Emergency Level applicable to Syphon Canyon Dam.

High Flow Emergency Level Phone Notification Script

This is _____ [your name and position].

IRWD has activated the Emergency Action Plan for Syphon Canyon Dam, No. 1029.004, located in Orchard Hills. The dam is under a <u>High Flow</u> condition but is not in danger of failing.

High flows at the dam began at _____ on _____.

The current flow at the dam is ____ cfs.

You have/will receive a text or email with additional details. We'll provide updates when there are any changes in flow or dam condition. What is the best way to contact you with additional updates? [record contact information].

I can be contacted at ______. [preferred contact method]

If you can't reach me, please use _____. [alternate contact method]

High Flow Emergency Level Written Notification Script

IRWD has identified an emergency condition at Syphon Canyon Dam, No. 1029.004, located in Orchard Hills.

IRWD has activated the Emergency Action Plan for this dam and are determining this to be a **<u>High Flow</u>** condition. The Syphon Canyon Dam is *not* in danger of failing.

At _____ on _____, IRWD observed unusually high flows at the dam.

The high flows are the result of ______.

The current flow at the dam is _____ cfs.

Current flow from the Michelson Water Recycling Plant into the reservoir is _____ cfs.

Current flow from the reservoir to Michelson Water Recycling Plant is _____ cfs.

The current water surface elevation in the reservoir is _____ft.

The dam is not predicted to fail because of this condition. IRWD will provide updates detailing any changes in flow or dam condition and will notify you when the high flow situation is resolved.

Please take the following a	actions:
-----------------------------	----------

An IRWD representative can be contacted at: ______.

Non-Failure Emergency Level Phone Notification Script

This is . [your name and position].

IRWD has activated the Emergency Action Plan for Syphon Canyon Dam, No. 1029.004, located in Orchard Hills. The dam is under a Non-Failure condition and is not in danger of failing.

You have/will receive a written notification with additional details. We'll provide updates detailing any changes in flow or dam condition. What is the best way to contact you with additional updates? [record contact information].

I can be contacted at _____. [preferred contact method]

If you can't reach me, please use . [alternate contact method]

Non-Failure Emergency Level Written Notification Script

IRWD has identified an emergency condition at Syphon Canyon Dam, No. 1029.004, located in Orchard Hills.

IRWD has activated the Emergency Action Plan for this dam and are determining this to be a Non-Failure condition. The Syphon Canyon Dam is not in danger of failing.

At _____ on _____, IRWD observed _____.

The current flow into the reservoir is _____ cfs. The current flow out of the reservoir is _____ cfs.

The dam is not predicted to fail because of this condition. IRWD will provide updates detailing any changes in flow or dam condition and will notify you when the situation is resolved.

Please take the following actions:

An IRWD representative can be contacted at: ______.

Potential Failure Emergency Level <u>Phone</u> **Notification Script**

This is _____ [your name and position].

IRWD has activated the Emergency Action Plan for Syphon Canyon Dam, No. 1029.004, located in Orchard Hills. The dam is under a **<u>Potential Failure</u>** condition and may be in danger of failing.

IRWD is responding to _____ [describe event] that could result in dam failure as early as _____.

Please reference the inundation map in your copy of the Emergency Action Plan and prepare to evacuate the low-lying portions of Irvine and Tustin from Portola Road between Jeffrey and Sand Canyon to Trabuco Road west of Jeffrey.

The City of Irvine Police Department is the PSAP for this emergency.

You have/will receive a written notification with additional details. We'll provide updates detailing any changes in flow or dam condition. What is the best way to contact you with additional updates? [record contact information].

I can be contacted at ______. [preferred contact method]

If you can't reach me, please use _____. [alternate contact method]

Potential Failure Emergency Level <u>Written</u> Notification Script

IRWD has activated the Emergency Action Plan for Syphon Canyon Dam, No. 1029.004, located in Orchard Hills. The dam is under a **Potential Failure** condition and may be in danger of failing.

At _____ on _____, IRWD observed _____.

The current flow into the reservoir is _____ cfs. The current flow out of the reservoir is _____ cfs.

IRWD is implementing predetermined actions to respond to this rapidly developing situation that could result in dam failure.

The dam could potentially fail as early as_____.

Please prepare to evacuate the low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail. In the event of a failure, large areas are expected to be inundated from Portola Road between Jeffrey and Sand Canyon to Trabuco Road west of Jeffrey.

Reference the inundation map in your copy of the Emergency Action Plan for detailed inundation areas.

The City of Irvine Police Department is the Primary Safety Answering Point for this emergency and will be coordinating the emergency response.

IRWD will advise you when the situation is resolved or if the situation gets worse.

An IRWD representative can be contacted at the following number: ______.

Imminent Failure Emergency Level <u>Phone</u> **Notification Script**

This is an emergency. This is _____ [your name and position].

Syphon Canyon Dam, No. 1029.004, located in Orchard Hills, is failing.

The downstream area must be evacuated immediately.

Repeat, Syphon Canyon Dam, Dam No. 1029.004, is failing; evacuate the low-lying portions of Irvine and Tustin from Portola Road between Jeffrey and Sand Canyon to Trabuco Road west of Jeffrey. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue should be closed due to potential inundation. I-5 between Jeffrey Road and Culver Drive may become flooded.

Reference the inundation map in your copy of the Emergency Action Plan for specific evacuation areas.

The City of Irvine Police Department is the PSAP for this emergency.

You have/will receive a written notification with additional details for this <u>Imminent</u> Failure condition.

I can be contacted at _____. [preferred contact method]

If you can't reach me, please use _____. [alternate contact method]

The next status report will be provided in approximately 30 minutes. What is the best way to contact you with additional updates? [record contact information].

Imminent Failure Emergency Level <u>Written</u> Notification Script

THIS IS AN EMERGENCY.

Syphon Canyon Dam, Dam No. 1029.004, located in Orchard Hills, is failing.

The downstream area must be evacuated immediately.

Repeat, Syphon Canyon Dam, Dam No. 1029.004, is failing; evacuate the low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue should be closed due to potential inundation.

IRWD has activated the Emergency Action Plan for Syphon Canyon Dam. The dam is under an **Imminent Failure** condition. The Syphon Canyon Dam is failing.

At _____ on _____, IRWD observed _____

Reference the inundation map in your copy of the Emergency Action Plan.

The City of Irvine Police Department is the Primary Safety Answering Point for this emergency and will be coordinating the emergency response.

IRWD will advise you when the situation is resolved or if the situation gets worse.

An IRWD representative can be contacted at the following number: ______.

The next status report will be provided in approximately 30 minutes.

Imminent Failure Emergency Level Public Message

The following pre-scripted message may be used for emergency management authorities to communicate the <u>Imminent Failure</u> of the dam with the public:

Attention: This is an emergency message from _____ [emergency management agency]. Listen carefully. Your life may depend on immediate action.

Syphon Canyon Dam located in Orchard Hills is failing. Repeat. Syphon Canyon Dam located in Orchard Hills is failing.

If you are in or near this area, proceed immediately to high ground. The low-lying portions of the Stonegate and Woodbury neighborhoods, Irvine Boulevard, Jeffery Road below Irvine Boulevard, and adjacent areas including: the Crean Lutheran Athletic Complex on Portola Road, Stonegate Elementary School, and Jeffrey Open Space Trail may be flooded. Portions of Portola Road, Irvine Boulevard, Jeffrey Road, and Trabuco Road, Walnut Road, Harvard Road, and Edinger Avenue may be closed due to flooding.

If you are in or near this area, proceed immediately to high ground away from low lying areas.

Repeat message.

Appendix F: Emergency Incident Log

Name:		Job Title:			
Incident Start Date:		Incident Start Time:			
Incident Description:					
Initial Incident Level:					
Incident Detection:					
When did you detect or learn about the incident? How did you detect or learn about the incident?	learn about the incident? How did you detect or				
LOG AL	L NOTIFICATION AND	ACTIVITY IN THE TABLE BELC	DW		
Date	Time	Action/Incident Progression	Action Taken By		

Appendix G: Emergency Termination Log

Dam Name: SYPHON CANYON DAM	County: ORANGE		
Dam Location: ORANGE COUNTY, CA	Stream/River: UNNAMED CREEK		
Date/Time:			
Weather Conditions:			
General Description of Emergency Situation:			
Area(s) of Dam Affected:			
Extent of Damage to Dam and Possible Cause	es:		
Effect on Dam Operation:			
Initial Reservoir Elevation/Time:			
Maximum Reservoir Elevation/Time:			
Final Reservoir Elevation/Time:			
Description of Area Flooded Downstream/Dar	mage/Loss of Life:		
Justification for Termination of Dam Safety Emergency:			
Other Data and Comments:			
Report Prepared By (Printed Name and Signature): Date:			

Appendix H: After Action Report

Background

Event Details

Type of Event: Location: Incident Period: Brief Description of Event:

Response Activities

Summary of Successes

Summary of Recommended Improvements

Organizations Contributing to this Report

Appendix I: Cal OES Warning Center Dam Incident Report

DAM INCIDENT – CALIFORNIA STATE WARNING CENTER

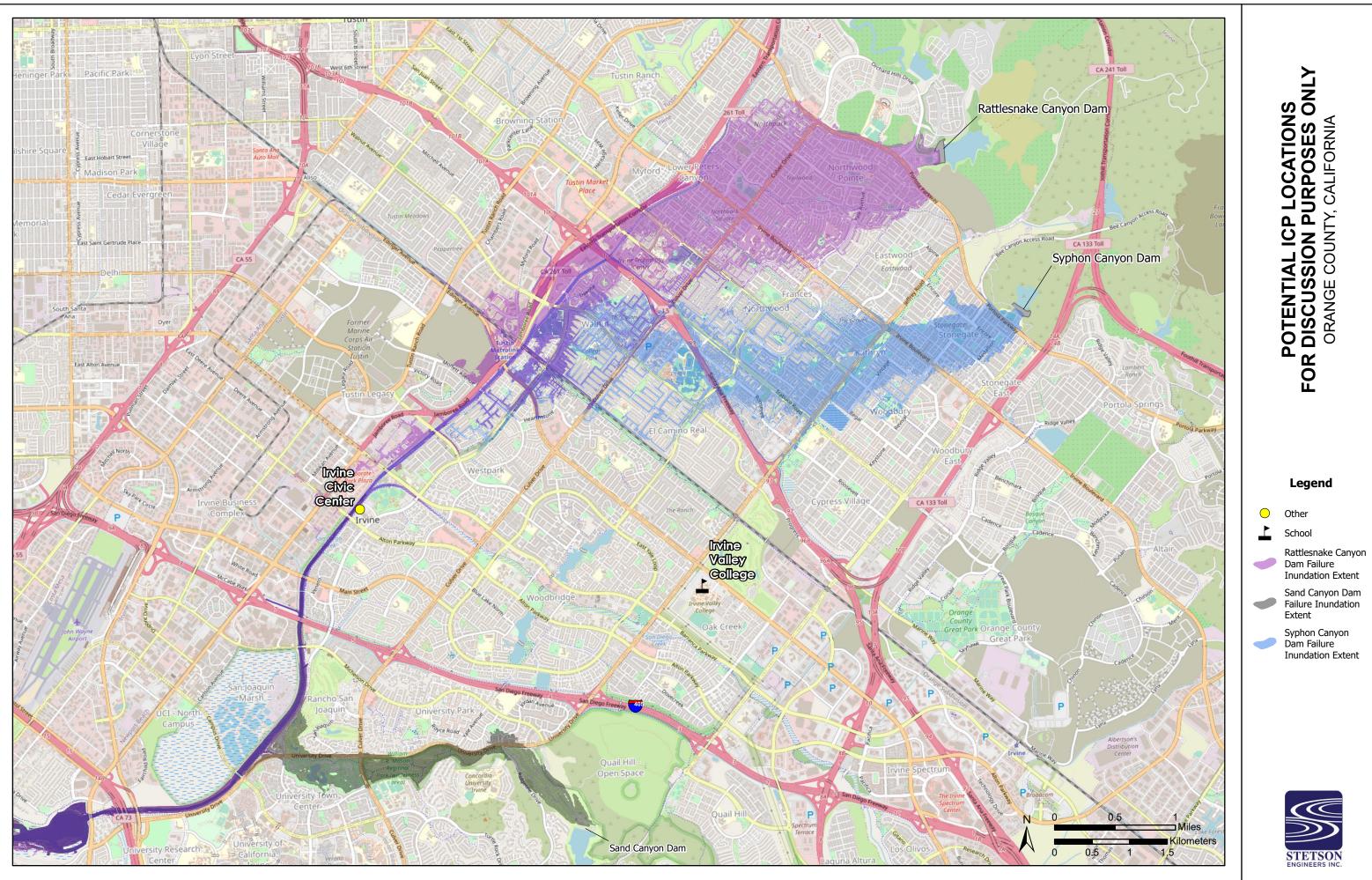
EVENT TYPE:		RILL	O ACTUA	L EVENT	
DATE:		TIME:			
	-		CALLER I	NFORMATION	
NAME/AGENCY:			PH	ONE #:	
ALTERNATE CONTAC	T:		PH	ONE #:	
			DAM INI	ORMATION	
DAM NAME: Syphon	Canyon	Dam		DD DAM #: 1029.004	FERC: none
DSOD HAZARD CLASS			LY HIGH		
			LOCATI	ON OF DAM	
DSOD REGION:	O _{NOI}	rthern O	CENTRAL	& southern	
PHYSICAL ADDRESS:	6301 Pot	rtola Parkway	, Irvine, CA 9	2620	
LATITUDE: 33.7092				LONGITUDE: -117.73	15
COUNTY: ORANGE				DOWNSTREAM JURIS	DICTIONS: Irvine; Tustin
NEAREST CITY OR PO	PULATE	D AREA: City o	f Irvine		
NEAREST OR AFFECT	ED HIGH	WAY OR CRO	ss roads: Po	rtola Pkwy and Parago	n
RIVER OR CREEK THA	AT FLOW	/S INTO RESEI	RVOIR: Unnar	ned Creek	
			SIT	JATION	
ACTIVATION OF EAP:		O _{Yes}	O _{No}		
EMERGENCY LEVEL:		O High Flow	O _{Non-Failu}	re O Potential Failure	O Imminent Failure
EMERGENCY TYPE:					
Earthquake			□ Sand E	oils	
Embankment Cracking	g or Settlen	nent	□ Securi	y Threats	
Embankment Moveme	nt	Seepage, Springs, Piping			
□ Erosion of Spillway			Sinkho	les	
Instrumentation Reading	□ Instrumentation Reading (Abnormal) □ Storm Event				
			□ Other:	List Below	
□ Sabotage/Vandalism					
OTHER:					
RESERVOIR	🛛 Full	D P	artially Full	Empty	
LEVEL:		pproximate % F	•	— r·v	
			(
WHEN/HOW EVENT WAS DETECTED:					
OBSERVER IN POSITION		Yes O _{No}			
ADDITIONAL DETAILS	ADDITIONAL DETAILS:				

Appendix J: Acronym List

CAS	Critical Appurtenant Structure
Cal OES	California Governor's Office of Emergency Services
Caltrans	California Department of Transportation
cfs	cubic feet per second
CHP	California Highway Patrol
DSOD	Division of Safety of Dams
DWR	Department of Water Resources
EAP	Emergency Action Plan
EMD Orange Coun	ty Sheriff's Department, Emergency Management Division
EOC	Emergency Operations Center
EOP	Emergency Operations Plan
FEMA	Federal Emergency Management Agency
HSEEP	Homeland Security Exercise and Evaluation Program
I-5	
IC	Incident Commander
ICP	Incident Command Post
IRWD	Irvine Ranch Water District
MWRP	Michelson Water Recycling Plant
NAVD88	
NIMS	National Incident Management System
NWS	
OA	Operational Area
OAC	Operational Area Coordinator
OCFA	Orange County Fire Authority
OCPW	Orange County Public Works

PIM	Public Information Manager
PSAP	Public Safety Answering Point
SCADA	Supervisory Control and Data Acquisition
SEMS	Standardized Emergency Management System
WEROC	Water Emergency Response Organization of Orange County
WFO	

Appendix K: Potential ICP Locations Map



Appendix L: Anatomy of a Dam Figure

